



Canadian Lawyers Liability Assurance Society

2018/2019 Renewal Application for  
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

**Note:** *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Fasken Martineau DuMoulin LLP
2. Address of principal office: 333 Bay Street, Suite 2400, Bay Adelaide Centre  
Box 20, Toronto ON M5H 2T6  
Phone: ( 416 ) 366-8381 Fax: ( 416 ) 364-7813
3. Address, phone and fax numbers of other office(s):  
Please refer to section entitled "Question #3"
4. Management or service companies, date(s) established and services provided:  
Please refer to section entitled "Addendum to Appendix D"
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☒ yes ☐ no  
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.  
Please refer to Addendum to Appendix D page 7 - FMD Partners, G.P./FMD et Associés SENC

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6. Since the most recent CLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

The Firm's name has not changed since July 1st, 2011 Application

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7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete? Please refer to Appendix A

☒ yes    ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2018:

Please refer to sections by region/office.

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

Please refer to section entitled "Question #9"

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____ %		30.3	%
b) Criminal Law	_____ %		0	%
c) Family Law	_____ %		0	%
d) Intellectual Property	_____ %		5.2	%
e) Labour Law	_____ %		13	%
f) Litigation	_____ %		25.2	%
g) Real Estate	_____ %		8.8	%
h) Securities Law	_____ %		7.8	%
i) Tax Matters	_____ %		3.3	%
j) Wills, Estates, Trust	_____ %		1.3	%
k) Other (please specify)	_____ %		5.1	%

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

Please refer to section by region/office

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.

Please refer to Appendix D.

12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.

Please refer to section by region/office.

13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2017. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS. Please refer to section by region/office.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

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14. Will the Firm purchase coverage under the CLLAS optional excess layer? ✓

☒ yes    ☐ no

If "yes", please indicate preferred limit option: ✓

- |   |  |
|---|--|
| <input type="checkbox"/> \$10M xs \$160M            | <input type="checkbox"/> \$20M xs \$160M |
| <input type="checkbox"/> \$30M xs \$160M            | <input type="checkbox"/> \$40M xs \$160M |
| <input type="checkbox"/> \$50M xs \$160M            |  |
| <input checked="" type="checkbox"/> \$60M xs \$160M |  |

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application. Please refer to Appendix G

16. Please complete Appendix H to provide underwriting information with respect to cyber liability. Please refer to Appendix H

17. Please attach as Appendix I copy of the Firm's 2018 Professional Liability Insurance Application and Exemption Form submitted to LawPro. Please refer to Appendix I

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: \_\_\_\_\_

*(Must be signed by a Partner of the Firm)*

Name of Signatory: Marc Ducharme, Chief Administrative Officer

*(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)*

Date: March 29th, 2018



## **QUESTION #3**

**Q. Address, phone and fax numbers of other office(s)**

### **Question #3 – Addresses and Branches**

#### **Principal Office of Fasken Martineau DuMoulin LLP, an Ontario partnership**

Bay Adelaide Centre  
333 Bay Street  
Suite 2400  
P.O. Box 20  
Toronto, Ontario M5H 2T6  
Phone : (416)366-8381  
Fax : (416)364-7813

#### **Principal address of Ontario Region and Fasken Campbell Godfrey**

Bay Adelaide Centre  
333 Bay Street  
Suite 2400  
P.O. Box 20  
Toronto, Ontario M5H 2T6  
Phone : (416)366-8381  
Fax : (416)364-7813

#### **Principal address of Quebec Region and Martineau Walker**

The Stock Exchange Tower  
800 Victoria Square  
Suite 3700  
P.O. Box 242  
Montréal, Québec H4Z 1E9  
Phone : (514)397-7400  
Fax : (514)397-7600

#### **Principal address of British Columbia Region and Russell & DuMoulin**

550 Burrard Street  
Suite 2900  
Vancouver, B.C. V6C 0A3  
Phone : (604)631-3131  
Fax : (604)631-3232

#### **Other offices of the above firms :**

Québec City :  
140 Grande Allée Est  
Suite 800  
Québec City, Quebec G1R 5M8

Ottawa :  
55 Metcalfe Street  
Suite 1300  
Ottawa, Ontario K1P 6L5

Calgary :  
First Canadian Centre  
350 7th Avenue S.W.  
Suite 3400  
Calgary, Alberta T2P 3N9

Surrey  
Station Tower  
13401 - 108 Avenue, Suite 1800  
Surrey, B.C. V3T 5T3

London - office of Fasken Martineau DuMoulin LLP  
125 Old Broad Street, 15<sup>th</sup> Floor  
London EC2N 1AR  
United Kingdom

Johannesburg :  
Inanda Greens, Building 2  
54 Wierda Road West  
Sandton  
Postal Address: P.O. Box 652057 Benmore 2010, South Africa  
900002.16818/98911955.1

## Firm-wide F2018 Billings Distribution

		% of Total	
Specialty Group		This Year	Last Year
A	Corporate & Commercial Law	31.3%	30.0%
B	Criminal Law	0.0%	0.0%
C	Family Law	0.0%	0.0%
D	Intellectual Property	5.2%	5.2%
E	Labour Law	12.9%	12.9%
F	Litigation	23.8%	25.0%
G	Real Estate	8.1%	8.8%
H	Securities Law	8.3%	7.8%
I	Tax Matters	3.3%	3.3%
J	Wills, Estates, Trust	1.3%	1.3%
K	Other (please specify)	3.1%	2.6%
Firm Total			

## **QUESTION #9**

**Firm's practice split by indicating the approximate percentage of billings by areas :**

APPENDIX A  
PREDECESSOR FIRMS

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Name of Firm: Fasken Martineau DuMoulin LLP

## APPENDIX A

### PREDECESSOR FIRMS

Name of Firm: Fasken Martineau DuMoulin LLP

	<u>Merger/Name Change Date</u>	<u>Notes</u>
▪ Kitamura Green	July 1, 1987	
▪ Campbell, Godfrey & Lewtas	November 1, 1989	(inactive but not dissolved)
▪ Fasken & Calvin	November 1, 1989	(inactive but not dissolved)
▪ Fasken Campbell Godfrey	February 1, 2000	(inactive but not dissolved)
▪ Martineau Walker ("MW")	February 1, 2000	(inactive but not dissolved)
▪ Russell & DuMoulin ("RD")	February 1, 2000	(inactive but not dissolved)
▪ Gagnon Lafleur & Associates	1981	Predecessor firm of MW
▪ Lazarovitz, Cannon, Lemelin, Rourke	1983	Predecessor firm of MW
▪ Lyall McKercher Hanna	1989	Predecessor firm of RD
▪ Day & Co.	November 1, 2002	Predecessor firm of SS
▪ PharmaLaw	October 1, 2004	Predecessor firm of SS
▪ Stringer and Saul	January 1, 1978 *	Predecessor firm of SS
▪ Stringer Saul & Justice	1979 *	Predecessor firm of SS
▪ Stringer Saul	May 1, 1985	Predecessor firm of SS
▪ Walker Martineau Stringer Saul	Sept. 1, 1990	Predecessor firm of SS
▪ Stringer Saul	May 1, 1991	Predecessor firm of SS
▪ Stringer Saul LLP ("SS")	February 1, 2007	Predecessor firm of FMSS
▪ Johnston & Buchan	1992	Predecessor firm of J&B
▪ Johnston, Buchan & Dalfen	1994	Predecessor firm of J&B
▪ Johnston & Buchan	2001	Predecessor firm of J&B
▪ Johnston & Buchan LLP ("J&B")	April 1, 2007	Predecessor firm of FMD
▪ Fasken Martineau Stringer Saul LLP ("FMSS")	September 11, 2008	Name changed to Fasken Martineau LLP (name to be used in UK only)
▪ Gravel, Leclerc & Associates S.E.L.A.S. (GL)	September 1, 2009	Name changed to Fasken Martineau S.E.L.A.S.
▪ Gravel, Leclerc & Partners	September 1, 2009	Predecessor firm of GL
▪ Bell Dewar and Hall	September 1, 2009	Predecessor firm of Bell Dewar Inc.
▪ Bell Dewar Inc.	February 1, 2013	Bell Dewar Inc. (a South African Corporation) and any and all predecessors
▪ Gravel, Leclerc & Granger S.E.P. (a French Partnership)		

FMD = Fasken Martineau DuMoulin LLP

\* Precise date of name change cannot be verified.

Note: A predecessor firm is one a) which is inactive or has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

# **SOUTH AFRICA / JOBURG**

## **QUESTION #10 - by Regions**

Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☐ no

If "yes", please provide full details



## Question #10 - SOUTH AFRICA

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____ %		30.3	%
b) Criminal Law	_____ %		0	%
c) Family Law	_____ %		0	%
d) Intellectual Property	_____ %		5.2	%
e) Labour Law	_____ %		13	%
f) Litigation	_____ %		25.2	%
g) Real Estate	_____ %		8.8	%
h) Securities Law	_____ %		7.8	%
i) Tax Matters	_____ %		3.3	%
j) Wills, Estates, Trust	_____ %		1.3	%
k) Other (please specify)	_____ %		5.1	%

- 10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2017. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

## **QUEBEC REGION**

## **QUESTION #10 - by Regions**

Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☐ no

If "yes", please provide full details

## Quebec Region

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____	%	30.3	%
b) Criminal Law	_____	%	0	%
c) Family Law	_____	%	0	%
d) Intellectual Property	_____	%	5.2	%
e) Labour Law	_____	%	13	%
f) Litigation	_____	%	25.2	%
g) Real Estate	_____	%	8.8	%
h) Securities Law	_____	%	7.8	%
i) Tax Matters	_____	%	3.3	%
j) Wills, Estates, Trust	_____	%	1.3	%
k) Other (please specify)	_____	%	5.1	%

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
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Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

**CALGARY**

Calgary

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____ %		30.3	%
b) Criminal Law	_____ %		0	%
c) Family Law	_____ %		0	%
d) Intellectual Property	_____ %		5.2	%
e) Labour Law	_____ %		13	%
f) Litigation	_____ %		25.2	%
g) Real Estate	_____ %		8.8	%
h) Securities Law	_____ %		7.8	%
i) Tax Matters	_____ %		3.3	%
j) Wills, Estates, Trust	_____ %		1.3	%
k) Other (please specify)	_____ %		5.1	%

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.

12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E. N/A

13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2017. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

\* Please see attached reporting of claims and memo for: Jordan Hulecki, David Both Clarke Barnes, Theodore Fong, Anil Chowdhury, Curtis Stewart.

## **QUESTION #10 - by Regions**

Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☐ no

If "yes", please provide full details

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____ %		30.3	%
b) Criminal Law	_____ %		0	%
c) Family Law	_____ %		0	%
d) Intellectual Property	_____ %		5.2	%
e) Labour Law	_____ %		13	%
f) Litigation	_____ %		26.2	%
g) Real Estate	_____ %		8.8	%
h) Securities Law	_____ %		7.8	%
i) Tax Matters	_____ %		3.3	%
j) Wills, Estates, Trust	_____ %		1.3	%
k) Other (please specify)	_____ %		5.1	%

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLAS Primary Policy. Please verify, update where appropriate and advise CLAS of any anticipated changes.

12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E. *N/A*

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\* Please see attached reporting of claims and memo for: Jordan Halecki, David Both Clarke, Barnes, Theodore Fong, Anil Chowdhury



# ONTARIO REGION

## **QUESTION #10 - by Regions**

Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☐ no

If "yes", please provide full details

# ONTARIO

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____ %		30.3	%
b) Criminal Law	_____ %		0	%
c) Family Law	_____ %		0	%
d) Intellectual Property	_____ %		5.2	%
e) Labour Law	_____ %		13	%
f) Litigation	_____ %		25.2	%
g) Real Estate	_____ %		8.8	%
h) Securities Law	_____ %		7.8	%
i) Tax Matters	_____ %		3.3	%
j) Wills, Estates, Trust	_____ %		1.3	%
k) Other (please specify)	_____ %		5.1	%

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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**LONDON**

## **QUESTION #10 - by Regions**

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If "yes", please provide full details

# LONDON OFFICE

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	_____ %	30.3 %
b) Criminal Law	_____ %	0 %
c) Family Law	_____ %	0 %
d) Intellectual Property	_____ %	5.2 %
e) Labour Law	_____ %	13 %
f) Litigation	_____ %	25.2 %
g) Real Estate	_____ %	8.8 %
h) Securities Law	_____ %	7.8 %
i) Tax Matters	_____ %	3.3 %
j) Wills, Estates, Trust	_____ %	1.3 %
k) Other (please specify)	_____ %	5.1 %

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2017. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

# **BRITISH COLUMBIA**

## **QUESTION #10 - by Regions**

Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☐ no

If "yes", please provide full details



B.C.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____	%	30.3	%
b) Criminal Law	_____	%	0	%
c) Family Law	_____	%	0	%
d) Intellectual Property	_____	%	5.2	%
e) Labour Law	_____	%	13	%
f) Litigation	_____	%	25.2	%
g) Real Estate	_____	%	8.8	%
h) Securities Law	_____	%	7.8	%
i) Tax Matters	_____	%	3.3	%
j) Wills, Estates, Trust	_____	%	1.3	%
k) Other (please specify)	_____	%	5.1	%

- 10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2017. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

Firm Wide

Amended as of April 24, 2018

APPENDIX B  
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018

Name of Firm: Fasken Martineau DuMoulin LLP

	CANADA					OUTSIDE OF CANADA <sup>/5</sup>	
	B.C.	Alberta	Ontario	Quebec	Beijing	U.K.	South Africa
a) No. of Lawyers <sup>/1</sup>	75	14	273	214		11	64
b) No. of Patent & Trademark Agents <sup>/2</sup>	1	0	0	5		0	0
c) No. of Non-lawyer Consultants <sup>/3</sup>	2	0	4	15		0	0
d) No. of Paralegals	28	4	46	30		0	2
e) No. of Other Employees	149	31	323	281		7	59*
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>	65	21	0	6	4	0	0

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

Beijing

APPENDIX B  
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018

Name of Firm: Fasken Martineau DuMoulin LLP

	CANADA					OUTSIDE OF CANADA <sup>/5</sup>	
	B.C.	Alberta	Ontario	Quebec	Beijing	U.K.	South Africa
a) No. of Lawyers <sup>/1</sup>							
b) No. of Patent & Trademark Agents <sup>/2</sup>							
c) No. of Non-lawyer Consultants <sup>/3</sup>							
d) No. of Paralegals							
e) No. of Other Employees							
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>					4 please refer to App. C		

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

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S.A

## APPENDIX B

**APPENDIX B**  
**ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018**

Name of Firm: Fasken Martineau DuMoulin LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA<sup>/5</sup></u>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces</u> (Please specify)	<u>U.K.</u>	<u>South Africa</u>
a) No. of Lawyers <sup>/1</sup>							64
b) No. of Patent & Trademark Agents <sup>/2</sup>							-
c) No. of Non-lawyer Consultants <sup>/3</sup>							-
d) No. of Paralegals							2
e) No. of Other Employees							59
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>							(includes 22 students -CA'S)

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

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## SOUTH AFRICA as of March 1 2018

Johannesburg	Ajoodha, Rekha	Other timekeepers/Articling St	ASSOCIATES
Johannesburg	Braybrooke, Susan	Lawyer/Associate	ASSOCIATES
Johannesburg	Burman, Robert	Lawyer/Associate	ASSOCIATES
Johannesburg	Dube, Siphamandla	Lawyer/Associate	ASSOCIATES
Johannesburg	Hart, Daniel	Lawyer/Associate	ASSOCIATES
Johannesburg	Hsiao, Roy	Lawyer/Associate	ASSOCIATES
Johannesburg	Malahlela, Neo	Lawyer/Associate	ASSOCIATES
Johannesburg	Mantshule, Nasipi	Lawyer/Associate	ASSOCIATES
Johannesburg	Mas, Sebastian	Lawyer/Associate	ASSOCIATES
Johannesburg	Mitchell, Katherine	Lawyer/Associate	ASSOCIATES
Johannesburg	Morolong, Mmaphuti	Other timekeepers/Articling St	ASSOCIATES
Johannesburg	Ncube, Lipton	Other timekeepers/Articling St	ASSOCIATES
Johannesburg	Nhlapho, Thandiwe	Lawyer/Associate	ASSOCIATES
Johannesburg	Nkune, Hlatse	Lawyer/Associate	ASSOCIATES
Johannesburg	Palani, Margo-Ann	Lawyer/Associate	ASSOCIATES
Johannesburg	Willem, Daphney	Other timekeepers/Articling St	ASSOCIATES
Johannesburg	Ashmore, Kimberly	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Bartis, Ruwayda	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Butcher, Abigail	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Buthlezi, Zwelakhe	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Chuene, Refentse	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Griffin, Kerry	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Hamilton Russell, Olivia	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Hinckemann, Andricia	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Loganathan, Alecia	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Mahomed, Zaid	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Mathebula, Selby	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Mathobela, Kea	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Mbambo, Owethu	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Mokoena, Neo	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Moti, Muhammed	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Mwale, Daniel	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Nontobeko, Nkambule	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Paki, Remofilwe	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Phiri, Onalerona	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Stark, Catherine	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Strachan, Stuart	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Tsotetsi, Tshepang	Other timekeepers/Articling St	CANDIDATE ATTORNEY
Johannesburg	Bezuidenhoudt, Lara	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Bhoora, Rhakee	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Carman, Nigel	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Fouche, Paul	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Frahm-Arp, Ludwig Detlef	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Hart, Melanie	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Hoffe, David	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Jugoo, Ashen	Lawyer/Equity Partner	EQ PARTNER

Johannesburg	Langbridge, Stephen	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Mitchell, Andrew	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Moalusi, Lucas	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Pratt, Simon	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Roodt, Nicolaas	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Siciliano, Tania	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Van Den Berg, Eric	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Van Vuren, Michael	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Zondo-Kabini, Hlengiwe	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Leontsinis, Andrew	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Sinclair, Duncan	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Ndou, Hector Thuso	Support Staff/Other	FACILITIES/IT
Johannesburg	Botha, Catharina	Support Staff/Other	FINANCE
Johannesburg	Gunguluza, Sibongile	Support Staff/Other	FINANCE
Johannesburg	Ilana, Burger IT	Support Staff/Other	FINANCE
Johannesburg	Meyer, Clement	Support Staff/Other	FINANCE
Johannesburg	Moodliar, Vinosh	Support Staff/Other	FINANCE
Johannesburg	Pretorius, Benita B	Support Staff/Other	FINANCE
Johannesburg	Sihlangu, Godfrey Ngwenyana	Support Staff/Other	FINANCE
Johannesburg	Turner, Joani	Support Staff/Other	FINANCE
Johannesburg	Brady, Carien	Support Staff/Other	HR
Johannesburg	Komane, Tumiso	Support Staff/Other	HR
Johannesburg	Ndlovu, Thulani Samuel	Support Staff/Other	HR/FINANCE
Johannesburg	Garratt, Olwyn	Support Staff/Other	KNOWLEDGE
Johannesburg	Bishop, Estelle	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Colloopen, Debbie Shurmala	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Dateling, Marie-Claude	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Ferreira, Michele	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Ganas, Sergie	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Leisher, Patricia	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Lopes APS	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Nkomokazi, Phumla	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Stiglingh Lee-Ann	Support Staff/Legal Assistant	LEGAL ASST
Johannesburg	Welman, Whanita	Support Staff/Legal Assistant	Paralegal
Johannesburg	Vance, Blaize	Lawyer/Equity Partner	EQ PARTNER
Johannesburg	Letlhage, Boitumelo	Support Staff/Other	OPERATIONS
Johannesburg	Lynn, Lesley	Support Staff/Other	OPERATIONS
Johannesburg	Mahlangu, Johanna Motthatlego	Support Staff/Other	OPERATIONS
Johannesburg	Makhaye, Mbali	Support Staff/Other	OPERATIONS
Johannesburg	Matiombe, Nikeziwe	Support Staff/Other	OPERATIONS
Johannesburg	Mmusi, Catherine	Support Staff/Other	OPERATIONS
Johannesburg	Mothapo, Julia	Support Staff/Other	OPERATIONS
Johannesburg	Nichol, Herman	Support Staff/Other	OPERATIONS
Johannesburg	Phahlane, Matsedisio (Sheila)	Support Staff/Other	OPERATIONS
Johannesburg	Simon, Sheena	Support Staff/Other	OPERATIONS
Johannesburg	Williams, Lianne	Support Staff/Other	OPERATIONS
Johannesburg	Bryson, Simone	Lawyer/Associate	ASSOCIATES
Johannesburg	Dhever, Sushila	Lawyer/Associate	ASSOCIATES
Johannesburg	Dyanti, Ndimiso	Lawyer/Associate	ASSOCIATES
Johannesburg	Janks, John	Lawyer/Associate	ASSOCIATES



Johannesburg	Mackenzie, Neil	Lawyer/Associate	ASSOCIATES
Johannesburg	Smit, Martha	Lawyer/Associate	ASSOCIATES
Johannesburg	Van Breda, Samantha	Lawyer/Associate	ASSOCIATES
Johannesburg	Letlhage, Mittah	Support Staff/Other	RECEPTION
Johannesburg	Naidoo, Abigail	Support Staff/Other	RECEPTION
Johannesburg	Bouwman, Jan	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Cavvadas, Dimitri	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Coetzee, Johan	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Hunter, Rosemary	Lawyer/Associate	REG PARTNER
Johannesburg	Langner, Othelia	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Mcfadden, Conor	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Mohammed, Zainobia	Lawyer/Associate	REG PARTNER
Johannesburg	Pheko, Phologo	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Rajah, Sherisa	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Spies, Johanna	Lawyer/Regular Partner	REG PARTNER
Johannesburg	Teubes, Michael	Lawyer/Associate	REG PARTNER
Johannesburg	Da Costa, Bianca	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Grainger, Clare	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Jean-Pierre, Lameeze	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Kay, Katy-Lynne	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Lloyd, Kathryn	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Malesa, Godfrey	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Mokoena, Stimela	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Pilane, Bontle	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Rajpal, Jesicca	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Reyneke, Samantha	Lawyer/Associate	ASSOCIATES
Johannesburg	Searle, Neil	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Sweet, Justine	Lawyer/Associate	SENIOR ASSOCIATE
Johannesburg	Vaillant, Alexandre	Lawyer/Associate	SENIOR ASSOCIATE



Q<sup>c</sup>

## APPENDIX B

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**APPENDIX B**  
**ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018**

Name of Firm: Fasken Martineau DuMoulin LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA</u> <sup>/5</sup>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces</u> <u>(Please specify)</u>	<u>U.K.</u>	<u>South Africa</u>
a) No. of Lawyers <sup>/1</sup>				214			
b) No. of Patent & Trademark Agents <sup>/2</sup>				5			
c) No. of Non-lawyer Consultants <sup>/3</sup>				15			
d) No. of Paralegals				30			
e) No. of Other Employees				281			
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>				6			

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

APPENDIX B  
CLLAS 2018-2019  
List of lawyers  
As of March 8, 2018

#	LOCATION	Employee ID	Last Name	First Name	Hiring date	Appendix B	STATUS	WORK STATUS	YEAR OF CALL QUEBEC BAR	APPENDIX C	CLLAS Hiring Date Lawyer (m/d/y)	CLLAS Partnership Regular (m/d/y)	CLLAS Partnership Equity (m/d/y)
1	MONTREAL	20332	Abrams	Dana	03-30-2015	Lawyer	Avocat salarié	PTPLEIN	12-22-2017	N/A	12-22-2017		
2	MONTREAL	20330	Adams	Michael	03-30-2015	Lawyer	Avocat salarié	PTPLEIN	07-21-2017	N/A	07-21-2017		
3	MONTREAL	20039	Altankova	Margarita	05-26-2014	Lawyer	Avocat salarié	PTPLEIN	12-18-2014	N/A	12-18-2014		
4	MONTREAL	20966	Amghar	Malya	05-15-2017	Lawyer	Avocat salarié	PTPLEIN	07-22-2015	N/A	05-15-2017		
5	MONTREAL	20199	Ang	Ponora	11-24-2014	Lawyer	Associé	PTPLEIN	07-28-2006	N/A	11-24-2014	02-01-2017	
6	MONTREAL	10015	Archambault	Chloé	07-15-2002	Lawyer	Associé	PTPLEIN	04-05-1994	N/A	07-15-2002	07-15-2002	
7	QUEBEC	10023	Auger	Claude	01-05-1987	Lawyer	Associé	PTPLEIN	08-31-1982	N/A	01-05-1987	02-01-2017	01-01-1987
8	MONTREAL	15756	Aylwin	Antoine	09-27-2004	Lawyer	Associé principal	PTPLEIN	12-22-2003	N/A	09-27-2004	02-01-2011	02-01-2014
9	MONTREAL	17255	Barette	François	09-03-2010	Lawyer	Associé principal	PTPLEIN	01-07-1981	N/A	12-22-2017		09-03-2010
10	QUEBEC	16803	Barriault	Frédéric	05-07-2007	Lawyer	Avocat salarié	PTPLEIN	07-26-2011	N/A	07-27-2011		
11	MONTREAL	19978	Beauchamp	Philippe	04-02-2014	Lawyer	Avocat salarié QC	PTPLEIN	07-08-2016	N/A	07-11-2016		
12	MONTREAL	10110	Bédard	Eric	11-16-1989	Lawyer	Associé principal	PTPLEIN	12-06-1989	N/A	11-16-1989		03-06-1996
13	MONTREAL	19700	Bélanger	Marie-Gabrielle	06-17-2013	Lawyer	Avocat salarié	PTPLEIN	12-18-2013	N/A	12-18-2013		
14	QUEBEC	19860	Bélanger	Carl	02-01-2014	Lawyer	Associé principal	PTPLEIN	01-01-1998	N/A	02-03-2014		02-03-2014
15	MONTREAL	10120	Béliveau	Luc	12-03-2001	Lawyer	Associé principal	PTPLEIN	05-07-1991	N/A	12-03-2001		12-03-2001
16	MONTREAL	10121	Béliveau	Nathalie-Anne	05-13-2002	Lawyer	Associé principal	PTPLEIN	12-22-1994	N/A	05-13-2002	05-13-2002	02-01-2007
17	QUEBEC	19330	Béliveau	Amélie	05-14-2012	Lawyer	Avocat salarié	PTPLEIN	07-26-2013	N/A	07-29-2013		
18	MONTREAL	19903	Bellefleur	Sébastien	02-17-2014	Lawyer	Associé	PTPLEIN	11-11-2005	N/A	02-17-2014	02-01-2017	
19	MONTREAL	10051	Bernard	Annie	05-08-2000	Lawyer	Associé	PTPLEIN	05-05-2003	N/A	05-05-2003	02-01-2011	
20	QUEBEC	10054	Bernier	Louis	02-01-1975	Lawyer	Associé principal	PTPLEIN	12-08-1975	N/A	02-01-1975		01-01-1975
21	MONTREAL	10059	Bertrand	Diane	05-26-1997	Lawyer	Associé principal	PTPLEIN	12-11-1998	N/A	05-26-1997	02-01-2006	02-01-2010
22	MONTREAL	20727	Bisson	Simon	08-29-2016	Lawyer	Avocat salarié	PTPLEIN	03-19-2014	N/A	08-29-2016		
23	MONTREAL	16981	Blanchette	Nikolas	04-28-2008	Lawyer	Associé	PTPLEIN	12-05-2006	N/A	04-28-2008	02-01-2015	
24	QUEBEC	10067	Boisard	Michel	11-16-1989	Lawyer	Associé principal	PTPLEIN	12-06-1989	N/A	11-16-1989		11-16-1989
25	QUEBEC	17054	Boucher	Marc-André	09-18-2008	Lawyer	Avocat salarié	PTPLEIN	12-29-2006	N/A	09-18-2008		
26	QUEBEC	16989	Boudreau	Noah	05-05-2008	Lawyer	Associé	PTPLEIN	12-14-2010	N/A	12-15-2010	02-01-2018	
27	MONTREAL	10083	Bourdeau	Sylvie	04-21-1997	Lawyer	Associé principal	PTPLEIN	11-09-1988	N/A	04-21-1997		04-21-1997
28	MONTREAL	17254	Brais	François	09-02-2010	Lawyer	Associé principal	PTPLEIN	12-21-1998	N/A	09-02-2010		09-02-2010
29	MONTREAL	16400	Brouillette	Valérie	07-31-2006	Lawyer	Avocat salarié QC	PTPLEIN		N/A	07-31-2006		
30	MONTREAL	17235	Bundock	Émilie	05-17-2010	Lawyer	Avocat salarié	PTPLEIN	12-19-2012	N/A	12-20-2012		
31	QUEBEC	10125	Cabana	Paul	05-06-2003	Lawyer	Associé	PTPLEIN	05-15-2007	N/A	05-16-2007	02-01-2014	

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32	MONTREAL	20463	Carrière	Louis	09-15-2015	Lawyer	Associé	PTPLEIN	05-12-1995	N/A	09-15-2015	09-15-2015	
33	MONTREAL	10139	Castiglio	Gabriel	10-20-2003	Lawyer	Associé principal	PTPLEIN	08-05-2002	N/A	10-20-2003	02-01-2010	02-01-2013
34	MONTREAL	16985	Cérat Lagana	Vincent	05-05-2008	Lawyer	Avocat salarié	PTPLEIN	11-30-2011	N/A	11-30-2011		
35	MONTREAL	10145	Chamberland	Jean-Pierre	04-30-2001	Lawyer	Associé principal	PTPLEIN	10-23-1995	N/A	04-30-2001	02-01-2004	02-01-2005
36	MONTREAL	19375	Champagne-Gilbert	Jean-Raphaël	05-09-2012	Lawyer	Avocat salarié QC	PTPLEIN	04-10-2013	N/A	10-04-2013		
37	MONTREAL	17203	Charest-Beaudry	Philippe	11-30-2009	Lawyer	Associé	PTPLEIN	08-13-2007	N/A	11-30-2009	02-01-2016	
38	MONTREAL	16931	Charlebois	Pierre-Olivier	01-07-2008	Lawyer	Associé principal	PTPLEIN	12-20-2004	N/A	01-07-2008	02-01-2012	02-01-2018
39	QUEBEC	19872	Charpentier	Alexis	02-05-2014	Lawyer	Associé	PTPLEIN	07-31-2009	N/A	02-05-2014	02-01-2017	
40	MONTREAL	10148	Chassé	Yves	11-10-2003	Lawyer	Associé	PTPLEIN	03-10-1988	N/A	11-10-2003	11-01-2003	
41	MONTREAL	16821	Chaurette	Denis	05-28-2007	Lawyer	Associé principal	PTPLEIN	01-06-1975	N/A	05-28-2007	02-01-2018	05-28-2007
42	MONTREAL	20333	Chen	Ao	03-30-2015	Lawyer	Avocat salarié	PTPLEIN	12-22-2017	N/A	12-22-2017		
43	MONTREAL	19628	Ciecha	Marcelo	03-25-2013	Lawyer	Avocat salarié	PTPLEIN	12-18-2014	N/A	12-22-2017		
44	MONTREAL	10162	Clare	Richard	05-17-1976	Lawyer	Associé	PTPLEIN	11-26-1976	N/A	05-17-1976	02-01-2017	11-01-1975
45	MONTREAL	10167	Cloutier	Jean-François	04-08-2002	Lawyer	Associé principal	PTPLEIN	03-12-1998	N/A	04-08-2002	02-01-2005	02-01-2011
46	MONTREAL	20010	Côté Lépine	Paul	05-26-2014	Lawyer	Avocat salarié	PTPLEIN	07-30-2015	N/A	07-30-2015		
47	MONTREAL	20743	Cournoyer-Proulx	Magali	09-09-2016	Lawyer	Associé	PTPLEIN	04-01-1997	N/A	09-09-2016	09-09-2016	
48	QUEBEC	20334	De Rose	Sarah	03-30-2015	Lawyer	Avocat salarié	PTPLEIN	12-22-2017	N/A	12-22-2017		
49	QUEBEC	17108	Delage	Jean-Nicolas	06-01-2009	Lawyer	Associé principal	PTPLEIN	11-22-1994	N/A	06-01-2009		06-01-2009
50	MONTREAL	10218	Delwaide	Karl	05-05-1986	Lawyer	Associé principal	PTPLEIN	12-07-1979	N/A	05-05-1986		02-01-1992
51	MONTREAL	19744	Demers	Nancy	08-17-2013	Lawyer	Associé principal	PTPLEIN	12-01-1993	N/A	17-08-2013	08-17-2013	02-01-2015
52	MONTREAL	10269	Déry	Laurence	05-06-2002	Lawyer	Associé	PTPLEIN	11-14-2003	N/A	11-17-2003	02-01-2013	
53	MONTREAL	20326	Deshaye	Valérie	03-30-2015	Lawyer	Avocat salarié QC	PTPLEIN	07-07-2017	N/A	07-07-2017		
54	MONTREAL	10233	Dion	Guy C.	09-24-1990	Lawyer	Associé principal	PTPLEIN	11-27-1987	N/A	09-24-1990		02-01-1999
55	MONTREAL	19819	Dorion	Charles-Antoine	01-06-2014	Lawyer	Avocat salarié QC	PTPLEIN	03-03-2010	N/A	01-06-2014		
56	MONTREAL	19925	Dugas	Jean-Sébastien	03-06-2014	Lawyer	Associé	PTPLEIN	01-01-1999	N/A	03-10-2014	03-10-2014	
57	QUEBEC	19982	Duguay	Camille	03-31-2014	Lawyer	Avocat salarié	PTPLEIN	12-22-2017	N/A	12-22-2017		
58	MONTREAL	19880	Dupont	Robert	02-01-2014	Lawyer	Associé principal	PTPLEIN	01-03-1983	N/A	02-05-2014	02-05-2014	02-01-2015
59	NATIONAL	10263	Durocher	André	09-08-1981	Lawyer	Associé principal	PTPLEIN	11-11-1975	N/A	09-08-1981	02-01-2018	09-08-1981
60	MONTREAL	20949	Dusablon	Laurianne	04-26-2017	Lawyer	Avocat salarié	PTPLEIN	07-30-2014	N/A	04-26-2017		
61	MONTREAL	20028	Eddé	Jacques	05-05-2014	Lawyer	Avocat salarié	PTPLEIN	04-15-2010	N/A	05-05-2014		
62	MONTREAL	10278	Fabien	Marc-André	11-19-1982	Lawyer	Associé principal	PTPLEIN	12-08-1982	N/A	11-19-1982		02-01-1991

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63	MONTREAL	17236	Farber	Brandon	05-17-2010	Lawyer	Avocat salarié	PTPLEIN	07-25-2012	N/A	07-25-2012		
64	MONTREAL	16888	Faucher	Nicolas	09-29-2007	Lawyer	Associé principal	PTPLEIN	12-23-1991	N/A	09-29-2007	09-29-2007	02-01-2012
65	NATIONAL	18495	Fichtali	Youssef J.	01-17-2011	Lawyer	Avocat salarié	PTPLEIN	12-21-2016	N/A	12-29-2016		
66	MONTREAL	19869	Fillion	Stéphane	02-05-2014	Lawyer	Associé principal	PTPLEIN	11-28-1997	N/A	02-05-2014	02-05-2014	02-01-2017
67	MONTREAL	10299	Ford	Andrew	11-19-1981	Lawyer	Associé	PTPLEIN	12-09-1981	N/A	11-19-1981	02-01-2006	01-01-1981
68	MONTREAL	10302	Forget	Rolland	04-01-1981	Lawyer	Associé	PTPLEIN	09-11-1970	N/A	06-01-1970	03-01-2012	01-01-1970
69	QUEBEC	10309	Fournier	Karine	05-06-2002	Lawyer	Associé principal	PTPLEIN	11-16-2004	N/A	11-17-2004	02-01-2012	02-01-2018
70	MONTREAL	10329	Gagné	Jean M.	05-22-1995	Lawyer	Associé principal	PTPLEIN	06-01-1980	N/A	05-22-1995		05-22-1995
71	MONTREAL	10331	Gagné	Martin R.	06-01-1995	Lawyer	Associé	PTPLEIN	12-15-1978	N/A	06-01-1995	06-01-1995	
72	MONTREAL	16459	Gagné	Mathieu	10-02-2006	Lawyer	Associé principal	PTPLEIN	12-16-1997	N/A	10-02-2006	10-02-2006	02-01-2014
73	MONTREAL	10318	Gagnon	Alexandre	05-06-2003	Lawyer	Associé	PTPLEIN	05-15-2007	N/A	05-16-2007	02-01-2014	
74	MONTREAL	16331	Gagnon	Audrey	05-08-2006	Lawyer	Associé	PTPLEIN	08-22-2007	N/A	08-22-2007	02-01-2015	
75	MONTREAL	19634	Gagnon	Marie-Eve	03-25-2013	Lawyer	Avocat salarié QC	PTPLEIN	02-23-2016	N/A	02-24-2016		
76	MONTREAL	19968	Gagnon Nadeau	Marie-Pier	03-31-2014	Lawyer	Avocat salarié	PTPLEIN	12-21-2016	N/A	12-22-2016		
77	MONTREAL	19871	Gareau-Dalpe	Valérie	02-07-2014	Lawyer	Avocat salarié	PTPLEIN	12-04-2013	N/A	02-07-2014		
78	MONTREAL	19970	Gauthier	Justine	03-31-2014	Lawyer	Avocat salarié	PTPLEIN	07-28-2016	N/A	07-28-2016		
79	MONTREAL	10350	Gilbert	Annick	05-15-2003	Lawyer	Avocat salarié QC	PTPLEIN	11-26-2004	N/A	11-15-2004		
80	MONTREAL	10644	Gilbert	Frédéric	03-22-2004	Lawyer	Associé principal	PTPLEIN	12-28-2000	N/A	03-22-2004	02-01-2008	02-01-2013
81	MONTREAL	10358	Girard	Robert Y.	10-09-2001	Lawyer	Associé principal	PTPLEIN	12-30-1983	N/A	10-09-2001	02-01-2018	10-09-2001
82	MONTREAL	16903	Girard	Claude	09-28-2007	Lawyer	Associé	PTPLEIN	08-31-1972	N/A	09-28-2007	02-01-2014	09-28-2007
83	MONTREAL	10363	Gobeil	Sébastien	05-03-1999	Lawyer	Associé	PTPLEIN	11-10-2000	N/A	05-03-1999	02-01-2008	
84	MONTREAL	21262	Goldrick	Catherine-Anne	01-15-2018	Lawyer	Avocat salarié	TEMP TPL	02-15-2017	N/A	01-15-2018		
85	MONTREAL	20952	Grenler	Kateri-Anne	04-21-2017	Lawyer	Associé principal	PTPLEIN	05-01-2002	N/A	04-21-2017		04-21-2017
86	MONTREAL	20979	Grintuch	Rhonda	05-22-2017	Lawyer	Avocat salarié	PTPLEIN	01-01-2012	N/A	05-22-2017		
87	MONTREAL	19861	Guilmain	Antoine	05-26-2014	Lawyer	Avocat salarié	PTPLEIN	07-29-2016	N/A	07-28-2016		
88	QUEBEC	10389	Gutierrez	Félix	05-25-1999	Lawyer	Associé principal	PTPLEIN	04-22-2002	N/A	05-25-1999	02-01-2009	02-01-2013
89	MONTREAL	19146	Halwagi	Jonathan	11-07-2011	Lawyer	Associé	PTPLEIN	01-01-2004	N/A	07-11-2011	02-01-2014	
90	MONTREAL	21037	Harbec	Janie	07-31-2017	Lawyer	Avocat salarié	PTPLEIN	03-24-2010	N/A	07-31-2017		
91	MONTREAL	20329	Hénault	Patricia	03-30-2015	Lawyer	Avocat salarié	PTPLEIN	07-21-2017	N/A	2017-07-21		
92	MONTREAL	16951	Jacques	Christian	01-21-2008	Lawyer	Associé principal	PTPLEIN	11-12-2004	N/A	2008-01-21	02-01-2012	02-01-2018
93	MONTREAL	20422	Jarín	Clifton	07-08-2015	Lawyer	Avocat salarié	PTPLEIN	09-12-2007	N/A	07-08-2015		



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94	QUEBEC	15879	Jodoin	Claude E.	01-04-2005	Lawyer	Associé principal	PTPLEIN	11-20-1987	N/A	01-04-2005		01-04-2005
95	MONTREAL	20205	Jospé	Dara	11-17-2014	Lawyer	Avocat salarié	PTPLEIN	08-20-2014	N/A	11-17-2014		
96	MONTREAL	17075	Keable	Maxime-Arnaud	01-05-2009	Lawyer	Associé	PTPLEIN	12-16-2008	N/A	01-05-2009	02-01-2017	
97	MONTREAL	10447	Kirby	Peter	11-03-1997	Lawyer	Associé principal	PTPLEIN	05-22-1986	N/A	11-03-1997		11-03-1997
98	MONTREAL	20684	Kravitz	Neil	06-20-2016	Lawyer	Associé principal	PTPLEIN	01-01-1997	N/A	06-20-2016		06-20-2016
99	MONTREAL	19890	Laberge	Simon	02-01-2014	Lawyer	Associé principal	PTPLEIN	02-13-2004	N/A	02-11-2014	02-11-2014	02-01-2017
100	MONTREAL	10463	Lacoursière	Richard	01-01-1978	Lawyer	Associé principal	PTPLEIN	11-18-1977	N/A	01-01-1978		01-01-1978
101	MONTREAL	10464	Lacroix	Yves	11-10-2003	Lawyer	Associé principal	PTPLEIN	12-12-1980	N/A	11-10-2003		11-01-2003
102	QUEBEC	10469	Lafleur	Marie	07-01-1986	Lawyer	Associé principal	PTPLEIN	12-15-1983	N/A	07-01-1986	02-01-2018	07-01-1986
103	QUEBEC	19635	Laforest-Lapointe	Vincent	03-25-2013	Lawyer	Avocat salarié QC	PTPLEIN	04-26-2016	N/A	04-27-2016		
104	MONTREAL	10474	Lalancette	Stéphane	03-20-1995	Lawyer	Associé	PTPLEIN	04-01-1995	N/A	03-20-1995	02-01-2002	
105	MONTREAL	16999	Lapalme	Joanie	05-05-2008	Lawyer	Avocat salarié	PTPLEIN	07-26-2011	N/A	07-27-2011		
106	MONTREAL	15880	Lapierre	Jean-Michel	01-12-2005	Lawyer	Associé principal	PTPLEIN	11-15-2005	N/A	11-16-2005	02-01-2013	02-01-2016
107	QUEBEC	10493	Latulippe	Chloé	06-03-2002	Lawyer	Avocat salarié	PTPLEIN	03-12-2007	N/A	04-09-2007		
108	MONTREAL	10501	Lavallée	Stéphanie	05-03-1999	Lawyer	Associé	PTPLEIN	05-05-2003	N/A	05-05-2003	02-01-2010	
109	MONTREAL	19311	Lavoie	Sarah P.	04-02-2012	Lawyer	Avocat salarié	PTPLEIN	07-31-2014	N/A	07-31-2014		
110	MONTREAL	19312	Lazar	Alexandra	04-02-2012	Lawyer	Avocat salarié	PTPLEIN	12-18-2014	N/A	12-18-2014		
111	MONTREAL	10510	Leblanc	Christian	06-16-2003	Lawyer	Associé principal	PTPLEIN	10-28-1992	N/A	06-16-2003	06-17-2003	02-01-2006
112	MONTREAL	20812	Leblanc	Christelle	12-07-2016	Lawyer	Avocat salarié QC	PTPLEIN	11-21-2008	N/A	12-07-2016		
113	MONTREAL	10517	Leblanc-Gagnon	Mathieu	05-20-2003	Lawyer	Associé	PTPLEIN	11-26-2004	N/A	11-15-2004	02-01-2012	
114	MONTREAL	15976	Leblanc-Langlois	Nicolas	05-09-2005	Lawyer	Associé principal	PTPLEIN	11-28-2007	N/A	11-29-2007	02-01-2015	02-01-2017
115	MONTREAL	10559	Léger	Élaine	04-17-2000	Lawyer	Associé	PTPLEIN	11-12-1999	N/A	04-17-2000	02-01-2007	
116	MONTREAL	16619	Lessard Berger	Sophie	01-15-2007	Lawyer	Associé	PTPLEIN	02-18-2008	N/A	02-19-2008	02-19-2008	
117	MONTREAL	10545	Letarte	Yves	11-10-2003	Lawyer	Associé principal	PTPLEIN	12-12-1982	N/A	11-10-2003		11-10-2003
118	MONTREAL	16613	L'Heureux	Dominique	01-26-2007	Lawyer	Associé principal	PTPLEIN	10-27-1995	N/A	01-26-2007	01-26-2007	02-01-2009
119	MONTREAL	19719	Lo Papa	Mariella	07-06-2013	Lawyer	Associé principal	PTPLEIN	12-30-1996	N/A	07-06-2013	07-06-2013	02-01-2015
120	MONTREAL	19631	Maher	Mikaël	03-25-2013	Lawyer	Avocat salarié	PTPLEIN	07-30-2015	N/A	07-30-2015		
121	MONTREAL	10567	Mailloux	Benoît	06-01-1995	Lawyer	Associé	PTPLEIN	04-01-1974	N/A	06-01-1995	06-01-1995	
122	MONTREAL	10569	Makila	Gary	11-10-2003	Lawyer	Associé	PTPLEIN	10-18-1990	N/A	11-10-2003	11-01-2003	
123	MONTREAL	19313	Mancini	Nicolas	04-02-2012	Lawyer	Avocat salarié	PTPLEIN	12-23-2015	N/A	12-23-2015		
124	MONTREAL	19980	Marceau	Émilie	03-31-2014	Lawyer	Avocat salarié	PTPLEIN	07-21-2017	N/A	2017-07-21		

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125	MONTREAL	10582	Marcotte	Jasmin	05-15-1995	Lawyer	Associé principal	PTPLEIN	12-10-1996	N/A	05-15-1995	02-01-2004	02-01-2006
126	MONTREAL	19381	Mariage	Frank	06-01-2012	Lawyer	Associé principal	PTPLEIN	01-01-2001	N/A	06-01-2012		06-01-2012
127	MONTREAL	10606	Masson	Jean	10-01-1979	Lawyer	Associé	PTPLEIN	11-11-1975	N/A	10-01-1979	05-01-2009	
128	MONTREAL	19979	McDuff	Valérie	03-31-2014	Lawyer	Avocat salarié	PTPLEIN	12-22-2017	N/A	12-22-2017		
129	MONTREAL	10614	McRoble	Ronald J.	11-01-1976	Lawyer	Associé principal	PTPLEIN	11-26-1976	N/A	11-01-1976		11-01-1976
130	MONTREAL	10618	Mercier	Charles	06-28-1999	Lawyer	Associé	PTPLEIN	11-07-1990	N/A	06-28-1999	02-01-2003	
131	NATIONAL	10522	Meunier	Pierre B.	05-01-1989	Lawyer	Associé	PTPLEIN	08-15-1967	N/A	05-01-1989	02-01-2009	01-01-1989
132	MONTREAL	19870	Meunier	Alexandra	02-07-2014	Lawyer	Avocat salarié	PTPLEIN	12-20-2013	N/A	02-07-2014		
133	MONTREAL	16809	Michaud	Guillaume-Pierre	05-07-2007	Lawyer	Associé	PTPLEIN	12-16-2008	N/A	12-17-2008	02-01-2016	
134	QUEBEC	10624	Mikus	Jean-Philippe	06-16-2003	Lawyer	Associé principal	PTPLEIN	10-23-1995	N/A	06-16-2003	06-16-2003	02-01-2006
135	MONTREAL	10630	Monet	Dominique	11-15-1985	Lawyer	Associé principal	PTPLEIN	11-14-1985	N/A	11-15-1985		02-01-1997
136	MONTREAL	20406	Morin	Marc-André	06-27-2015	Lawyer	Associé principal	PTPLEIN	11-11-2002	N/A	06-27-2015	06-27-2015	02-01-2018
137	MONTREAL	10196	Morissette	Érik	05-03-2004	Lawyer	Associé principal	PTPLEIN	10-30-1995	N/A	05-03-2004	05-03-2004	02-01-2010
138	MONTREAL	21277	Muzzo	Sandro	01-16-2018	Lawyer	Avocat salarié	PTPLEIN	07-22-2011	N/A	01-16-2018		
139	MONTREAL	21105	Naud	Anne-Marie	08-25-2017	Lawyer	Associé	PTPLEIN		N/A	08-25-2017	08-25-2017	
140	MONTREAL	10655	Neveu	Marie-Josée	05-16-1994	Lawyer	Associé principal	PTPLEIN	11-22-1994	N/A	05-16-1994	02-01-2002	02-01-2003
141	MONTREAL	10657	Nitoslawski	Marek	07-14-2003	Lawyer	Associé principal	PTPLEIN	11-24-1984	N/A	07-14-2003		07-14-2003
142	MONTREAL	10660	Novello	Marc	11-16-1989	Lawyer	Associé principal	PTPLEIN	12-06-1989	N/A	11-16-1989		02-01-1999
143	MONTREAL	10661	Onesi	Angela	10-12-1992	Lawyer	Associé principal	PTPLEIN	10-28-1992	N/A	10-12-1992	02-01-2000	02-01-2013
144	MONTREAL	10673	Paquin	Denis	08-31-1998	Lawyer	Associé	PTPLEIN	11-23-1978	N/A	08-31-1998	02-01-2015	02-01-2001
145	MONTREAL	16986	Paquin-Holmsted	Emilie	05-05-2008	Lawyer	Associé	PTPLEIN	07-26-2010	N/A	03-23-2015	02-01-2018	
146	MONTREAL	10679	Paré	Robert	12-01-1977	Lawyer	Associé principal	PTPLEIN	11-23-1977	N/A	12-01-1977	02-01-2018	12-01-1977
147	MONTREAL	21026	Pareja	Andres Miguel	06-19-2017	Lawyer	Avocat salarié	PTPLEIN	02-19-2014	N/A	06-19-2017		
148	MONTREAL	19316	Perrault	Nicolas-Karl	04-02-2012	Lawyer	Avocat salarié	PTPLEIN	12-18-2014	N/A	12-18-2014		
149	MONTREAL	10692	Perreault	Jean-François	11-11-1996	Lawyer	Associé principal	PTPLEIN	12-12-1994	N/A	11-11-1996	02-01-2002	02-01-2004
150	QUEBEC	10702	Picotte	Daniel	11-27-1978	Lawyer	Associé principal	PTPLEIN	12-06-1978	N/A	11-27-1978		11-27-1978
151	MONTREAL	19636	Pilote-Laroche	Laurie	03-25-2013	Lawyer	Avocat salarié QC	PTPLEIN	02-23-2016	N/A	02-24-2016		
152	MONTREAL	18525	Pittet	Delphine	05-16-2011	Lawyer	Avocat salarié	PTPLEIN	07-26-2013	N/A	07-29-2013		
153	NATIONAL	16890	Provencher	Christine	09-04-2007	Lawyer	Avocat salarié	PTPLEIN	07-26-2011	N/A	07-27-2011		
154	NATIONAL	19971	Quadrini	Matthew	03-31-2014	Lawyer	Avocat salarié	PTPLEIN	07-29-2016	N/A	01-25-2016		
155	MONTREAL	17127	Quessy	Anabel	08-31-2009	Lawyer	Avocat salarié	PTPLEIN	12-19-2012	N/A	12-20-2012		

APPENDIX B  
CLLAS 2018-2019  
List of lawyers  
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#	LOCATION	Employee ID	Last Name	First Name	Hiring date	Appendix B	STATUS	WORK STATUS	YEAR OF CALL QUEBEC BAR	APPENDIX C	CLLAS Hiring Date Lawyer (m/d/y)	CLLAS Partnership Regular (m/d/y)	CLLAS Partnership Equity (m/d/y)
156	MONTREAL	21180	Rabinovitch	Ryan	11-03-2017	Lawyer	Associé principal	PTPLEIN	11-30-2006	N/A	11-03-2017		11-03-2017
157	MONTREAL	10739	Racicot	Martin	01-11-1999	Lawyer	Associé principal	PTPLEIN	11-13-2000	N/A	01-11-1999	02-01-2008	02-01-2012
158	MONTREAL	16988	Ragas	Constantinos	05-05-2008	Lawyer	Associé	PTPLEIN	12-15-2010	N/A	12-15-2010	02-01-2018	
159	QUEBEC	20335	Raizenne	Jonathan	03-30-2015	Lawyer	Avocat salarié	PTPLEIN	07-21-2017	N/A	07-21-2017		
160	MONTREAL	10747	Ranger	Alain	01-21-1985	Lawyer	Associé principal	PTPLEIN	12-10-1982	N/A	01-21-1985		01-21-1985
161	MONTREAL	20011	Raymond	Stéphane	06-03-2014	Lawyer	Avocat salarié	PTPLEIN	12-21-2016	N/A	12-22-2016		
162	MONTREAL	20863	Reid	Sara	02-06-2017	Lawyer	Avocat salarié	TEMP TPL	03-01-2012	N/A	02-06-2017		
163	MONTREAL	17256	Renaud	Élise	09-20-2010	Lawyer	Associé principal	PTPLEIN	06-18-2001	N/A	09-20-2010	02-01-2013	02-01-2018
164	MONTREAL	20354	Renaud	Mathieu	06-15-2015	Lawyer	Avocat salarié	PTPLEIN	12-22-2015	N/A	09-26-2016		
165	MONTREAL	10755	Retsinas	Elias	05-07-2001	Lawyer	Associé principal	PTPLEIN	07-28-2003	N/A	07-28-2003	02-01-2010	02-01-2016
166	NATIONAL	10760	Riendeau	Alain	11-25-1986	Lawyer	Associé principal	PTPLEIN	11-24-1986	N/A	11-25-1986		02-01-1996
167	MONTREAL	10763	Rivest	Lucie	03-07-1994	Lawyer	Avocat reviseur	PTPLEIN	11-25-1982	N/A	03-07-1994		
168	MONTREAL	17057	Robitaille	Dave	05-04-2009	Lawyer	Associé	PTPLEIN	02-15-2010	N/A	02-10-2010	02-01-2017	
169	MONTREAL	10769	Rochette	Ilse	08-31-1998	Lawyer	Associé	PTPLEIN	10-24-1995	N/A	08-31-1998	02-01-2017	02-01-2005
170	MONTREAL	16325	Rose	Caitlin	05-08-2006	Lawyer	Associé	PTPLEIN	05-12-2009	N/A	05-13-2009	02-01-2016	
171	MONTREAL	10786	Roy	Sébastien	11-10-2003	Lawyer	Associé	PTPLEIN	12-31-1999	N/A	11-10-2003	02-01-2010	
172	QUEBEC	16612	Sabbatini	Erik	01-16-2007	Lawyer	Associé principal	PTPLEIN	11-02-1993	N/A	01-16-2007	01-16-2007	02-01-2009
173	MONTREAL	19632	Safiah	Guillaume	03-25-2013	Lawyer	Avocat salarié	PTPLEIN	07-30-2015	N/A	07-30-2015		
174	MONTREAL	17234	Samoshkina	Svetlana	05-17-2010	Lawyer	Avocat salarié	PTPLEIN	07-25-2012	N/A	07-25-2012		
175	MONTREAL	21055	Saskin	Adam	07-14-2017	Lawyer	Associé principal	PTPLEIN		N/A	07-14-2017		07-14-2017
176	MONTREAL	19774	Seguin	Patricia	10-11-2013	Lawyer	Associé	PTPLEIN	11-01-1986	N/A	10-11-2013	10-11-2013	
177	MONTREAL	16317	Semerjian	Chris	05-08-2006	Lawyer	Associé	PTPLEIN	04-13-2010	N/A	04-30-2010	02-01-2017	
178	MONTREAL	10813	Sheehan	Martin F.	10-10-1991	Lawyer	Associé principal	PTPLEIN	10-09-1991	N/A	10-10-1991	02-01-1999	02-01-2000
179	QUEBEC	18526	Shortt	Michael	05-16-2011	Lawyer	Avocat salarié	PTPLEIN	07-31-2014	N/A	07-31-2014		
180	MONTREAL	10822	Simard	Eric	03-31-2003	Lawyer	Associé principal	PTPLEIN	08-23-1996	N/A	03-31-2003	03-31-2003	02-01-2006
181	MONTREAL	19317	Simard	Joëlle	04-02-2012	Lawyer	Avocat salarié	PTPLEIN	07-31-2014	N/A	07-31-2014		
182	MONTREAL	20149	Simard	Nicolas	09-22-2014	Lawyer	Associé principal	PTPLEIN	04-07-2002	N/A	09-02-2014	02-01-2016	02-01-2018
183	MONTREAL	20222	Simard	Domlnique	01-05-2015	Lawyer	Avocat salarié	PTPLEIN	06-17-1994	N/A	01-05-2015		
184	MONTREAL	19197	Simonet	Catherine	11-28-2011	Lawyer	Avocat salarié	PTPLEIN	12-14-2008	N/A	11-28-2011		
185	QUEBEC	20099	Singh	Kiran	08-19-2014	Lawyer	Associé	PTPLEIN	12-17-2010	N/A	08-19-2014	02-01-2018	
186	MONTREAL	19867	Sow	Kadiatou	02-05-2014	Lawyer	Associé	PTPLEIN	07-28-2009	N/A	02-05-2014	02-01-2018	



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#	LOCATION	Employee ID	Last Name	First Name	Hiring date	Appendix B	STATUS	WORK STATUS	YEAR OF CALL QUEBEC BAR	APPENDIX C	CLLAS Hiring Date Lawyer (m/d/y)	CLLAS Partnership Regular (m/d/y)	CLLAS Partnership Equity (m/d/y)
187	MONTREAL	20373	Stephens	Caroline	05-25-2015	Lawyer	Avocat salarié	PTPLEIN	12-23-2015	N/A	12-23-2015		
188	MONTREAL	19633	Su	Dan	03-25-2013	Lawyer	Avocat salarié	PTPLEIN	12-21-2016	N/A	12-22-2016		
189	MONTREAL	20610	Sylvain-Jacques	Émilie	03-29-2016	Lawyer	Avocat salarié QC	PTPLEIN	02-27-2018	N/A	02-28-2018		
190	MONTREAL	10849	Synnott	Bernard	08-27-2001	Lawyer	Associé principal	PTPLEIN	12-22-1987	N/A	08-27-2001	08-27-2001	02-01-2004
191	MONTREAL	18527	Tacheji	Marc James	05-16-2011	Lawyer	Avocat salarié	PTPLEIN	12-18-2013	N/A	12-18-2013		
192	MONTREAL	10894	Tétreault	Marie Carole	07-01-2002	Lawyer	Associé	PTPLEIN	09-29-1993	N/A	07-01-2002	02-01-2004	
193	MONTREAL	20273	Therriault	Jean-Philippe	02-02-2015	Lawyer	Avocat salarié	PTPLEIN	09-17-2013	N/A	02-02-2015		
194	MONTREAL	20003	Therrien	Émilie	04-22-2014	Lawyer	Avocat salarié	PTPLEIN	08-11-2010	N/A	04-22-2014		
195	QUEBEC	19627	Tremblay	Laila	03-25-2013	Lawyer	Avocat salarié	TEMP TPL	12-21-2016	N/A	12-22-2016		
196	MONTREAL	20951	Tremblay	Carl	03-15-1993	Lawyer	Associé principal	PTPLEIN	01-01-1989	N/A	03-15-1993		04-24-2017
197	MONTREAL	21077	Tremblay	Frédérique	08-21-2017	Lawyer	Avocat salarié	PTPLEIN	03-18-2015	N/A	08-21-2017		
198	MONTREAL	10882	Trépanier	Christian	05-03-1999	Lawyer	Associé principal	PTPLEIN	12-15-1997	N/A	05-03-1999	02-01-2005	02-01-2012
199	MONTREAL	19823	Turgeon	Yves	01-11-2014	Lawyer	Associé	PTPLEIN	01-01-1987	N/A	01-11-2014	01-11-2014	
200	MONTREAL	10887	Turnel	André	06-19-2000	Lawyer	Associé principal	PTPLEIN	10-19-1990	N/A	06-19-2000	02-01-2002	02-01-2003
201	MONTREAL	10889	Turnel	Benolt	11-15-1985	Lawyer	Associé principal	PTPLEIN	11-14-1985	N/A	11-15-1985		02-01-1998
202	MONTREAL	10896	Vachon	Patrice	10-11-1983	Lawyer	Associé principal	PTPLEIN	01-07-1981	N/A	01-12-2008		01-12-2008
203	MONTREAL	19319	Valois	Marie-Christine	04-02-2012	Lawyer	Avocat salarié	PTPLEIN	07-31-2014	N/A	07-31-2014		
204	MONTREAL	20751	Vanjaka	Emil	10-03-2016	Lawyer	Avocat salarié	PTPLEIN	12-20-2015	N/A	10-03-2016		
205	QUEBEC	10908	Villani	Peter	07-28-1997	Lawyer	Associé principal	PTPLEIN	05-12-1987	N/A	07-28-1997	02-01-1999	02-01-2000
206	MONTREAL	10909	Villaran	Gilda	09-11-1995	Lawyer	Associé	PTPLEIN	01-19-1998	N/A	01-19-1998	02-01-2014	
207	MONTREAL	19905	Wiener	Neil	02-17-2014	Lawyer	Associé principal	PTPLEIN	11-02-1981	N/A	02-17-2014		02-17-2014
208	MONTREAL	10301	Forget	Maurice	06-01-1970	COUNSEL	Avocat conseil	TEMP TPL	08-12-1970	N/A	04-01-1981		
209	MONTREAL	10312	Fox	Francis	09-01-1997	COUNSEL	Avocat conseil salarié	TEMP TPLSA	04-01-1963	N/A	09-01-1997		
210	MONTREAL	21153	Gagnon	Jean H.	11-01-2017	COUNSEL	Avocat conseil	PTPLEIN	01-01-1974	N/A			
211	MONTREAL	10391	Guérette	Serge	03-01-1973	COUNSEL	Avocat conseil	TEMP TPL	03-12-1973	N/A	03-01-1973		
212	MONTREAL	10518	LeBlond	Michel	11-10-2003	COUNSEL	Avocat conseil	PTPLEIN	09-07-1971	N/A	11-10-2003		
213	MONTREAL	16564	Mailhot	Louise	12-04-2006	COUNSEL	Associé	PTPART SA	01-21-1966	N/A	12-04-2006		
214	NATIONAL	10864	Toupin	Lambert	01-01-1964	COUNSEL	Avocat conseil	PTPLEIN SA	06-01-1957	N/A	01-01-1964		

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List of partners with PLC  
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#	LOCATION	Employee ID	Last Name	First Name	FY18 STATUS	Initials	PLC	Name PLC
1	MONTREAL	17076	Baudouin	Jean-Louis	Regular Partner	JLB	Yes	Services Conseil Jean-Louis Baudoin inc.
2	NATIONAL	10231	Desrosiers	Julie	Equity Partner	JD	Yes	Desrosiers Conseils inc.
3	MONTREAL	21179	Harvey	Frédéric	Equity Partner	FH	Yes	9334-4109 Québec inc.
4	QUEBEC	10637	Morency	Jean G.	Equity Partner	JGM	Yes	Jean G. Morency services Conseils inc.
5	MONTREAL	19868	Perreault	Rhéaume	Equity Partner	RP	Yes	Perreault Rhéaume Conseil inc.
6	MONTREAL	16199	Turgeon	David	Equity Partner	DT	Yes	David Turgeon Avocats inc.

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Quebec Region  
List of All Active Members  
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LOCATION	Employee ID	Last Name	First Name	Hire date	Appointeur	Cod. de poste	Rénumérotation effective int.	Year of Call	Appointeur C	PLC	NAME OF PLC
MONTREAL	20332	Abrams	Dana	2015-03-30	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2017-12-22	N/A		
MONTREAL	20330	Adams	Michael	2015-03-30	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2017-07-21	N/A		
MONTREAL	20039	Altankova	Margarita	2014-05-26	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2014-12-18	N/A		
MONTREAL	20966	Amhar	Malva	2017-05-15	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2015-07-22	N/A		
MONTREAL	20199	Ang	Ponora	2014-11-24	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2006-07-28	N/A		
MONTREAL	10015	Archambault	Chloé	2002-07-15	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	1994-04-05	N/A		
QUEBEC	10023	Auger	Claude	1987-01-05	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	1982-08-11	N/A		
MONTREAL	15756	Aywin	Antoine	2004-09-27	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2003-12-22	N/A		
MONTREAL	17255	Barette	François	2010-09-03	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1981-01-07	N/A		
QUEBEC	16803	Barilault	Frédéric	2007-05-07	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2011-07-26	N/A		
MONTREAL	17076	Baudouin	Jean-Louis	2009-01-12	PLC	M-AVOASS	AVOCAT/ASSOCIÉ	1963-05-10	N/A	Yes	Services Conseil Jean-Louis Baudouin Inc.
MONTREAL	19978	Beauchamp	Philippe	2014-04-02	Lawyer	M-AVOSALQC	AVOCAT/AVOCAT SALARIÉ	2016-07-08	N/A		
MONTREAL	10110	Bédard	Eric	1989-11-16	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1989-12-06	N/A		
MONTREAL	19700	Bélanger	Marie-Gabrielle	2013-06-17	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2013-12-18	N/A		
QUEBEC	19860	Bélanger	Carl	2014-02-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1998-01-01	N/A		
MONTREAL	10120	Béliveau	Luc	2001-12-03	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1991-06-07	N/A		
MONTREAL	10121	Béliveau	Nathalie-Anne	2002-05-13	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1994-12-22	N/A		
QUEBEC	19330	Béliveau	Amélie	2012-05-14	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2013-07-26	N/A		
MONTREAL	19903	Bellefleur	Sébastien	2014-02-17	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2005-11-11	N/A		
MONTREAL	10051	Bernard	Annie	2000-05-08	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2003-06-05	N/A		
QUEBEC	10054	Bernier	Louis	1975-02-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1975-12-08	N/A		
MONTREAL	10059	Bertrand	Diane	1997-05-26	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1998-12-11	N/A		
MONTREAL	20727	Bisson	Simon	2016-08-29	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2014-09-19	N/A		
MONTREAL	16981	Blanchette	Nikolas	2008-04-28	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2006-12-05	N/A		
QUEBEC	10067	Boisard	Michel	1989-11-16	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1989-12-06	N/A		
QUEBEC	17054	Boucher	Marc-André	2008-09-18	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2006-12-29	N/A		
QUEBEC	16989	Boudreau	Noah	2008-05-05	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2010-12-14	N/A		
MONTREAL	10083	Bourdeau	Sylvie	1997-04-21	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1988-11-09	N/A		
MONTREAL	17254	Brais	François	2010-09-02	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1998-12-21	N/A		
MONTREAL	16400	Breault	Valérie	2006-07-31	Lawyer	M-AVOSALQC	AVOCAT/AVOCAT SALARIÉ		N/A		
MONTREAL	17235	Bundock	Émilie	2010-05-17	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2012-12-19	N/A		
QUEBEC	10125	Cabana	Paul	2003-05-06	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2007-05-15	N/A		
MONTREAL	20463	Canlière	Louis	2015-09-15	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	1995-05-12	N/A		
MONTREAL	10139	Castillo	Gabriel	2003-10-20	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2002-08-05	N/A		
MONTREAL	16985	Céret Lagana	Vincent	2008-05-05	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2011-11-30	N/A		
MONTREAL	10145	Chamberland	Jean-Pierre	2001-04-30	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1995-10-23	N/A		
MONTREAL	19375	Champagne-Gilbert	Jean-Raphaël	2012-05-09	Lawyer	M-AVOSALQC	AVOCAT/AVOCAT SALARIÉ	2013-04-10	N/A		
MONTREAL	17203	Charest-Beaudry	Philippe	2009-11-30	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2007-08-13	N/A		
MONTREAL	16931	Charlebois	Pierre-Olivier	2008-01-07	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2004-12-20	N/A		
QUEBEC	19872	Charpentier	Alexis	2014-02-05	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2009-07-31	N/A		
MONTREAL	10148	Chassé	Yves	2003-11-10	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	1988-03-10	N/A		
MONTREAL	16821	Chauvette	Denis	2007-05-28	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1975-01-06	N/A		
MONTREAL	20333	Chen	Ao	2015-03-30	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2017-12-22	N/A		
MONTREAL	19628	Clecha	Marcelo	2013-03-25	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2014-12-18	N/A		
MONTREAL	10162	Clare	Richard	1976-05-17	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	1976-11-26	N/A		
MONTREAL	10167	Cloutier	Jean-François	2002-04-08	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1998-03-12	N/A		
MONTREAL	20010	Côté Lépine	Paul	2014-05-26	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2015-07-30	N/A		
MONTREAL	20743	Courmoyer-Proulx	Magali	2016-09-09	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	1997-04-01	N/A		
QUEBEC	20334	De Rose	Sarah	2015-03-30	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2017-12-22	N/A		
QUEBEC	17108	Delage	Jean-Nicolas	2009-06-01	Lawyer	M-AVASSPRIN	AVOCAT AGENT BREVETS ET MARQUES/ASSOCIÉ PRINCIPAL	1994-11-22	N/A		

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LOCATION	Employer ID	Last Name	First Name	Hiring Date	Apprentice	Code of Practice	Minimum Investment	Year of Call	Apprentice	PLC	NAME OF FIRM
MONTREAL	10218	Delwilde	Karl	1986-05-05	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1979-12-07	N/A		
MONTREAL	19744	Derron	Nancy	2013-08-17	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1993-12-01	N/A		
MONTREAL	10169	Dery	Laurence	2002-05-06	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	2003-11-14	N/A		
MONTREAL	20316	Desjardins	Valérie	2005-03-30	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2017-07-07	N/A		
NATIONAL	10231	Dion	Julie	1993-10-10	PLC	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	1991-10-09	N/A	Yes	Desjardins Cont&lt; Inc.
MONTREAL	10233	Dion	Guy C	1990-09-24	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1987-11-27	N/A		
MONTREAL	19819	Dorion	Charles-Anne	2014-03-06	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	2010-03-03	N/A		
MONTREAL	19925	Dugas	Jean-Sébastien	2014-03-21	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	1999-01-01	N/A		
QUEBEC	19982	Dupont	Robert	2014-02-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2017-12-22	N/A		
MONTREAL	19830	Durocher	André	1981-09-08	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1975-11-11	N/A		
NATIONAL	10263	Durocher	Laurence	2017-04-26	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	2014-07-30	N/A		
MONTREAL	20949	Ede	Jacques	2014-05-05	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2010-04-15	N/A		
MONTREAL	20028	Ede	Jacques	1983-11-19	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1982-12-08	N/A		
MONTREAL	10278	Fabien	Marc-André	2010-05-17	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	2012-07-25	N/A		
MONTREAL	17236	Fabien	André	2007-05-29	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1981-12-23	N/A		
MONTREAL	16888	Faucher	Nicolas	2011-01-17	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	2016-12-21	N/A		
NATIONAL	18495	Fichall	Youssef J.	2014-02-05	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1997-11-28	N/A		
MONTREAL	19869	Filion	Stéphane	1981-11-19	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1981-12-09	N/A		
MONTREAL	10299	Ford	Andrew	1970-06-01	COUNSEL	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1970-06-11	N/A		
MONTREAL	10301	Forest	Maurice	1981-04-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1970-06-11	N/A		
MONTREAL	10302	Forest	Benoît	1981-04-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1970-06-11	N/A		
QUEBEC	10309	Fournier	Karine	2002-05-06	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2004-11-16	N/A		
MONTREAL	10312	Fox	Frédéric	1997-09-01	COUNSEL	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1993-04-01	N/A		
MONTREAL	10329	Gagné	Jean M.	1995-05-22	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1980-06-01	N/A		
MONTREAL	10331	Gagné	Martin R.	1995-06-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1978-12-15	N/A		
MONTREAL	16459	Gagné	Mathieu	2006-10-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1997-12-16	N/A		
MONTREAL	10318	Gagnon	Alexandre	2003-05-06	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2007-05-15	N/A		
MONTREAL	16331	Gagnon	Audrey	2006-05-08	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	2007-08-22	N/A		
MONTREAL	19634	Gagnon	Marie-Eve	2013-03-25	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	2016-03-23	N/A		
MONTREAL	21163	Gagnon	Jean H.	2017-11-01	COUNSEL	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1974-01-01	N/A		
MONTREAL	19768	Gagnon	Marie-Pier	2014-03-31	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	2016-12-21	N/A		
MONTREAL	19971	Gagnon	Valérie	2014-03-07	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	2016-12-21	N/A		
MONTREAL	19970	Gauthier	Justine	2014-03-31	Lawyer	M-AVASSALOC	AVOCAT/ASSOCIÉ PRINCIPAL	2016-07-28	N/A		
MONTREAL	10350	Gibert	Annick	2003-05-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2004-11-26	N/A		
MONTREAL	10644	Gibert	Frédéric	2004-03-22	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2000-12-28	N/A		
MONTREAL	10358	Girard	Robert Y.	2001-10-09	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1983-12-30	N/A		
MONTREAL	16903	Girard	Claude	2007-09-28	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1972-08-31	N/A		
MONTREAL	10363	Gobeil	Sébastien	1999-05-03	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	2000-11-30	N/A		
MONTREAL	21163	Goidick	Catherine-Anne	2018-01-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2017-02-15	N/A		
MONTREAL	20952	Goulet	Katell-Anne	2017-04-21	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2002-05-01	N/A		
MONTREAL	20973	Grimshaw	Rhonda	2017-05-22	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2012-01-01	N/A		
MONTREAL	10391	Gullette	Serge	1973-03-01	COUNSEL	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1973-03-12	N/A		
MONTREAL	19861	Gunn	Antoine	2014-05-28	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2016-07-29	N/A		
QUEBEC	10389	Gutierrez	Felix	1999-05-25	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2002-04-22	N/A		
MONTREAL	25146	Halwag	Jonathan	2017-11-07	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2004-01-01	N/A		
MONTREAL	21037	Harvey	Janie	2017-11-07	PLC	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2010-03-24	N/A		
MONTREAL	21179	Harvey	Frédéric	2017-11-03	PLC	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1987-01-01	N/A	Yes	9334-4109 Québec Inc.
MONTREAL	20329	Héault	Patricia	2015-03-30	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2017-07-21	N/A		
MONTREAL	16951	Jacques	Christian	2008-01-21	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2004-11-12	N/A		
MONTREAL	20422	Jain	Clifton	2015-07-08	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2007-09-12	N/A		



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QUEBEC	15879	Judith	Claude E.	2005-01-04	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1987-11-20	N/A	N/A	
MONTREAL	20295	Joyce	Dora	2014-11-17	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ PRINCIPAL	2014-08-20	N/A	N/A	
MONTREAL	17075	Katle	Mirine-Anne	2009-01-05	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ	2008-12-16	N/A	N/A	
MONTREAL	10447	Kirby	Peter	1971-11-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1986-05-12	N/A	N/A	
MONTREAL	20684	Kravitz	Nell	2014-06-20	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1997-01-01	N/A	N/A	
MONTREAL	16890	Lacoste	Simon	2014-02-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2004-02-13	N/A	N/A	
MONTREAL	10463	Lacourtière	Richard	1978-01-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1977-11-18	N/A	N/A	
MONTREAL	10464	Lacourtière	Yves	2003-11-10	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1980-12-12	N/A	N/A	
QUEBEC	10469	Lafleur	Marie	1986-07-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1983-12-15	N/A	N/A	
QUEBEC	16035	Lafleur	Vincent	2013-03-25	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ PRINCIPAL	2016-04-16	N/A	N/A	
MONTREAL	10474	Lalonde	Stéphane	1985-03-20	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ	1985-04-01	N/A	N/A	
MONTREAL	16799	Lalonde	Isabelle	1985-05-05	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ PRINCIPAL	2011-07-26	N/A	N/A	
MONTREAL	15880	Lalonde	Jean-Michel	2005-01-12	Lawyer	M-AVASSPRIN	AVOCAT AGENT BREVETS ET MARQUES/AVOCAT SALARIÉ	2007-03-12	N/A	N/A	
QUEBEC	10493	Lalonde	Chloé	2002-06-03	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ	2003-05-06	N/A	N/A	
MONTREAL	16001	Lalonde	Stéphane	1979-05-01	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ PRINCIPAL	2014-07-31	N/A	N/A	
MONTREAL	19111	Lalonde	Sarah P.	2012-04-02	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ PRINCIPAL	2014-12-18	N/A	N/A	
MONTREAL	13312	Lalonde	Alexandra	2012-04-02	Lawyer	M-AVASSAL	AVOCAT/ASSOCIÉ PRINCIPAL	1992-10-28	N/A	N/A	
MONTREAL	10510	Lalonde	Christine	2003-06-16	Lawyer	M-AVASSPRIN	AVOCAT AGENT BREVETS ET MARQUES/AVOCAT SALARIÉ	2008-11-21	N/A	N/A	
MONTREAL	20812	Lalonde	Christine	2016-12-07	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	2004-11-26	N/A	N/A	
MONTREAL	10517	Lalonde	Mathieu	2003-05-09	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2007-11-28	N/A	N/A	
MONTREAL	13576	Lalonde	Nicolas	2005-09-20	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2007-11-28	N/A	N/A	
MONTREAL	10518	Lalonde	Mathieu	2003-11-10	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1971-09-07	N/A	N/A	
MONTREAL	10559	Lalonde	Éthel	2000-04-17	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1999-11-12	N/A	N/A	
MONTREAL	16619	Lalonde	Sophie	2007-01-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2008-02-18	N/A	N/A	
MONTREAL	10645	Lalonde	Yves	2003-11-10	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1982-12-12	N/A	N/A	
MONTREAL	16613	Lalonde	Dominique	2007-01-26	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1978-10-27	N/A	N/A	
MONTREAL	15719	Lalonde	Loïc	2013-07-06	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1996-12-30	N/A	N/A	
MONTREAL	15031	Lalonde	Michael	2013-03-25	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2015-07-30	N/A	N/A	
MONTREAL	16564	Lalonde	Louise	2006-12-04	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1966-01-21	N/A	N/A	
MONTREAL	10527	Lalonde	Benoît	1991-06-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1974-04-01	N/A	N/A	
MONTREAL	10589	Lalonde	Gary	2003-11-10	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1990-10-18	N/A	N/A	
MONTREAL	15713	Lalonde	Nicolas	2012-04-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2015-12-23	N/A	N/A	
MONTREAL	15980	Lalonde	Emilie	2014-03-31	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2017-07-21	N/A	N/A	
MONTREAL	10582	Lalonde	Jamie	1995-03-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1996-12-10	N/A	N/A	
MONTREAL	15381	Lalonde	Frank	2012-06-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2001-01-01	N/A	N/A	
MONTREAL	10606	Lalonde	Jean	1979-10-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1975-11-11	N/A	N/A	
MONTREAL	10614	Lalonde	Valérie	2014-03-31	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2017-12-22	N/A	N/A	
MONTREAL	10618	Lalonde	Ronald J.	1976-11-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1976-11-28	N/A	N/A	
MONTREAL	10622	Lalonde	Charles	1999-06-28	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1990-11-07	N/A	N/A	
MONTREAL	10624	Lalonde	Pierre B.	1985-06-01	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1987-08-15	N/A	N/A	
MONTREAL	10626	Lalonde	Alexandra	2014-02-07	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2013-12-20	N/A	N/A	
MONTREAL	10628	Lalonde	Guillaume-Pierre	2007-05-07	Lawyer	M-AVASSPRIN	AVOCAT AGENT BREVETS ET MARQUES/ASSOCIÉ PRINCIPAL	2008-12-16	N/A	N/A	
MONTREAL	10630	Lalonde	Jean-Philippe	2003-06-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1995-10-23	N/A	N/A	
MONTREAL	10632	Lalonde	Monet	1985-11-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1985-11-14	N/A	N/A	
MONTREAL	10637	Lalonde	Jean G.	1986-07-21	PLC	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1978-02-28	N/A	N/A	
MONTREAL	20406	Lalonde	Marie-Anne	2015-06-27	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2002-11-11	N/A	N/A	
MONTREAL	10195	Lalonde	Erik	2014-05-03	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1995-10-30	N/A	N/A	
MONTREAL	21277	Lalonde	Sandro	2018-01-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2011-07-22	N/A	N/A	
MONTREAL	21105	Lalonde	Anna-Marie	2017-08-25	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ	1994-11-22	N/A	N/A	
MONTREAL	10555	Lalonde	Marie-Josée	1994-05-16	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL				

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MONTREAL	10657	Ribicawski	Mark	2003-07-14	LAWYER	M-AVASSPRIN	AVOCAT AGENT BREVETS ET MARQUES/ASSOCIE PRINCIPAL	1984-11-24	N/A		
MONTREAL	10660	Novello	Mark	1989-11-16	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1989-12-06	N/A		
MONTREAL	10661	Ortel	Angela	1972-10-12	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1972-10-28	N/A		
MONTREAL	10673	Pacquin	Denis	1978-08-31	LAWYER	M-AVASS	AVOCAT/ASSOCIE	1978-11-23	N/A		
MONTREAL	10686	Piquin-Holmsted	Emilie	2008-05-05	LAWYER	M-AVASS	AVOCAT/ASSOCIE	2019-07-26	N/A		
MONTREAL	10679	Paré	Robert	1977-12-01	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE	1977-11-23	N/A		
MONTREAL	13106	Parré	André Michel	2017-05-19	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2014-02-19	N/A		
MONTREAL	13116	Perrault	Nicolas Karl	2012-04-02	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2014-12-18	N/A		
MONTREAL	10692	Perrault	Phéaume	1996-11-11	PLC	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1997-11-28	N/A	Yes	Perrault Rheaume Cincel Inc.
MONTREAL	18688	Perrault	Phéaume	2014-02-01	PLC	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1978-12-06	N/A		
QUEBEC	10702	Picotte	David	1978-11-27	LAWYER	M-AVASSPRIN	AVOCAT/AVOCAT SALARIÉ	2015-02-23	N/A		
MONTREAL	19036	Pierre-Landrie	Isabelle	2013-03-25	LAWYER	M-AVASSALOC	AVOCAT/AVOCAT SALARIÉ	2013-07-26	N/A		
MONTREAL	18025	Pittet	Delphine	2011-05-16	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2011-07-26	N/A		
NATIONAL	16930	Provencher	Christine	2007-09-04	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2016-07-20	N/A		
NATIONAL	15971	Quadrini	Matthew	2014-03-31	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2013-12-19	N/A		
MONTREAL	17127	Quessy	Anabel	2009-08-31	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2006-11-30	N/A		
MONTREAL	21180	Rabinovich	Ryan	2017-11-03	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	2009-11-13	N/A		
MONTREAL	10739	Racot	Martin	1999-01-11	LAWYER	M-AVASS	AVOCAT/ASSOCIE	2010-12-15	N/A		
MONTREAL	16788	Pagas	Constantinos	2008-05-05	LAWYER	M-AVASS	AVOCAT/AVOCAT SALARIÉ	1982-12-10	N/A		
QUEBEC	20335	Raoult	Jonathan	2015-03-10	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	2016-12-21	N/A		
MONTREAL	10747	Ranger	Adin	1985-01-21	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2012-03-01	N/A		
MONTREAL	20011	Raymond	Stephanie	2014-05-01	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2001-06-18	N/A		
MONTREAL	20853	Reid	Sara	2017-02-06	LAWYER	M-AVASSAL	AVOCAT/ASSOCIE PRINCIPAL	2013-12-22	N/A		
MONTREAL	17256	Renaud	Elise	2010-09-20	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	2001-06-18	N/A		
MONTREAL	20354	Renaud	Mathieu	2015-06-15	LAWYER	M-AVASSAL	AVOCAT/ASSOCIE PRINCIPAL	2003-07-28	N/A		
MONTREAL	10755	Rensnas	Elias	2001-05-07	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1986-11-24	N/A		
NATIONAL	10760	Rendou	Alain	1986-11-25	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1982-11-25	N/A		
MONTREAL	10763	Rivet	Lucio	1994-03-07	LAWYER	M-AVASS	AVOCAT/ASSOCIE	2010-02-15	N/A		
MONTREAL	21057	Robitaille	Dave	2009-06-04	LAWYER	M-AVASS	AVOCAT/ASSOCIE	1975-10-24	N/A		
MONTREAL	10759	Robitaille	Elise	1998-08-31	LAWYER	M-AVASS	AVOCAT/ASSOCIE	2009-05-12	N/A		
MONTREAL	16375	Roe	Calvin	2006-05-08	LAWYER	M-AVASS	AVOCAT AGENT BREVETS ET MARQUES/ASSOCIE	1999-12-31	N/A		
MONTREAL	10786	Roy	Sebastien	2009-11-10	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1991-11-02	N/A		
QUEBEC	16612	Sabotini	Erik	2007-01-16	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2015-07-30	N/A		
MONTREAL	15632	Salah	Guillaume	2013-03-25	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2012-07-25	N/A		
MONTREAL	17234	Sampholina	Svetlana	2010-05-17	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1988-11-01	N/A		
MONTREAL	21055	Saskin	Adam	2017-07-14	LAWYER	M-AVASS	AVOCAT AGENT BREVETS ET MARQUES/ASSOCIE	2010-04-13	N/A		
MONTREAL	19774	Saskin	Patricia	2013-10-11	LAWYER	M-AVASS	AVOCAT/ASSOCIE	1991-10-09	N/A		
MONTREAL	16317	Semirjan	Chris	2006-05-08	LAWYER	M-AVASS	AVOCAT/ASSOCIE PRINCIPAL	2014-07-31	N/A		
MONTREAL	10813	Shedden	Marin F.	1991-10-10	LAWYER	M-AVASSPRIN	AVOCAT/AVOCAT SALARIÉ	1996-08-23	N/A		
QUEBEC	18526	Shortt	Michael	2011-05-15	LAWYER	M-AVASSAL	AVOCAT/ASSOCIE PRINCIPAL	2014-07-31	N/A		
MONTREAL	10822	Sinard	Eric	2003-03-31	LAWYER	M-AVASSPRIN	AVOCAT/ASSOCIE PRINCIPAL	1996-08-23	N/A		
MONTREAL	19317	Sinard	Isabelle	2012-04-02	LAWYER	M-AVASSAL	AVOCAT/AVOCAT SALARIÉ	2014-07-31	N/A		

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MONTREAL	20149	Simard	Nicolas	2014-09-22	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	2002-04-07	N/A		
MONTREAL	20222	Simard	Dominique	2015-01-05	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	1994-06-17	N/A		
MONTREAL	19197	Simonet	Catherine	2011-11-28	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2008-12-14	N/A		
QUEBEC	20099	Singh	Kiran	2014-08-19	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2010-12-17	N/A		
MONTREAL	19867	Sow	Kadlatou	2014-02-05	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	2009-07-28	N/A		
MONTREAL	20373	Stephens	Caroline	2015-05-25	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2015-12-23	N/A		
MONTREAL	19633	Su	Dan	2013-03-25	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2016-12-21	N/A		
MONTREAL	20610	Sylvain-Jacques	Émilie	2016-03-29	Lawyer	M-AVOSALQC	AVOCAT/AVOCAT SALARIÉ		N/A		
MONTREAL	10849	Synodit	Bernard	2001-06-27	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1987-12-22	N/A		
MONTREAL	18517	Tacheji	Marc James	2011-05-16	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2013-12-18	N/A		
MONTREAL	10894	Tétreault	Marie Carole	2002-07-01	Lawyer	M-AVOASS	AVOCAT AGENT BREVETS ET MARQUES/ASSOCIÉ	1993-09-29	N/A		
MONTREAL	20273	Therriault	Jean-Philippe	2015-02-02	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2013-09-17	N/A		
MONTREAL	20003	Therrien	Émilie	2014-04-22	Lawyer	M-AVOSAL	AVOCAT AGENT BREVETS ET MARQUES/AVOCAT SALARIÉ	2010-08-11	N/A		
NATIONAL	10864	Toupin	Lambert	1964-01-01	COUNSEL	M-AVOCON	AVOCAT/CONSEIL	1957-06-01	N/A		
QUEBEC	19627	Tremblay	Laila	2013-03-25	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2016-12-21	N/A		
MONTREAL	20951	Tremblay	Carl	1993-03-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1989-01-01	N/A		
MONTREAL	21077	Tremblay	Frédérique	2017-08-21	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2015-03-18	N/A		
MONTREAL	10882	Trépanier	Christian	1959-05-03	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1997-12-15	N/A		
MONTREAL	16190	Turgeon	David	2004-10-20	PLC	M-AVASSPRIN	AVOCAT AGENT BREVETS ET MARQUES/ASSOCIÉ PRINCIPAL	2005-12-20	N/A	Yes	David Turgeon Avocats Inc.
MONTREAL	19813	Turgeon	Yves	2014-01-11	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	1987-01-01	N/A		
MONTREAL	10887	Turnel	André	2000-06-19	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1990-10-19	N/A		
MONTREAL	10889	Turnel	Benolt	1985-11-15	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1985-11-14	N/A		
MONTREAL	10896	Vachon	Patrice	1983-10-11	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1981-01-07	N/A		
MONTREAL	19319	Valois	Marie-Christine	2012-04-02	Lawyer	M-AVOSAL	AVOCAT/AVOCAT SALARIÉ	2014-07-31	N/A		
MONTREAL	20751	Vanjara	Emil	2016-10-03	Lawyer	M-AVOSAL	EMPLOYES ADMIN/CHIEFS ET AUTRES EMPLOYES NATIONAUX	2015-12-20	N/A		
QUEBEC	10908	Villan	Peter	1997-07-28	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1987-05-12	N/A		
MONTREAL	10909	Villaran	Gilda	1995-09-11	Lawyer	M-AVOASS	AVOCAT/ASSOCIÉ	1998-01-19	N/A		
MONTREAL	19905	Wiener	Neil	2014-02-17	Lawyer	M-AVASSPRIN	AVOCAT/ASSOCIÉ PRINCIPAL	1981-11-02	N/A		

Ontario

## **APPENDIX B**



APPENDIX B  
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018

Name of Firm: Fasken Martineau DuMoulin LLP

	CANADA					OUTSIDE OF CANADA <sup>15</sup>	
	B.C.	Alberta	Ontario	Quebec	Other Provinces (Please specify)	U.K.	South Africa
a) No. of Lawyers <sup>11</sup>			273	<i>list</i>			
b) No. of Patent & Trademark Agents <sup>12</sup>			0				
c) No. of Non-lawyer Consultants <sup>13</sup>			4				
d) No. of Paralegals			46				
e) No. of Other Employees			323				
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>14</sup>			0	<i>OK</i>			

<sup>11</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>12</sup> These are not lawyers.

<sup>13</sup> Please complete Appendix C if individuals are reported under this category.

<sup>14</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>15</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

# Active Timekeepers in Ontario Region - AS of March 1, 2018

TK #	TK Name	Title	Year of Call	Employment Date	Termination Date	Location	Department	Number
20241	Arbabi, Nkusha	Associate	2017	11/09/2017		FMD - Toronto	PG - Corporate / Commercial	1
19951	Arseneault, Sophie	Associate	2015	24/08/2015		FMD - Ottawa	PG - Labour, Employment & Human Rights	2
21049	Beal, Megan	Associate	2014	17/07/2017		FMD - Toronto	PG - Labour, Employment & Human Rights	3
18132	Beck, Kathryn	Associate	2011	12/09/2011		FMD - Toronto	PG - Health Law	4
20029	Beliefontaine, Craig	Associate	2014	12/05/2014		FMD - Toronto	PG - Financial Institutions Group	5
19002	Bertollo, Jesse	Associate	2013	09/09/2013		FMD - Toronto	PG - Corporate / Commercial	6
21191	Burnshko, Zackary	Associate	2017	20/11/2017		FMD - Toronto	PG - Banking & Finance	7
20714	Caplan, Colough	Associate	2015	29/08/2016		FMD - Toronto	PG - Real Estate	8
20167	Cartagena, Rosario G.	Associate	2010	12/11/2014		FMD - Toronto	PG - Health Law	9
21029	Cepier, Adam	Associate	2016	26/06/2017		FMD - Toronto	PG - Corporate / Commercial	10
18295	Chochia, Dylan	Associate	2012	10/09/2012		FMD - Toronto	PG - Insolvency & Restructuring	11
16321	Choi, Jay	Associate	2009	22/04/2013		FMD - Toronto	PG - Banking & Finance	12
20454	Cornegna, Josephine L.	Associate	2012	31/08/2015		FMD - Toronto	PG - Litigation & Dispute Resolution	13
19004	Connors, Justine	Associate	2013	09/09/2013		FMD - Toronto	PG - Corporate / Commercial	14
19795	Conrad, Daniel	Associate	2016	20/06/2016		FMD - Toronto	PG - Banking & Finance	15
21025	Crescenzi, Alessia	Associate	2017	31/07/2017		FMD - Toronto	PG - Litigation & Dispute Resolution	16
19005	Crombie, Reid	Associate	2013	28/04/2014		FMD - Toronto	PG - Corporate / Commercial	17
20835	Dai, Roseanna	Associate	2017	09/01/2017		FMD - Toronto	PG - Infrastructure and/or PPP	18
20836	DePasquale, Gianrico	Associate	2017	03/01/2017		FMD - Toronto	PG - Infrastructure and/or PPP	19
20242	Downer, Matthew	Associate	2017	31/07/2017		FMD - Toronto	PG - Corporate / Commercial	20
20894	Edger, Robin	Associate	2009	01/03/2017		FMD - Toronto	PG - Government Relations and Ethics	21
11326	Egsgard, Jennifer	Associate	2002	03/11/2016		FMD - Toronto	PG - Litigation & Dispute Resolution	22
19796	Elson, Valerie	Associate	2016	12/09/2016		FMD - Toronto	PG - Corporate / Commercial	23
19797	Feltrin, Claire	Associate	2016	12/09/2016		FMD - Toronto	PG - Litigation & Dispute Resolution	24
18150	Ferguson, Christopher	Associate	2011	18/10/2017		FMD - Toronto	PG - Intellectual Property	25
18297	Goodwin, Sarah H.	Associate	2012	10/09/2012		FMD - Toronto	PG - Intellectual Property	26
19596	Grady, Leslie	Associate	2015	08/09/2015		FMD - Toronto	PG - Corporate / Commercial	27
20243	Gregoire, Dana	Associate	2017	04/07/2017		FMD - Toronto	PG - Corporate / Commercial	28
18298	Harper, Jesse	Associate	2012	10/09/2012		FMD - Toronto	PG - Litigation & Dispute Resolution	29
20626	Kitchens, Simon	Associate	2003	02/05/2016		FMD - Toronto	PG - Intellectual Property	30
21304	Hubling, Emily	Associate	2013	26/02/2018		FMD - Toronto	PG - Trusts Wills Estates Charities	31
19009	Iacino, Robert	Associate	2013	20/01/2014		FMD - Toronto	PG - Real Estate	32
18301	Inson, Katie	Associate	2012	10/09/2012		FMD - Toronto	PG - Trusts Wills Estates Charities	33
19798	Jaswal, Arneet	Associate	2016	12/09/2016		FMD - Toronto	PG - Labour, Employment & Human Rights	34
18177	Kennedy, Julia	Associate	2010	13/09/2010		FMD - Ottawa	PG - Corporate / Commercial	35
20664	Kharouba, Nora	Associate	2015	04/07/2016		FMD - Toronto	PG - Litigation & Dispute Resolution	36
19604	Khoury, Tala	Associate	2015	08/09/2015		FMD - Toronto	PG - Labour, Employment & Human Rights	37
20134	Kimpton, Stefan	Associate	2012	09/09/2014		FMD - Ottawa	PG - Litigation & Dispute Resolution	38
20300	Konkel, Laura	Associate	2017	11/09/2017		FMD - Toronto	PG - Corporate / Commercial	39
20244	Kramer, Kai	Associate	2017	11/09/2017		FMD - Toronto	PG - Intellectual Property	40
19599	Laurion, Rachel	Associate	2015	08/09/2015		FMD - Toronto	PG - Litigation & Dispute Resolution	41
20246	LeBlanc, Alyssa	Associate	2017	03/08/2017		FMD - Toronto	PG - Labour, Employment & Human Rights	42
21054	Levy, Zohar	Associate	2011	08/08/2017		FMD - Toronto	PG - Litigation & Dispute Resolution	43
21291	Lewis, Teisha	Associate	2014	26/02/2018		FMD - Toronto	PG - Corporate / Commercial	44
20906	Lik, Markus	Associate	2016	20/03/2017		FMD - Toronto	PG - Corporate / Commercial	45
20247	Lindson, Russell	Associate	2017	11/09/2017		FMD - Toronto	PG - Corporate / Commercial	46
19057	Logvin, Alexandra	Associate	1998	20/06/2011		FMD - Ottawa	PG - Litigation & Dispute Resolution	47
20007	Luo, Yufei	Associate	2007	21/04/2014		FMD - Ottawa	PG - Asia Pacific	48
19799	Ma, Scott	Associate	2016	12/09/2016		FMD - Toronto	PG - Real Estate	49
21178	Malone, Alicia	Associate	2014	27/11/2017		FMD - Toronto	PG - Tax	50
20907	Mandel, Jeremy	Associate	2016	27/03/2017		FMD - Toronto	PG - Corporate / Commercial	51
21081	Monemdjou, Roxana	Associate	2015	29/08/2017		FMD - Toronto	PG - Intellectual Property	52
20301	Morrow, Kyle	Associate	2017	27/06/2016		FMD - Ottawa	PG - Government Relations and Ethics	53
19950	Mui, Vanessa	Associate	2015	08/09/2015		FMD - Toronto	PG - Health Law	54
13086	Parachin, Adam	Associate	2002	21/01/2002		FMD - Toronto	PG - Trusts Wills Estates Charities	55
20248	Parker, Jennifer	Associate	2017	11/09/2017		FMD - Toronto	PG - Real Estate	56
19800	Peters, Tom	Associate	2016	12/09/2016		FMD - Toronto	PG - Corporate / Commercial	57
19801	Pilkington, Chad	Associate	2016	12/09/2016		FMD - Toronto	PG - Litigation & Dispute Resolution	58
20730	Rekittis, Anastasia	Associate	2015	26/08/2016		FMD - Toronto	PG - Litigation & Dispute Resolution	59
19802	Rivers, Mariko	Associate	2016	12/09/2016		FMD - Toronto	PG - Corporate / Commercial	60
20856	Robinson, Julie	Associate	2013	23/01/2017		FMD - Ottawa	PG - Labour, Employment & Human Rights	61
20198	Rolland, Megan	Associate	2014	14/11/2014		FMD - Toronto	PG - Labour, Employment & Human Rights	62
20249	Round, Gillian	Associate	2017	11/09/2017		FMD - Toronto	PG - Labour, Employment & Human Rights	63
21123	Samedmoten, Daanish	Associate	2015	25/09/2017		FMD - Toronto	PG - Litigation & Dispute Resolution	64
20250	Schnier, Ryan	Associate	2017	11/09/2017		FMD - Toronto	PG - Corporate / Commercial	65
20251	Sergeyev, Pavel	Associate	2017	11/09/2017		FMD - Toronto	PG - Litigation & Dispute Resolution	66
19299	Singh, Nicole	Associate	2014	08/09/2014		FMD - Toronto	PG - Labour, Employment & Human Rights	67
19300	Steinhauer, David	Associate	2014	08/09/2014		FMD - Toronto	PG - Corporate / Commercial	68
20252	Stephenson, Mitch	Associate	2017	11/09/2017		FMD - Toronto	PG - Litigation & Dispute Resolution	69
16299	Stern, Gabriel	Associate	2008	15/09/2008		FMD - Toronto	PG - Intellectual Property	70
20487	Sud, Britteny	Associate	2015	09/11/2015		FMD - Toronto	PG - Trusts Wills Estates Charities	71
17937	Thomas, Ariel	Associate	2010	13/09/2010		FMD - Ottawa	PG - Intellectual Property	72
17899	Turner, Laurie	Associate	2010	12/09/2016		FMD - Toronto	PG - Health Law	73
19301	Van Esch, Corum	Associate	2014	08/09/2014		FMD - Toronto	PG - Tax	74
21013	Vanderveken, Mark	Associate	2017	22/06/2017		FMD - Toronto	PG - Intellectual Property	75
21252	Velagic, Elyse	Associate	2014	15/01/2018		FMD - Toronto	PG - Corporate / Commercial	76
20671	Wansbrough, Jonathan	Associate	2012	27/06/2016		FMD - Toronto	PG - Litigation & Dispute Resolution	77
20667	Worone, Allison	Associate	2013	27/06/2016		FMD - Toronto	PG - Litigation & Dispute Resolution	78
19602	Younan, Rachel	Associate	2015	08/09/2015		FMD - Toronto	PG - Labour, Employment & Human Rights	79
19015	Yu, Jack	Associate	2013	09/09/2013		FMD - Toronto	PG - Corporate / Commercial	80

21168	Zhao, Puyang	Associate	2017	30/10/2017	FMD - Toronto	PG - Tax	81
11140	Blain, S. Bruce	Counsel	1975	01/03/1975	FMD - Toronto	PG - Corporate / Commercial	82
19594	Bowman, Mark	Counsel	2015	08/09/2015	FMD - Toronto	PG - Intellectual Property	83
19873	Burkett, Brian	Counsel	1978	18/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	84
11194	Carter, Craig R.	Counsel	1979	21/01/1985	FMD - Toronto	PG - Real Estate	85
18190	Chapman, Robert	Counsel	1969	01/09/2009	FMD - Ottawa	PG - Securities & Mergers & Acquisitions	86
20583	De Cicco, Natasha	Counsel	2003	21/03/2016	FMD - Toronto	PG - Insolvency & Restructuring	87
20696	DeFilippis, Rosa	Counsel	1999	25/07/2016	FMD - Toronto	PG - Real Estate	88
19347	Dennis, Jeff	Counsel	1985	30/04/2012	FMD - Toronto	PG - Corporate / Commercial	89
17973	Eccleston, Ken	Counsel	1989	23/06/2008	FMD - Toronto	PG - Construction	90
11350	Feldkamp, Claudia	Counsel	1999	01/10/2008	FMD - Toronto	PG - Corporate / Commercial	91
19875	Gilbert, Douglas	Counsel	1979	18/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	92
20935	Han, Sabina	Counsel	2004	01/05/2017	FMD - Toronto	PG - Tax	93
21255	Howard, Janet	Counsel	2002	08/01/2018	FMD - Toronto	PG - Securities & Mergers & Acquisitions	94
11521	Kaufman, Howard J.	Counsel	1971	15/04/2001	FMD - Toronto	Marketing	95
21286	Loios, Rachel	Counsel	2006	05/02/2018	FMD - Toronto	PG - Real Estate	96
18382	Maric, Vaso	Counsel	2001	07/09/2010	FMD - Toronto	PG - Litigation & Dispute Resolution	97
20111	McCann, Patrick	Counsel	1971	02/09/2014	FMD - Ottawa	PG - Litigation & Dispute Resolution	98
11632	McCormick, Roxanne E.	Counsel	1982	01/03/1982	FMD - Toronto	PG - Corporate / Commercial	99
11662	Milner, Donald E.	Counsel	1981	01/03/1981	FMD - Toronto	PG - Insolvency & Restructuring	100
17630	O'Neill, J. Aidan	Counsel	1982	01/04/2007	FMD - Ottawa	PG - Intellectual Property	101
15877	Orr, William K.	Counsel	1978	01/12/2004	FMD - Toronto	PG - Securities & Mergers & Acquisitions	102
11728	Palmer, Walter J.	Counsel	1975	01/03/1975	FMD - Toronto	PG - Corporate / Commercial	103
17817	Peterson, James	Counsel	1966	20/11/2007	FMD - Toronto	PG - Government Relations and Ethics	104
11812	Robinson, Michael J.	Counsel	1966	01/03/1966	FMD - Toronto	PG - Corporate / Commercial	105
11820	Rose, Leslie H.	Counsel	1975	24/02/1975	FMD - Toronto	PG - Corporate / Commercial	106
11859	Scott, Douglas R.	Counsel	1973	01/03/1973	FMD - Toronto	PG - Corporate / Commercial	107
11877	Shirriff, Robert L.	Counsel	1958	01/03/1958	FMD - Toronto	PG - Global Mining	108
15754	Simone, Lisa	Counsel	1993	22/09/2004	FMD - Toronto	PG - Trusts Wills Estates Charities	109
12053	Wright, Brian G.	Counsel	1980	02/07/2002	FMD - Toronto	PG - Banking & Finance	110
16425	Baranjak, Wojtek	NE Partner	2006	18/09/2006	FMD - Toronto	PG - Corporate / Commercial	111
11136	Bles, William J.	NE Partner	1976	01/03/1976	FMD - Toronto	PG - Tax	112
19927	Boehm, Michael	NE Partner	2000	01/03/2014	FMD - Ottawa	PG - Asia Pacific	113
19906	Bratt, Andrew	NE Partner	2008	19/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	114
17624	Brennan, Mark	NE Partner	1983	02/04/2007	FMD - Toronto	PG - Real Estate	115
15785	Brock, Dan	NE Partner	2001	18/10/2004	FMD - Toronto	PG - Government Relations and Ethics	116
11192	Carr, Howard M.	NE Partner	1974	02/02/1995	FMD - Toronto	PG - Trusts Wills Estates Charities	117
17607	Catton Rinaldi, Jessica	NE Partner	2009	14/09/2009	FMD - Toronto	PG - Securities & Mergers & Acquisitions	118
18483	Cheung, Richard	NE Partner	2008	10/01/2011	FMD - Toronto	PG - Intellectual Property	119
20384	Chwaluk, Myroslav	NE Partner	2010	25/05/2015	FMD - Toronto	PG - Corporate / Commercial	120
11259	Cosman, Robert W.	NE Partner	1974	01/03/1974	FMD - Toronto	PG - Litigation & Dispute Resolution	121
19874	Craig, John	NE Partner	1999	12/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	122
11320	Eastman, Nancy	NE Partner	1997	16/12/2002	FMD - Toronto	PG - Securities & Mergers & Acquisitions	123
17612	Fetter, Laura	NE Partner	2009	14/09/2009	FMD - National	Innovation	124
16289	Fuko, Daniel	NE Partner	2008	15/09/2008	FMD - Toronto	PG - Corporate / Commercial	125
11385	Gascho, Ross	NE Partner	1990	28/04/2003	FMD - Toronto	PG - Pensions & Benefits	126
19469	Gedeon, Christelle	NE Partner	2011	10/09/2012	FMD - Toronto	PG - Intellectual Property	127
19876	Graves, Sarah	NE Partner	2010	14/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	128
11446	Harrison, Robert S.	NE Partner	1974	01/02/1981	FMD - Toronto	PG - Litigation & Dispute Resolution	129
11466	Hoffstein, M. Elena	NE Partner	1980	31/03/1980	FMD - Toronto	PG - Trusts Wills Estates Charities	130
19100	Holder, Maria	NE Partner	2006	29/07/2011	FMD - Toronto	PG - Real Estate	131
11505	Johnston, Richard E.	NE Partner	1983	28/02/1983	FMD - Toronto	PG - Pensions & Benefits	132
16471	Kauffman, Aubrey	NE Partner	1979	01/12/2006	FMD - Toronto	PG - Insolvency & Restructuring	133
17614	Kennedy, Louise	NE Partner	2009	14/09/2009	FMD - Toronto	PG - Banking & Finance	134
11585	Levin, Jon A.	NE Partner	1975	01/03/1975	FMD - Toronto	PG - Corporate / Commercial	135
19165	Loeppky, Byron W.	NE Partner	1987	01/11/2011	FMD - Toronto	PG - Corporate / Commercial	136
20144	Lund, Darren G.	NE Partner	2003	20/10/2014	FMD - Toronto	PG - Trusts Wills Estates Charities	137
19884	Mak, Bonny	NE Partner	2007	12/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	138
17890	Maladwala, Zohalb	NE Partner	2010	13/09/2010	FMD - Toronto	PG - Litigation & Dispute Resolution	139
20672	Mboutsiasidis, Jenny P.	NE Partner	2001	20/08/2018	FMD - Toronto	PG - Tax	140
11635	McDowell, Robert W.	NE Partner	1972	01/03/1972	FMD - Toronto	PG - Financial Institutions Group	141
11707	New, Douglas C.	NE Partner	1976	28/11/1977	FMD - Toronto	PG - Antitrust/ Competition & Marketing	142
11717	O'Byrne, Brian A.	NE Partner	1976	03/04/1989	FMD - Toronto	PG - Labour, Employment & Human Rights	143
19886	Paquette, Christian	NE Partner	2007	13/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	144
19864	Parisien, Judith	NE Partner	2004	06/02/2014	FMD - Ottawa	PG - Labour, Employment & Human Rights	145
16367	Penner, Mark	NE Partner	1997	26/06/2006	FMD - Toronto	PG - Intellectual Property	146
19887	Pigott, Christopher	NE Partner	2010	12/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	147
18140	Potter, Kimberly E.	NE Partner	2011	10/09/2012	FMD - Toronto	PG - Litigation & Dispute Resolution	148
18141	Rae, Christopher J.	NE Partner	2011	12/09/2011	FMD - Toronto	PG - Litigation & Dispute Resolution	149
11804	Rickett, Samuel R.	NE Partner	1975	01/03/1976	FMD - Toronto	PG - Litigation & Dispute Resolution	150
20279	Roy, Allyson	NE Partner	2010	17/02/2015	FMD - Toronto	PG - Real Estate	151
11843	Saloojee, Munier	NE Partner	2001	22/01/2001	FMD - Toronto	PG - Invest. Product and Wealth Mgmt	152
11854	Schwartz, Alan M.	NE Partner	1970	02/03/1970	FMD - Toronto	PG - Tax	153
18143	Shaw, Will D.	NE Partner	2011	12/09/2011	FMD - Toronto	PG - Corporate / Commercial	154
18144	Singh, Dev	NE Partner	2011	05/09/2017	FMD - Toronto	PG - Banking & Finance	155
11892	Smitheman, Neal J.	NE Partner	1981	01/03/1981	FMD - Toronto	PG - Litigation & Dispute Resolution	156
20201	Todd, Shane D.	NE Partner	2009	01/12/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	157
16298	Toppings, Vera	NE Partner	2008	15/09/2008	FMD - Toronto	PG - Litigation & Dispute Resolution	158
17900	Turney, Sarah	NE Partner	2010	13/09/2010	FMD - Toronto	PG - Real Estate	159
11974	Vair, Peter W.	NE Partner	1979	01/03/1979	FMD - Toronto	PG - Tax	160
19889	VanDerMeulen, Jackie	NE Partner	2010	14/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	161
17743	Yip, Kevin H.	NE Partner	2007	17/09/2007	FMD - Toronto	PG - Tax	162
20570	Youdan, Caroline	NE Partner	2010	07/03/2016	FMD - Toronto	PG - Litigation & Dispute Resolution	163

20396	Ziegler, David	NE Partner	2011	15/06/2015	FMD - Toronto	PG - Litigation & Dispute Resolution	164
10942	Aggarwal, Anil	Partner	1998	05/01/1998	FMD - Toronto	PG - Invest. Product and Wealth Mgmt	165
10954	Alleyne, Andrew C.	Partner	2003	22/09/2003	FMD - Toronto	PG - Intellectual Property	165
10969	Armstrong, Sarah J.	Partner	2003	22/09/2003	FMD - Toronto	PG - Litigation & Dispute Resolution	167
10973	Ascherl, Peter S.	Partner	1986	07/04/1986	FMD - Toronto	PG - Private Equity / Capitaux privés	168
10978	Atkinson, Aaron	Partner	2001	08/01/2001	FMD - Toronto	PG - Securities & Mergers & Acquisitions	169
11110	Baldanza, Anthony F.	Partner	1980	16/02/1987	FMD - Toronto	PG - Antitrust/ Competition & Marketing	170
11114	Barlow, W. Tom	Partner	1983	01/03/1983	FMD - Toronto	PG - Infrastructure and/or PPP	171
11122	Beardwood, John P.	Partner	1998	12/01/1998	FMD - Toronto	PG - Intellectual Property	172
15591	Benitah, Armand	Partner	2000	29/03/2004	FMD - Toronto	PG - Intellectual Property	173
21302	Berry, Maureen	Partner	1992	26/02/2018	FMD - Toronto	PG - Trusts Wills Estates Charities	174
11151	Bourassa, Michael J.	Partner	1986	01/09/2003	FMD - Toronto	PG - Global Mining	175
11161	Braithwaite, Murray	Partner	1989	05/04/1999	FMD - Toronto	PG - Litigation & Dispute Resolution	176
16472	Brotman, Stuart	Partner	2000	07/11/2006	FMD - Toronto	PG - Insolvency & Restructuring	177
11165	Brown, Craig	Partner	1985	01/04/2002	FMD - Toronto	PG - Private Equity / Capitaux privés	178
15740	Butterfield, Kathleen	Partner	2004	20/09/2004	FMD - Toronto	PG - Financial Institutions Group	179
14915	Cameron, Alex D.	Partner	2000	17/05/1999	FMD - Toronto	PG - Litigation & Dispute Resolution	180
11185	Campbell, Ian M.	Partner	2003	22/09/2003	FMD - Toronto	PG - Labour, Employment & Human Rights	181
11197	Casuccio, Paul V.	Partner	2002	23/09/2002	FMD - Toronto	PG - Tax	182
18314	Centa, Andrea	Partner	1999	01/04/2010	FMD - Toronto	PG - Real Estate	183
11218	Christensen, Koker	Partner	2002	16/09/2002	FMD - Toronto	PG - Financial Institutions Group	184
20598	Clark, Stephen D.A.	Partner	1978	01/04/2016	FMD - Toronto	PG - Financial Institutions Group	185
11238	Conover, Scott D.	Partner	1985	03/01/1989	FMD - Toronto	PG - Private Equity / Capitaux privés	186
11239	Cooper, Laura	Partner	1994	24/01/1994	FMD - Toronto	PG - Litigation & Dispute Resolution	187
11240	Cooper, Rosalind H.	Partner	1991	01/02/1994	FMD - Toronto	PG - Global Energy	188
11286	Denyes, Martin K.	Partner	1992	03/02/1992	FMD - Toronto	PG - Labour, Employment & Human Rights	189
16427	Di Domenico, Antonio	Partner	2006	18/09/2006	FMD - Toronto	PG - Antitrust/ Competition & Marketing	190
11302	Do, Huy	Partner	1998	05/05/2003	FMD - Toronto	PG - Antitrust/ Competition & Marketing	191
11308	Downard, Peter A.	Partner	1986	17/03/1986	FMD - Toronto	PG - Litigation & Dispute Resolution	192
17634	Dunbar, Laurence J.E.	Partner	1980	01/04/2007	FMD - Ottawa	PG - Communications	193
17729	Ellas, John	Partner	1989	01/08/2007	FMD - Toronto	PG - Banking & Finance	194
18313	Emmons, P. Martin	Partner	1980	01/04/2010	FMD - Toronto	PG - Real Estate	195
11332	Erlachman, Stephen	Partner	1979	03/01/1999	FMD - Toronto	PG - Securities & Mergers & Acquisitions	196
15730	Fabiano, Daniel	Partner	2004	20/09/2004	FMD - Toronto	PG - Private Equity / Capitaux privés	197
16100	Ferris, David	Partner	2005	19/09/2005	FMD - Toronto	PG - Banking & Finance	198
11366	Fogler, Gary S.	Partner	1985	02/05/1988	FMD - Toronto	PG - Private Equity / Capitaux privés	199
20429	Fornazzari, Paul	Partner	1996	04/08/2015	FMD - Toronto	PG - Global Mining	200
11373	Forrest, Gideon	Partner	1995	08/12/1994	FMD - Toronto	PG - Litigation & Dispute Resolution	201
11375	Foster, Garth J.	Partner	1992	10/02/1992	FMD - Toronto	PG - Invest. Product and Wealth Mgmt	202
16432	Freeman, Brad	Partner	2006	09/01/2012	FMD - Toronto	PG - Securities & Mergers & Acquisitions	203
11383	Gannon, Patrick T.J.	Partner	1998	05/01/1998	FMD - Toronto	PG - Labour, Employment & Human Rights	204
11396	Giorno, Guy W.	Partner	1991	04/01/2011	FMD - Ottawa	PG - Government Relations and Ethics	205
11406	Golding, Lynne	Partner	1989	01/03/1989	FMD - Toronto	PG - Health Law	206
20620	Graves, Brian	Partner	1997	18/04/2016	FMD - Toronto	PG - Corporate / Commercial	207
11450	Hausman, David A.	Partner	1991	18/02/1991	FMD - Toronto	PG - Litigation & Dispute Resolution	208
18242	Heersche, Tanneke	Partner	1993	01/02/2010	FMD - National	PG - Global Mining	209
11458	Herber, Alix	Partner	2001	22/05/2007	FMD - Toronto	PG - Labour, Employment & Human Rights	210
11468	Holmstrom, Jon J.	Partner	1981	05/12/1988	FMD - Toronto	PG - Banking & Finance	211
11469	Hooley, Tracy	Partner	1997	06/01/1997	FMD - Toronto	PG - Securities & Mergers & Acquisitions	212
18117	Jarvis, Darrell	Partner	1989	27/02/2009	FMD - Toronto	PG - Corporate / Commercial	213
11494	Javier, Janice J.	Partner	1998	12/01/1998	FMD - Toronto	PG - Corporate / Commercial	214
11504	Johnson, David	Partner	2002	02/01/2002	FMD - Toronto	PG - Banking & Finance	215
19681	Keith, Norm	Partner	1983	01/06/2013	FMD - Toronto	PG - Labour, Employment & Human Rights	216
17835	Kelsall, Brian	Partner	1982	02/01/2008	FMD - Toronto	PG - Banking & Finance	217
11532	Kerr, Stephen B.	Partner	1998	05/01/1998	FMD - Toronto	PG - Financial Institutions Group	218
17632	Kerr-Wilson, Gerald L.	Partner	1999	01/04/2007	FMD - Ottawa	PG - Intellectual Property	219
16571	Kruk, John	Partner	1983	02/01/2007	FMD - Toronto	PG - Invest. Product and Wealth Mgmt	220
20069	Lacy, Allison	Partner	1987	02/07/2014	FMD - Toronto	PG - Global Mining	221
11566	Lancaster, Jonathan F.	Partner	1993	11/01/1993	FMD - Toronto	PG - Litigation & Dispute Resolution	222
11574	Law, Dan R.	Partner	1988	05/02/1990	FMD - Toronto	PG - Real Estate	223
17737	Lefler, Marc S.	Partner	2007	17/09/2007	FMD - Toronto	PG - Banking & Finance	224
21017	Leffton, Jay A.	Partner	1983	19/06/2017	FMD - Toronto	PG - Securities & Mergers & Acquisitions	225
19877	Link, Mathias	Partner	2003	17/02/2014	FMD - Toronto	PG - Labour, Employment & Human Rights	226
19863	Lorquet, Sébastien	Partner	2002	06/02/2014	FMD - Ottawa	PG - Labour, Employment & Human Rights	227
19056	Mantas, Peter	Partner	1993	20/06/2011	FMD - Ottawa	PG - Litigation & Dispute Resolution	228
11623	Martin, Paul J.	Partner	1984	01/03/1984	FMD - Toronto	PG - Litigation & Dispute Resolution	229
11627	McAleer, Jennifer L.	Partner	2000	10/01/2000	FMD - Toronto	PG - Litigation & Dispute Resolution	230
11628	McCallum, Peggy A.	Partner	1985	03/11/1997	FMD - Toronto	PG - Pensions & Benefits	231
11647	Meagher, Thomas M.	Partner	2002	02/07/2002	FMD - Toronto	PG - Banking & Finance	232
11659	Miller, Barbara	Partner	1981	02/03/1981	FMD - Toronto	PG - Corporate / Commercial	233
11683	Moore, Brad R.G.	Partner	2003	22/09/2003	FMD - Toronto	PG - Litigation & Dispute Resolution	234
11686	Morley, Sean	Partner	1997	20/08/2001	FMD - Toronto	PG - Corporate / Commercial	235
11705	Nero, Ralph N.	Partner	1990	01/03/1990	FMD - Toronto	PG - Labour, Employment & Human Rights	236
17738	Nikolic, Alex	Partner	2007	17/09/2007	FMD - Toronto	PG - Securities & Mergers & Acquisitions	237
16389	Nobrega, Ronald E.	Partner	1994	24/07/2006	FMD - Toronto	PG - Tax	238
11716	Nunes, Andrew S.	Partner	2001	22/01/2001	FMD - Toronto	PG - Intellectual Property	239
11760	Pisitzka, Peter J.	Partner	1989	01/03/1989	FMD - Toronto	PG - Litigation & Dispute Resolution	240
17836	Plotkin, Ella	Partner	1995	02/01/2008	FMD - Toronto	PG - Banking & Finance	241
11763	Pollock, Katherine M.	Partner	1988	01/03/1988	FMD - Toronto	PG - Labour, Employment & Human Rights	242
11777	Prett, Tracy A.	Partner	1995	06/02/1995	FMD - Toronto	PG - Litigation & Dispute Resolution	243
17629	Proscott, Scott M.	Partner	1997	01/04/2007	FMD - Ottawa	PG - Communications	244
11790	Rankings, Gerald L.R.	Partner	1984	01/10/1986	FMD - Toronto	PG - Litigation & Dispute Resolution	245
16166	Rapuch, Rubin	Partner	1980	01/11/2005	FMD - Toronto	PG - Securities & Mergers & Acquisitions	246

11814	Rodday, Robin P.	Partner	1996	24/07/1995	FMD - Toronto	PG - Litigation & Dispute Resolution	247
11824	Rosenbaum, David C.	Partner	1985	21/08/1989	FMD - Toronto	PG - Litigation & Dispute Resolution	248
11825	Rosenhek, Steven F.	Partner	1984	11/08/2003	FMD - Toronto	PG - Litigation & Dispute Resolution	249
11828	Round, Michael J.W.	Partner	1986	17/03/1986	FMD - Toronto	PG - Litigation & Dispute Resolution	250
11836	Sabetti, John	Partner	2000	24/01/2000	FMD - Toronto	PG - Securities & Mergers & Acquisitions	251
17988	Sargeant, Karen	Partner	1996	01/08/2008	FMD - Toronto	PG - Labour, Employment & Human Rights	252
18189	Schweitzer, Virginia	Partner	1997	01/09/2009	FMD - Ottawa	PG - Corporate / Commercial	253
18393	Scott, Doug H.	Partner	1991	23/08/2010	FMD - Toronto	PG - Corporate / Commercial	254
11870	Sells, Berkeley D.	Partner	1998	26/01/1998	FMD - Toronto	PG - Litigation & Dispute Resolution	255
17987	Smeenk, Brian	Partner	1981	01/08/2008	FMD - Toronto	PG - Labour, Employment & Human Rights	256
11689	Smiley, Neil M.	Partner	1989	03/04/1989	FMD - Toronto	PG - Real Estate	257
19541	Sosnow, Clifford	Partner	1987	01/02/2013	FMD - Ottawa	PG - Corporate / Commercial	258
18010	Squire, Timothy	Partner	1997	02/09/2008	FMD - Toronto	PG - Intellectual Property	259
18259	Steeves, Christopher J.	Partner	1994	08/02/2010	FMD - Toronto	PG - Tax	260
11904	Stefan, Aaron	Partner	2002	07/01/2002	FMD - Toronto	PG - Banking & Finance	261
11905	Steinberg, Richard J.	Partner	1988	15/05/1995	FMD - Toronto	PG - Securities & Mergers & Acquisitions	262
11907	Stevens, Sean S.	Partner	1999	11/01/1999	FMD - Toronto	PG - Corporate / Commercial	263
11908	Stinson, Mark	Partner	1983	01/03/1983	FMD - Toronto	PG - Corporate / Commercial	264
11940	Thaw, Mitchell L.	Partner	1996	28/07/1997	FMD - Toronto	PG - Tax	265
11959	Torrey, John W.	Partner	1988	02/07/2002	FMD - Toronto	PG - Banking & Finance	266
18188	Tosto, Anna	Partner	1988	01/09/2009	FMD - Ottawa	PG - Corporate / Commercial	267
11961	Toth, Kristian	Partner	2003	08/09/2003	FMD - Toronto	PG - Global Mining	268
11971	Turner, John S.M.	Partner	1989	01/03/1989	FMD - Toronto	PG - Global Mining	269
19852	Vachon, Claire	Partner	1995	03/02/2014	FMD - Ottawa	PG - Labour, Employment & Human Rights	270
19525	VanderEkt, Ingrid E.	Partner	2000	01/01/2013	FMD - Toronto	PG - Intellectual Property	271
12004	Weigl, Corina S.	Partner	1995	02/01/1996	FMD - Toronto	PG - Trusts Wills Estates Charities	272
17626	Whitehead, Stephen P.	Partner	1980	01/04/2007	FMD - Ottawa	PG - Corporate / Commercial	273

London

## **APPENDIX B**

APPENDIX B  
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018

Name of Firm: Fasken Martineau DuMoulin LLP - LONDON

	CANADA				OUTSIDE OF CANADA <sup>/5</sup>	
	B.C.	Alberta	Ontario	Quebec	Other Provinces (Please specify)	U.K.      South Africa
a) No. of Lawyers <sup>/1</sup>						11
b) No. of Patent & Trademark Agents <sup>/2</sup>						0
c) No. of Non-lawyer Consultants <sup>/3</sup>						0
d) No. of Paralegals						0
e) No. of Other Employees						7
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>						0

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

## APPENDIX B

No.	Name	Date of Admission/Call	Date of Admission to Non-UK Bar Associations	Date of Joining	Role	Location	Full-Time/Part-Time
1	Albert Gourley	02.01.08 Solicitors Regulation Authority	1992 Law Society of Upper Canada	02.07.12	Equity Partner  Partner upon joining the firm	London	Full-Time
2	Abayomi Akinjide	17.05.93 Solicitors Regulation Authority	19.12.96 Nigerian Bar Association	1.05.07	Equity Partner  Partner upon joining the firm	London	Full-Time
3	Jodi Katz	01.08.05 Solicitors Regulation Authority	1999 Law Society of Upper Canada	16.04.10	Partner as of 01.02.12	London	Full-Time
4	Thomas Wexler	16.03.98 Solicitors Regulation Authority	Illinois Bar Association	01.05.07	Partner  Partner upon joining the firm	London	Full-Time
5	Vanessa McMinn	03.05.16 Solicitors Regulation Authority	2007 Law Society of Upper Canada	01.10.12	Partner as of 01.02.18	London	Full-Time



6	Jane Wang	15.09.10 Solicitors Regulation Authority	N/A	05.09.13	Senior Associate	London	Full-Time
7	Rachel Yukowski	17.06.13 Solicitors Regulation Authority	2001 New Zealand Law Society	29.01.18	Senior Associate	London	Full-Time
8	Laura Bradley	01.09.10 Solicitors Regulation Authority	N/A	01.08.09	Associate	London	Full-Time
9	Maurice Wong	03.10.16 Solicitors Regulation Authority	N/A	23.10.17	Associate	London	Full-Time
10	Derek Linfield	N/A	1990 Law Society of Upper Canada	22.03.16	Consultant	London	N/A
11	Reena	15.05.08 Solicitors Regulation Authority	2001 Law Society of Alberta	01.07.16	Consultant	London	N/A

BC

## **APPENDIX B**

APPENDIX B  
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018

Name of Firm: Fasken Martineau DuMoulin LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA<sup>5</sup></u>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces (Please specify)</u>	<u>U.K.</u>	<u>South Africa</u>
a) No. of Lawyers <sup>/1</sup>	<u>75*</u>						
b) No. of Patent & Trademark Agents <sup>/2</sup>	<u>1</u>						
c) No. of Non-lawyer Consultants <sup>/3</sup>	<u>2</u>						
d) No. of Paralegals	<u>28</u>						
e) No. of Other Employees	<u>149</u>						
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>	<u>65*</u>						

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

\* Reported on Appendix B are 65+75 lawyers = 140 but on the lawyer list we have reported 142 (the lawyer list includes 2 people on leave, an equity partner on Long Term Disability (Marcel Peerson, not expected to return) and an Associate on Maternity Leave (Stephanie Sanger, expected to return in September 2018)

No.	PARTNER	TK No.	Initial	FY19 STATUS	PLC
1	Alderson, Kai	16215	KHA	Non-Equity	Kai Alderson Law Corporation
2	Bayrakal, Karam	16149	KXB	Equity	Karam Bayrakal Law Corporation
3	Bharmal, Ally	16551	AVB	Equity	Ally Bharmal Law Corporation
4	Borrell, Andrew	14067	ADB	Equity	Andrew Borrell Law Corporation
5	Boskovich, Carmine	15054	CLB	Equity	Carmine Boskovich Law Corporation
6	Bystrom, Chris	15275	CRB	Equity	Christopher Bystrom Professional Law Corporation
7	Carruthers, Amy	19814	AJC	Equity	Amy Carruthers Law Corporation
8	Cassie, Ian	13985	WIC	Equity	Ian Cassie Law Corporation
9	Clark, Brent	21106	BRC	Equity	Brent Clark Law Corporation
10	Coburn, Michael	19723	MJC	Equity	Michael R. Coburn Law Corporation
11	Coval, Simon	13888	SRC	Equity	S.R. Coval Law Corporation
12	Cowper, Geoff	14273	DGC	Equity	D. Geoffrey Cowper Law Corporation
13	Curtis, David	15951	DHC	Non-Equity	David Curtis Law Corporation
14	Custodio, Sergio	18191	STC	Equity	Sergio C. Custodio Law Corporation
15	Dhaliwal, Randal	15276	RZD	Equity	Randal Dhaliwal Law Corporation
16	Ezekiel, Ron	13814	RXE	Equity	Ron Ezekiel Law Corporation
17	Finley, Peter	13720	PHF	Equity	Peter Finley Law Corporation
18	Fipke, Johanna	18392	JHF	Non-Equity	Johanna Fipke Law Corporation
19	Foster, Grant	16556	GXF	Non-Equity	Grant Foster Law Corporation
20	Frechette, Edgar	14329	EAF	Equity	Edgar A. Frechette Law Corporation
21	Ghikas, Matt	14797	MTG	Equity	Matthew T. Ghikas Law Corporation
22	Grewal, Paul	21263	PSG	Equity	Jaspaul Grewal Law Corporation
23	Grieve, John	14484	JFG	Equity	John Grieve Law Corporation
24	Gutierrez, Stephanie	19249	STG	Non-Equity	Stephanie Gutierrez Law Corporation
25	Harris, Jason	14979	JVH	Equity	Jason Harris Law Corporation
26	Horn, Blair	16235	BJH	Equity	Blair Horn Law Corporation
27	Ingborg, Georald	14816	GSI	Equity	Georald Ingborg Law Corporation
28	Jackson, Andrew	14859	APJ	Equity	Andrew P. Jackson Law Corporation
29	Jackson, Kibben	15053	KXJ	Equity	KMJ Law Corporation
30	Jones, Clayton	15057	CAJ	Equity	Clayton Jones Law Corporation
31	Kuypers, Roger	15213	RAK	Equity	Roger Kuypers Law Corporation
32	Lewis, Brent	14179	BZL	Equity	Brent Lewis Law Corporation
33	Litaplo, Jonathan	18963	JML	Equity	Jonathan M. Litaplo Professional Corporation
34	Little, David	14321	DWL	Equity	David Little Law Corporation
35	Low, Helen	14416	HHL	Equity	Helen H. Low Law Corporation
36	Luke, Edmond	14335	ECL	Equity	Edmond C. Luke Law Corporation
37	Lyll, Elizabeth	14333	EBL	Equity	Elizabeth Lyall Law Corporation
38	Lyster, Geoff	14491	JGL	Equity	J. Geoffrey Lyster Law Corporation
39	MacInnis, Allison	14681	AMW	Equity	Allison M. MacInnis Law Corporation
40	Mant, Iain	15265	IRM	Equity	Iain Mant Law Corporation
41	McDonald, David	14808	DTM	Equity	David T. McDonald Law Corporation
42	Millar, Robert	13765	RAM	Equity	Robert A. Millar Law Corporation
43	Miu, KC	16092	KCM	Non-Equity	Kar Cheong Miu Law Corporation
44	Morley, Bill	13998	WTM	Equity	Bill Morley Law Corporation
45	Nabavi, Shahrooz	18012	SZN	Equity	Shahrooz Nabavi Law Corporation
46	Nathanson, Andrew	14082	AIN	Equity	Andrew I. Nathanson Law Corporation
47	Novakowski, Lorene	14635	LAN	Equity	LA Novakowski Law Corporation
48	O'Callaghan, Kevin	14918	KGO	Equity	Kevin O'Callaghan Law Corporation
49	O'Neill, Kevin	14616	KPO	Non-Equity	Kevin O'Neill Law Corporation
50	Ott, Gary	14406	GWO	Equity	G. Ott Law Corporation
51	Parrish, Michael	14922	MDP	Equity	Michael Parrish Law Corporation
52	Peerson, Marcel	13626	MJP	Equity	Marcel J. Peerson Law Corporation
53	Pontin, Mark	15283	MTP	Equity	Mark Pontin Law Corporation
54	Saville, Steve	17803	SQS	Equity	Steve Saville Law Corporation
55	Schober, Frank	14369	FSS	Equity	Frank Schober Law Corporation
56	Sharpe, Chris	16220	CCS	Non-Equity	Chris Sharpe Law Corporation
57	Spencer, Keith	14587	KES	Non-Equity	Keith E. Spencer Law Corporation
58	Tattlie, Bruce	15267	WBT	Equity	Bruce Tattlie Law Corporation
59	Ullrich, Dierk	15202	DXU	Equity	Dierk Ullrich Law Corporation
60	Vanderburgh, Barbara	14161	BLV	Equity	Barbara Vanderburgh Law Corporation
61	Westeringh, Will	13990	WOW	Equity	William Westeringh Law Corporation
62	Wickstrom, Darrell	14266	DDW	Equity	Darrell J. Wickstrom Law Corporation
63	Wilson, Paul	13711	PCW	Equity	Paul C. Wilson Law Corporation
64	Wong, David	15962	DGW	Equity	David G. Wong Law Corporation
65	Zimmer, Kareen	19037	KAZ	Equity	Kareen A. Zimmer Law Corporation

FASKEN MARTINEAU DuMOULIN LLP										
B.C. REGION										
at March 1, 2018										
	Status	Name	Date of Call - Home Province	Date of Call - Other Jurisdictions	Date of Hire	Became Counsel / Contractor	Became Associate	Became Regular Partner	Became Equity Partner	Vancouver/ Surrey
Associates and Partners Counsel - Vancouver										
1	A	Tariq Ahmed	14-Sep-09		14-Sep-09		15-Sep-09			Vancouver
2	A	Kristian Arciaga	09-May-16		15-May-17		15-May-17			Vancouver
3	A	Nicco Bautista	03-Sep-14		03-Sep-13		03-Sep-14			Vancouver
4	A	Manveer Bista	25-May-12		25-May-12		25-May-12			Vancouver
5	A	Holly A. Brinton	09-Jan-86		24-Jul-85	--	05-Jul-86	--	--	Vancouver
6	A	Dani Bryant	02-Aug-12		02-Aug-12		02-Aug-12			Vancouver
7	A	Dan Byma	09-Oct-13		15-Oct-13		21-Jul-14			Vancouver
8	A	Steven Catania	07-Sep-12		07-Sep-12		07-Sep-12			Vancouver
9	A	Samantha Chang	21-May-14		21-May-13		21-May-14			Vancouver
10	A	Annie Chen	20-May-09		01-Aug-15		01-Aug-14			Vancouver
11	A	Rose Zhu Jun Chen	25-May-16		25-May-15		26-May-16			Vancouver
12	A	Eric Clavier	23-Oct-14		23-Jun-14	23-Oct-14	01-Jul-16			Vancouver
13	A	Jon Conlin	25-May-12		25-May-12		25-May-12	--	--	Vancouver
14	A	Sarah Dilys Cuddy	05-Sep-17		05-Sep-17		05-Sep-17			Vancouver
15	A	Leo Daniels	05-Sep-17		05-Sep-17		05-Sep-17			Vancouver
16	A	Martin Ferreira-Pinho	21-May-14		21-May-13		21-May-14			Vancouver
17	A	Hardeep Gill	23-Jul-15	2015 - ON	01-Feb-17		01-Feb-17			Vancouver
18	A	Layne Hellrung	01-Jan-14		10-Apr-17		10-Apr-17			Vancouver
19	A	Jeffrey Hernaez	01-Sep-17	2016 - ON	08-Aug-17		08-Aug-17			Vancouver
20	A	Stephen Hsia	01-Sep-14	2014 - ON	08-Sep-14	08-Sep-14	01-Feb-15			Vancouver
21	A	Annie Huang	04-Mar-16	2007 - WA / 2011 - CA / 2016 - ON	31-Jul-17		31-Jul-17			Vancouver
22	A	Gordon Hunter	27-Oct-17	2014 - ON	07-May-12		08-Sep-14			Vancouver
23	A	Kareem Jetha	21-Jun-11		01-Feb-18		01-Feb-18			Surrey
24	A	Kerry Kaukinen	18-Sep-15		29-Jul-15		29-Jul-15			Vancouver
25	A	Matthew Larsen	19-Nov-12		01-Feb-17		01-Feb-17			Vancouver
26	A	Ariel Laver	21-May-14		21-May-13		21-May-14			Vancouver
27	A	Samuel Li	02-Sep-09		22-Mar-10		23-Mar-10	--	--	Vancouver
28	A	J. Alexandra MacCarthy	03-Dec-12	2013- AB	06-Mar-17		06-Mar-17			Vancouver
29	A	Ann W. Madden	12-Sep-07	2002 - NY / 1995 - Scotland	05-Sep-06		13-Sep-07			Vancouver
30	A	Fergus McDonnell	20-May-15		06-May-14		21-May-15			Vancouver
31	A	Joelle Michaud	27-Jul-10		27-Jul-10		28-Jul-10			Vancouver
32	A	Kaleigh Milnazzo	23-Mar-17		23-Mar-17		19-Jun-17			Vancouver
33	A	Alexandra Mitretodis	04-Sep-13		05-Sep-13		05-Sep-13			Vancouver
34	A	W. Simon Patey	31-Aug-90		28-Aug-89	--	01-Oct-90			Vancouver
35	A	Katie Peardon	26-May-16		05-May-15		26-May-16			Vancouver
36	A	Geoff Pedlow	12-Sep-14		19-Sep-16		19-Sep-16			Vancouver
37	A	Malgorzata (Gosia) Plasecka	23-May-17		06-Mar-17		24-May-17			Vancouver
38	A	Shiva Pritchard	30-May-17		01-Sep-17		01-Sep-17			Vancouver
39	A	Zachary Romano	26-May-16		22-Jan-15		26-May-16			Vancouver
40	A	Clara Rozee	23-May-17		30-May-16		24-May-17			Vancouver
41	A	Stephanie Sanger	11-Mar-09		2-Sep-08		3-Sep-09			Vancouver

Associates and Partners Counsel - Vancouver										
42	A	Richard Savage	8-Sep-14		14-Aug-17		14-Aug-17			Vancouver
43	A	Rachel Schechter	4-Sep-15		21-Aug-17		21-Aug-17			Vancouver
44	A	Caroline Senini	01-Sep-17		05-Sep-17		05-Sep-17			Vancouver
45	A	Barinder Sidhu	14-Sep-11		14-Sep-11		14-Sep-11			Vancouver
46	A	Laura Smith	04-Sep-13		05-Sep-13		05-Sep-13			Vancouver
47	A	James Suderman	31-Aug-16		31-Aug-15		01-Sep-16			Vancouver
48	A	Cindy Switzer	21-Mar-97	2000 - NY	15-Jun-15		15-Jun-15	--	--	Vancouver
49	A	Jordan Thompson	07-Sep-17		11-Sep-17		11-Sep-17			Vancouver
50	A	Marcus Turner	25-May-12		25-May-12		25-May-12			Vancouver
51	A	Shanlee von Vegesack	11-Aug-17	2014 - AB	31-Jul-17		31-Jul-17			Vancouver
52	A	Adrian Wan	04-Sep-13		05-Sep-13		05-Sep-13			Vancouver
53	A	Ling Wong	21-May-99	2001 - ON	09-Jun-14		09-Jun-14			Vancouver
54	A	Andrew Woodhouse	25-May-12		05-Feb-18		05-Feb-18			Vancouver
55	C	Richard J. Berrow*	16-Mar-88		24-Aug-87	01-Feb-18	16-Mar-88	01-Feb-09	1994	Vancouver
56	C	Enoch Chang	02-May-11		01-Feb-18	01-Feb-18				Vancouver
57	C	Donald M. Dalik*	10-May-77		01-Jul-80	01-Feb-18	01-Jul-80	01-Feb-16	1984	Vancouver
58	C	Sherman W. Hood	29-Sep-61		10-Dec-07	10-Jan-07				Vancouver
59	C	W. Stanley Martin	10-Sep-80		10-Sep-79	01-Feb-15	10-Sep-80	01-Feb-11	1987	Vancouver
60	C	Albert J. McClean, Q.C.	15-Nov-96		21-May-96	31-Jan-14	--	--	--	Vancouver
61	C	Maia Tsurumi	14-Mar-08		02-May-05	15-Jul-13	05-Sep-08			Vancouver
62	C	Charles F. Wilms*	10-Nov-80	1983 - YK / 1990 - AB	12-May-80	01-Feb-18	10-Nov-80	--	1987	Vancouver
63	EP	Mark D. Andrews	13-Jun-86		12-Sep-83	--	13-Jun-86	--	1991	Vancouver
64	EP	Karam Bayrakal*	31-Aug-00		31-Oct-05	--	31-Oct-05	01-Feb-08	01-Feb-11	Vancouver
65	EP	Ally Bharmal*	30-Jun-09		20-May-09		21-May-09	1-Feb-16	01-Feb-18	Vancouver
66	EP	Andrew D. Borrell*	14-May-93		11-May-92	--	14-May-93	--	2000	Vancouver
67	EP	Camrine L.J. Boskovich*	12-Mar-03		03-Sep-02	--	01-Sep-03	01-Feb-12	1-Feb-16	Vancouver
68	EP	Christopher Bystrom*	12-May-04	2013 - AB	12-May-03	--	13-May-04	01-Feb-11	1-Feb-16	Vancouver
69	EP	Amy Carruthers*	31-Aug-00		01-Jan-14		01-Jan-14	01-Feb-15	01-Feb-17	Vancouver
70	EP	W. Ian Cassie*	12-May-80		10-May-79	--	12-May-80	--	1987	Vancouver
71	EP	Brent Clark*	19-May-00		01-Sep-17				01-Sep-17	Vancouver
72	EP	Mike Coburn*	19-May-00		15-Jul-13			15-Jul-13	01-Feb-16	Vancouver
73	EP	Tracey M. Cohen, Q.C.	12-Mar-93		24-Aug-92	--	22-Jun-93	--	1999	Vancouver
74	EP	Simon R. Coval*	12-Mar-93		24-Aug-92	--	22-Jun-93	--	1999	Vancouver
75	EP	D. Geoffrey Cowper, Q.C.*	10-Mar-82	1987 - YK	10-Sep-81	--	10-Mar-82	--	1988	Vancouver
76	EP	Sergio Custodio*	27-Sep-02		27-Sep-02		03-Sep-02	01-Feb-13	01-Feb-15	Vancouver
77	EP	Randal Dhaliwal*	07-May-04		01-Feb-18				01-Feb-18	Surrey
78	EP	Ron Ezekiel*	19-May-95		16-May-94	--	19-May-95	--	2002	Vancouver
79	EP	Peter H. Finley*	13-Jul-82		01-Jul-89	--	--	--	1989	Vancouver
80	EP	Jennifer Francis	13-Mar-02		04-Sep-01	--	06-Sep-02	01-Feb-09	01-Feb-18	Vancouver
81	EP	Edgar A. Frechette*	15-May-92		18-Mar-96	--	18-Mar-96	--	1999	Vancouver
82	EP	Andrew Gabrielson	14-Jun-05	1993 - Western Australia	14-Feb-05	--	14-Jun-05	01-Feb-07	01-Feb-09	Vancouver
83	EP	Matt T. Ghikas*	02-Sep-99	1999 - AB	31-Aug-98	--	02-Sep-99	01-Feb-06	01-Feb-10	Vancouver
84	EP	Brook J. Greenberg	20-Mar-98		02-Sep-97	--	07-Sep-98	01-Feb-04	01-Feb-05	Vancouver
85	EP	Paul Grewal*	09-Sep-06		01-Feb-18				01-Feb-18	Surrey
86	EP	John F. Grieve*	14-Jun-85		14-May-84	--	14-Jun-85	--	1992	Vancouver
87	EP	Jason Harris*	18-Feb-00		01-Feb-18				01-Feb-18	Surrey
88	EP	Blair J. H. Horn*	01-Sep-95	1989 - ON	01-Feb-06	--	--	--	01-Feb-06	Vancouver
89	EP	Georald S. Ingberg*	04-Sep-98		02-Sep-97	--	04-Sep-98	01-Feb-05	01-Feb-08	Vancouver

Associates and Partners Counsel - Vancouver										
90	EP	Andrew P. Jackson*	18-May-90		09-Mar-98	--	09-Mar-98	--	2001	Vancouver
91	EP	Kibben Jackson*	16-Mar-01		05-Sep-00	--	08-Sep-01	--	01-Feb-12	Vancouver
92	EP	Clayton A. Jones*	19-Aug-02		04-Sep-01	--	19-Aug-02	01-Feb-09	01-Feb-13	Vancouver
93	EP	Roger A. Kuypers*	23-May-97	1998 - AB	15-Jul-02	--	15-Jul-02	01-Feb-08	01-Feb-10	Vancouver
94	EP	Brent J. Lewis*	15-Mar-91		27-Aug-90	--	30-Aug-91	--	1997	Vancouver
95	EP	Jonathan Liteplo*	29-Apr-11	1993 - AB	01-Feb-11				01-Feb-11	Vancouver
96	EP	David W. Little*	17-Mar-95	2016 - YK & AB	28-Aug-94	--	04-Sep-95	--	2001	Vancouver
97	EP	Rob M. Loneragan	15-May-92	2018 - AB	13-May-91	--	15-May-92	--	2001	Vancouver
98	EP	Helen H. Low*	17-Mar-89	2004 - AB	29-Aug-88	--	20-Mar-89	--	2000	Vancouver
99	EP	Edmond C. Luke*	02-Sep-94		30-Aug-93	--	02-Sep-94	--	2001	Vancouver
100	EP	Elizabeth B. Lyall*	01-Aug-86		12-Jun-89	--	12-Jun-89	--	1994	Vancouver
101	EP	J. Geoffrey Lyster*	18-May-90		15-May-89	--	18-May-90	--	1997	Vancouver
102	EP	Allison M. MacInnis*	11-Dec-98		20-Jul-98	--	21-May-99	01-Feb-06	01-Feb-17	Vancouver
103	EP	Iain R. Mant*	13-Nov-92	1990 - ON	01-Feb-03	--	--	01-Feb-03	01-Feb-06	Vancouver
104	EP	David T. McDonald*	04-Sep-98		02-Sep-97	--	04-Sep-98	01-Feb-05	01-Feb-08	Vancouver
105	EP	Robert A. Millar*	13-Jul-82		02-Jan-86	--	02-Jan-86	--	1989	Vancouver
106	EP	William T. Morley*	10-May-84		09-May-83	--	10-May-84	--	1991	Vancouver
107	EP	Shahrooz Nabavi*	29-Sep-08	2004 - AB	02-Sep-08	--	02-Sep-08	01-Feb-11	01-Feb-15	Vancouver
108	EP	Andrew I. Nathanson*	30-Aug-96		14-Aug-95	--	30-Aug-96	--	2003	Vancouver
109	EP	Lorene A. Novakowski*	12-Mar-93		24-Aug-92	--	22-Jun-93	--	2000	Vancouver
110	EP	Kevin O'Callaghan*	31-Aug-00	2006 - NWT / 2010 - YK	30-Aug-99	--	31-Aug-00	01-Feb-07	01-Feb-12	Vancouver
111	EP	Gary W. Ott*	12-Jun-87		12-May-86	--	12-Jun-87	--	1994	Vancouver
112	EP	Michael D. Parrish*	19-Nov-99		05-Jul-99	--	24-Apr-00	01-Feb-06	01-Feb-11	Vancouver
113	EP	Marcel Pearson*	10-Jul-81		10-Jul-80	--	10-Jul-81	--	1988	Vancouver
114	EP	Mark Pontin*	21-Nov-05		18-Jul-05	--	08-May-06	01-Feb-14	01-Feb-18	Vancouver
115	EP	Steve A. Saville*	01-Jan-08	2004 - ON	07-Jan-08	--	07-Jan-08	01-Feb-13	01-Feb-17	Vancouver
116	EP	Frank S. Schober*	20-May-88		10-Aug-92	--	10-Aug-92	--	1998	Vancouver
117	EP	W. Bruce Tattlie*	10-Jul-79		01-Feb-03	--	--	01-Feb-03	01-Feb-05	Vancouver
118	EP	Dierk Ullrich*	03-Mar-04		25-Aug-03	--	03-Sep-04	01-Feb-14	01-Feb-18	Vancouver
119	EP	Barbara L. Vanderburgh*	11-Sep-84		02-Jan-86	--	02-Jan-86	--	1989	Vancouver
120	EP	Will Westeringh*	17-Nov-89		02-May-88	--	17-Nov-89	--	1996	Vancouver
121	EP	Darrell J. Wickstrom*	01-Sep-89	1988 - AB	29-Aug-88	--	01-Sep-89	--	1997	Vancouver
122	EP	Paul C. Wilson*	12-Jul-83		10-Jul-82	--	12-Jul-83	--	1990	Vancouver
123	EP	David G. Wong*	05-Sep-07		05-Sep-06	--	06-Sep-07	01-Feb-15	01-Feb-17	Vancouver
124	EP	Kareem Zimmer*	28-Aug-01		10-May-11	--		10-May-11	01-Feb-15	Vancouver
125	P	Kai Alderson*	01-May-05		01-May-06	--	18-Jun-12	1-Feb-16		Vancouver
126	P	Sarah A. Batut	23-May-07		23-May-06	--	24-May-07	1-Feb-16		Vancouver
127	P	Gavin Cameron	25-Jun-11		25-Jun-11	--	25-Jun-11	01-Feb-18		Vancouver
128	P	J. David H. Curtis*	06-Jan-06		06-Sep-05	--	28-Jun-06	01-Feb-17		Vancouver
129	P	Johanna Fipke*	19-Aug-05	1999 - NWT / 2012 - Nunavut	07-Sep-10	--	07-Sep-10	01-Feb-13		Vancouver
130	P	Grant Foster*	02-Sep-09		02-Sep-09	--	03-Sep-09	01-Feb-17		Vancouver
131	P	Bridget Glibride	09-Sep-10		09-Sep-10	--	09-Sep-10	01-Feb-18		Vancouver
132	P	Stephanie Gutierrez*	21-Jul-09		05-Mar-12	--	05-Mar-12	01-Feb-17		Vancouver
133	P	Charlene Hiller	17-Mar-10	2004 AB	28-Mar-11	--	28-Mar-11	01-Feb-18		Vancouver
134	P	Josh D. Lewis	10-Sep-80		01-Nov-99	--	01-Nov-99	01-Feb-05	--	Vancouver
135	P	Jonathan Lim	20-May-09		20-May-09	--	21-May-09	01-Feb-18		Vancouver
136	P	K.C. Miu*	28-Aug-06		06-Sep-05	--	29-Aug-06	01-Feb-13	--	Vancouver
137	P	Kevin P. O'Neill*	13-Jul-77		10-Jul-76	--	13-Jul-77	01-Feb-18	1984	Vancouver

Associates and Partners Counsel - Vancouver										
138	P	Marina A. Pratchett, Q.C.	13-Jul-82		01-Feb-86	--	01-Feb-86	01-Feb-12	1989	Vancouver
139	P	Christopher Sharpe*	22-May-08		22-May-07		23-May-08	1-Feb-16		Vancouver
140	P	Keith E. Spencer*	20-May-88		01-May-87	--	20-May-88	01-Feb-16	1998	Vancouver
141	P	Michael Stephens	06-May-11		19-Sep-16			09-Sep-16		Vancouver
142	P	Danielle Tolgo	27-Jul-10		28-Jul-10		28-Jul-10	01-Feb-18		Vancouver
		* This partner has a law corporation								
		<b>Legend:</b>								
	P	Regular Partner								
	EP	Equity Partner								
	A	Associate								
	C	Counsel / Contractor								



## APPENDIX B

Calgary

APPENDIX B  
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018

Name of Firm: Fasken Martineau DuMoulin LLP

	CANADA					OUTSIDE OF CANADA <sup>5</sup>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces</u> <u>(Please specify)</u>	<u>U.K.</u>	<u>South Africa</u>
a) No. of Lawyers <sup>1</sup>		<u>14</u>					
b) No. of Patent & Trademark Agents <sup>2</sup>		<u>0</u>					
c) No. of Non-lawyer Consultants <sup>3</sup>		<u>0</u>					
d) No. of Paralegals		<u>4</u>					
e) No. of Other Employees		<u>31</u>					
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>4</sup>		<u>21</u>					

<sup>1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>2</sup> These are not lawyers.

<sup>3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

**FASKEN MARTINEAU DUMOULIN LLP - CALGARY OFFICE**  
Active as of March 1, 2018

Status	Name	Date of Call - Home Province	Date of Call - Other Jurisdictions	Date of Hire	Became Counsel / Contractor	Became Associate	Became Regular Partner	Became Equity Partner
1 P - FT	Clark Barnes	01-Sep-82	1889 - ON (inactive)	04-Oct-10				
2 EP - FT	Michael Black	06-Sep-85		13-Sep-10		27-Sep-10	04-Oct-10	13-Sep-10
3 P - FT	David Both	18-Sep-09	2017 - BC	27-Sep-10		12-Aug-16	Feb. 1 2017	
4 A - FT	Andrew Burt	11-Aug-15		07-Jul-14		29-Jul-10		
5 P - FT	Aif Chowdhury	28-Jul-10		22-Jun-09				
6 EP - FT	Gary Cochrane	Aug. 1881		01-Jun-17				01-Jun-17
7 EP - FT	Petar Feldberg	08-Jan-96	1884 - BC	08-Jun-03				08-Jun-03
8 A - FT	Perry Feldman	23-Aug-12		07-Nov-16		07-Nov-16		
9 A - FT	Theodore Fong	05-Aug-11		05-Jul-10		08-Aug-11		
10 EP - FT	Sarah Gingrich	08-Dec-09	2006 - ON	02-Dec-13		02-Dec-13	01-Feb-15	
11 A - FT	Clara Hines	12-Aug-16		05-Jul-15		15-Aug-16		
12 A - FT	Jordan Huculd	20-Aug-13		03-Jul-12		21-Aug-13		
13 EP - FT	Brendan Hunter	23-Feb-07		03-Jan-08		26-Feb-07	01-Feb-14	22-Mar-04
14 EP - FT	Alax Kobos	18-Sep-94		22-Mar-04			23-Jun-14	01-Feb-17
15 EP - FT	Travis Lysek	25-Jul-02		23-Jun-14				
16 A-FT	Sandra Malcolm	22-Aug-08		20-Apr-15		20-Apr-15		
17 P - FT	Chris Manderville	17-Jul-01	1979 - ON	1-Feb-09		01-Feb-09	02-Jan-18	
18 P - FT	Robert Maxwell	07-Sep-12	2011 - SK	07-Jul-17		07-Jul-17	01-Feb-11	
19 A-FT	Ali Memon	19-Jul-13		20-Nov-17				
20 A-FT	Gabriele Metz	13-Oct-06		02-Jan-18				
21 P - FT	Adrienne O'Reilly	25-Jun-02		10-Nov-08		10-Nov-08	01-Feb-14	
22 EP - FT	Gulu Punia	08-Aug-13		03-Jul-12		09-Aug-13	01-Jun-17	
23 A - FT	Hannah Roskay	1987		01-Jun-17		04-Jul-17		16-Apr-15
24 EP - FT	Scott Sangster	17-Sep-14	1990 - SK	04-Jul-17				
25 A-FT	Brendan Sawatsky	15-Jan-00		16-Apr-15		31-Aug-15		
26 EP - FT	Curis, Stewart	16-Dec-11		31-Aug-15		28-Aug-17		
27 A - FT	Vhaif Stonwick	28-Aug-17		28-Aug-17		06-Apr-09		
28 A - FT	Mihal Tomas	25-Jun-02		01-Jul-15				01-Jul-15
29 A - FT	Dean Watt	2002		25-May-04		25-May-04		
30 EP - FT	Chris Wolfenberg						01-Feb-09	
31 EP - FT	Karen Wyke	19-Jul-01						
<b>Counsel - Calgary</b>								
32 C - FT	Rednick Ferguson	04-Aug-81		01-Oct-04	01-Aug-17			01-Oct-04
33 C - FT	Stephanie Lunluk	1993		24-May-16	24-May-16			
34 C - FT	Scott Whibby	11-Aug-00	1994 - SK	01-Jun-16	01-Jun-16			

<b>Legend:</b>	
P	Regular Partner
EP	Equity Partner
A	Associate
C	Counsel / Contractor
FT	Full Time

FMD-CGY

List of Partners/Counsel with plc

F2019

Partner	F2019 Status	Pic/Individual
Barnes, Clarke	Non-Equity	Clarke D. Barnes Professional Corporation
Black, Michael	Equity	Michael J. Black Professional Corporation
Both, David	Non-Equity	David Both Professional Corporation
Arif Chowdhury	Non-Equity	Nurul Arif Chowdhury Professional Corporation
Cochrane, Gary	Equity	Gary J. Cochrane Professional Corporation
Feldberg, Peter	Equity	Peter Feldberg Professional Law Corporation
Ferguson, Rod	Counsel	Roderick A. Ferguson Professional Corporation
Gingrich, Sarah	Equity	Sarah Gingrich Professional Corporation
Hunter, Brenden	Equity	Brenden Hunter Professional Law Corporation
Kotkas, Alex	Equity	J. Alex Kotkas Professional Law Corporation
Ludluk, Stephanie	Counsel	Stephanie Ludluk Professional Corporation
Lysak, Travis	Equity	Travis Powell Lysak Professional Corporation
Manderville, Chris	Non-Equity	Christopher B. Manderville Professional Corporation
Maxwell, Robert	Non-Equity	Robert D. Maxwell Professional Corporation
Adrienne O'Reilly	Non-Equity	Adrienne A. O'Reilly Professional Corporation
Punia, Gulu	Equity	Navdeep (Gulu) Punia Professional Corporation
Sangster, Scott	Equity	Scott W. Sangster Professional Corporation
Stewart, Curtis	Equity	C.R. Stewart Professional Corporation
Whitby, Scott	Counsel	Scott D. Whitby Professional Corporation
Wolfenberg, Chris	Equity	Christopher M. Wolfenberg Professional Corporation
Wyke, Karen	Equity	Karen Wyke Professional Corporation

Counsel

# Beijing Office - 2018

## APPENDIX C

ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

au DuMoulin LLP

Person Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
1	Beijing	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
1	Beijing	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
1	Beijing	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
1	Beijing	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Development

on the underlying insurances purchased and attach a copy of the policies:

_____	Type of Exposure:	_____
_____	Insurance Carrier:	_____
_____	Policy Number:	_____
_____	Period of Insurance:	_____
_____	Retroactive Date:	_____
_____ per claim, \$_____ aggregate	Limits:	\$_____ per claim, \$_____ aggregate

ed, please complete Section B.

ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE  
THE SUPERVISION OF A LAWYER.

S. A

## APPENDIX C

APPENDIX C  
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP

**SOUTH AFRICA**

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact <input type="checkbox"/> Yes <input type="checkbox"/> No	Advise Clients <input type="checkbox"/> Yes <input type="checkbox"/> No	Supervised by Lawyers <input type="checkbox"/> Yes <input type="checkbox"/> No	Underlying Insurance <sup>/1</sup> <input type="checkbox"/> Yes <input type="checkbox"/> No	% of Time Docketed <sup>/2</sup>
					Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
					Yes <input type="checkbox"/> No <input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**Nothing to report**

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

QC

## APPENDIX C



APPENDIX C  
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP (Appendix C - Page 1 of 2)

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>1</sup>	% of Time Docketed <sup>2</sup>
<u>Notary</u>	<u>3</u>	<u>Qc</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<u>Translators</u>	<u>7</u>	<u>Qc</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<u>Other (see note 1) M-E.A</u>	<u>1</u>	<u>Qc</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<u>Other (see note 2) A-M.B</u>	<u>1</u>	<u>Qc</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<u>Other (see note 3) R.C</u>	<u>1</u>	<u>Qc</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: Notaries  
Insurance Carrier: FARP-CNQ  
Policy Number: see attached  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

Type of Exposure: Translators  
Insurance Carrier: La Capitale  
Policy Number: (pending)  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

<sup>1</sup> If underlying insurance is purchased, please complete Section B.

<sup>2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C  
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP (Appendix C - Page 2 of 2)

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
Other (see note 4) J.G	1	Qc	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Other (see note 5) S.P-H	1	Qc	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: Paralegal - Member of Quebec Bar  
Insurance Carrier: FARBQ  
Policy Number: (Pending)  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

**CLLAS 2018-2019**  
**QUEBEC REGION**  
**ATTACHED - APPENDIX C**

**Note 1)**

Marie-Ève Asselin, Director Marketing & Business Development (Lawyer, QC Bar 2001, no insurance FARBQ)

**Note 2)**

Anne-Marie Breton, Director Compliance (Lawyer Qc Bar 2005, with no insurance FARBQ)

**Note 3)**

Raymond Chrétien, Strategic Advisor

**Note 4)**

Julie Girouard, Manager Professional Development (Lawyer, QC Bar 2006, no insurance FARBQ)

**Note 5)**

Sarah Pilote-Henri, Manager Marketing & Business Development (Lawyer, QC Bar 2008, no insurance FARBQ)

APPENDIX C  
CLLAS 2018-2019  
List of non-lawyer consultants  
As of March 8, 2018

#	LOCATION	Employee ID	Last Name	First Name	Hiring date	Appendix B	Dénombrement effectif int.	Work Status	Year of Call	Appendix C
1	MONTREAL	20117	Bilodeau	François-Xavier	2014-09-02	Non-Lawyer consultant	AUTRES FACTURABLES/TRADUCTEUR-RÉVISEUR	PTPLEIN		Translator
2	MONTREAL	10153	Chevalier	Nathalie	2000-09-05	Non-Lawyer consultant	AUTRES FACTURABLES/TRADUCTEUR-RÉVISEUR	PTPLEIN		Translator
3	MONTREAL	16070	Chouteau	Bernard	2005-07-04	Non-Lawyer consultant	AUTRES FACTURABLES/TRADUCTEUR-RÉVISEUR	PTPLEIN		Translator
4	MONTREAL	16019	Duhamel Gendron	Catherine	2005-05-02	Non-Lawyer consultant	AUTRES FACTURABLES/TRADUCTEUR-RÉVISEUR	PTPLEIN		Translator
5	QUEBEC	10276	Ferron-Saunier	Denise	1999-07-19	Non-Lawyer consultant	AUTRES FACTURABLES/TRADUCTEUR-RÉVISEUR	PTPLEIN		Translator
6	MONTREAL	21104	Gardès	Régine	2017-08-26	Non-Lawyer consultant	AUTRES FACTURABLES/TRADUCTEUR-RÉVISEUR	PTPLEIN		Translator
7	MONTREAL	17045	Trudeau	Mathieu	2008-09-08	Non-Lawyer consultant	AUTRES FACTURABLES/TRADUCTEUR-RÉVISEUR	PTPLEIN		Translator
8	MONTREAL	20568	Asselin	Marie-Eve	2016-01-25	Non-Lawyer consultant	EMPLOYÉS ADMIN./AUTRES	PTPLEIN		Other
9	MONTREAL	20893	Breton	Anne-Marie	2017-02-27	Non-Lawyer consultant	EMPLOYÉS ADMIN./AUTRES	PTPLEIN	2005-04-04	Other
10	QUEBEC	10551	Chrétien	Raymond	2004-02-25	Non-Lawyer consultant	AVOCAT/CONSEIL	PTPLEIN SA	1966-01-01	Other
11	MONTREAL	19942	Girouard	Julie	2014-03-17	Non-Lawyer consultant	EMPLOYÉS ADMIN./AUTRES	PTPLEIN	2006-05-23	Other
12	MONTREAL	19694	Pilote-Henry	Sarah	2013-06-03	Non-Lawyer consultant	EMPLOYÉS ADMIN./AUTRES	PTPLEIN		Other
13	MONTREAL	21170	Arès	Marjolaine	2017-10-28	Non-Lawyer consultant	AVOCAT/ASSOCIÉ	PTPLEIN		Notary
14	QUEBEC	20145	Marcoux	Sébastien	2014-09-29	Non-Lawyer consultant	AVOCAT/AVOCAT SALARIÉ	PTPLEIN		Notary
15	MONTREAL	17052	Rainville	Sonia	2008-09-29	Non-Lawyer consultant	AVOCAT/ASSOCIÉ	PTPLEIN		Notary

APPENDIX C  
ACTIVE NON-LAWYER COUNSELLANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP

**SECTION A**

Professional Service Provided or Type of Profession	# Person In Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>1</sup>	% of Time: Docketed <sup>2</sup>
Occupational Health and Safety	3 ✓	Ontario	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100
Government Relations Consultant	1 ✓	Ontario	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	<u>N/A</u>	Type of Exposure:	<u>N/A</u>
Insurance Carrier:		Insurance Carrier:	
Policy Number:		Policy Number:	
Period of Insurance:		Period of Insurance:	
Retroactive Date:		Retroactive Date:	
Limits:	\$ _____ per claim, \$ _____ aggregate	Limits:	\$ _____ per claim, \$ _____ aggregate

<sup>1</sup> If underlying insurance is purchased, please complete Section B.

<sup>2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

London

## APPENDIX C

APPENDIX C  
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau LLP - London

NOT APPLICABLE

**SECTION A**

<u>Professional Service Provided or Type of Profession</u>	<u># Person in Same Profession</u>	<u>Location (Province)</u>	<u>Client Contact</u>	<u>Advise Clients</u>	<u>Supervised by Lawyers</u>	<u>Underlying Insurance</u> <sup>/1</sup>	<u>% of Time Docketed</u> <sup>/2</sup>
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

BC

## APPENDIX C



APPENDIX C  
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
Consultant	1	BC	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Consultant	1	BC	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

## APPENDIX C

Calgary

N/A

**APPENDIX C**  
**ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2018**  
**(Excluding Patent & Trademark Agents)**

Name of Firm: Fasken Martineau DuMoulin LLP

**SECTION A**

<u>Professional Service Provided or Type of Profession</u>	<u># Person in Same Profession</u>	<u>Location (Province)</u>	<u>Client Contact</u>	<u>Advise Clients</u>	<u>Supervised by Lawyers</u>	<u>Underlying Insurance <sup>/1</sup></u>	<u>% of Time Docketed <sup>/2</sup></u>
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX D  
"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

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Name of Firm: Fasken Martineau DuMoulin LLP

## APPENDIX D

### "ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

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Name of Firm: Fasken Martineau DuMoulin LLP

- The Named Insured under the CLLAS policies is Fasken Martineau DuMoulin LLP. There is an endorsement to the Primary Policy listing a number of additional Named Insureds.
- Fasken & Calvin and Campbell Godfrey & Lewtas merged on November 1, 1989 and practised under the name Fasken Campbell Godfrey.
- Fasken Campbell Godfrey had entered into a partnership with Martineau Walker of Montreal and Davis and Company of Vancouver known as Fasken Martineau Davis. Davis and Company later withdrew and the partnership continued under the name of Fasken Martineau.
- On September 1, 1999, Fasken Martineau ("*Canadian Partnership*") formed a US subsidiary partnership also called Fasken Martineau ("*US Partnership*") to carry out the practice of Canadian law from a New York office. The Firm commenced practising US law from both the Toronto and New York offices in 2004.
- On February 1, 2000, Fasken Campbell Godfrey merged with Martineau Walker and Russell & DuMoulin of Vancouver and practises under the name of Fasken Martineau DuMoulin LLP.
- After the merger, Fasken Martineau ("*US Partnership*") and Fasken Martineau ("*Canadian Partnership*") changed their names to Fasken Martineau DuMoulin LLP ("*US Partnership*") and Fasken Martineau DuMoulin LLP ("*International Partnership*") respectively. On February 1, 2007, Fasken Martineau DuMoulin LLP ("*International Partnership*") changed its name to Fasken Martineau DuMoulin International LLP.
- The Firm now has offices in Toronto, Montreal, Ottawa, Quebec City, Vancouver, Surrey and Calgary in Canada, London, England, Johannesburg, South Africa and Beijing, China. An office was opened in Yellowknife on November 4, 2002 and was closed on November 30, 2004. The New York office was closed on April 30, 2008 and Fasken Martineau DuMoulin LLP ("*US Partnership*") was subsequently dissolved.
- The Johannesburg, South Africa office was established in 2003 by Fasken Martineau DuMoulin (Pty) Ltd., a subsidiary of Fasken Martineau DuMoulin International LLP, to practise both Canadian and U.K. law. The entity merged with Bell Dewar Inc. on February 1, 2013.
- Under the supervision of the Johannesburg office, a subsidiary company, LexEmpower Legal Consulting (Pty) Ltd. (formerly Capstone 686 (Proprietary) Limited) was structured to qualify under the "Black Economic Empowerment" legislation and provide corporate and legal advisor services commencing on or about July 1, 2004 practising both Canadian and U.K. law. This corporation has now ceased active business. The firm no longer has control of this entity and we understand that it is now under liquidation.
- Effective February 1, 2007, the name of Stringer Saul LLP, a UK limited liability partnership, was changed to Fasken Martineau Stringer Saul LLP, the partners resident in the Firm's London, UK office and 2 partners

resident in Canada became members of Fasken Martineau Stringer Saul LLP and the equity members of Stringer Saul LLP became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP. The name of Fasken Martineau Stringer Saul LLP was changed to Fasken Martineau LLP on September 11, 2008.

- Effective April 1, 2007, the firm opened an office in Ottawa, and the partners of Johnston & Buchan LLP joined the firm as partners of Fasken Martineau DuMoulin LLP.
- On September 1, 2009, the Paris firm Gravel, Leclerc & Associates S.E.L.A.S., a professional services corporation established under French law, merged with the Firm. Gravel, Leclerc was acquired by Fasken Martineau LLP and continued its practice under the name Fasken Martineau S.E.L.A.S. Certain lawyers of Gravel, Leclerc also became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP. The Paris office was closed effective December 31, 2015.
- There is an endorsement on the policy recognizing the partnerships, associations and arrangements identified in this Appendix D and those in the attached addendum.
- Martineau Walker merged with Gagnon Lafleur & Associates in 1981 and Lazarovitz, Cannon, Lemelin, Rourke in 1983.
- Russell & DuMoulin merged with Lyall McKercher Hanna in 1989.
- On February 1, 2013, the South African firm of Bell Dewar Inc. (predeceased by Bell Dewar and Hall), a professional services firm established under South African law, merged with Fasken Martineau DuMoulin (Pty) Ltd. and continues its practice under the name Fasken Martineau. Certain lawyers of Bell Dewar Inc. also became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP.

Coverage is provided to the predecessor firms namely, Fasken & Calvin; Campbell, Godfrey & Lewtas; Kitamura Green; Gagnon Lafleur & Associates; Lazarovitz, Cannon, Lemelin, Rourke; and Lyall McKercher Hanna. Coverage is also provided to the predecessor firms of Stringer Saul LLP namely, Day & Co. and PharmaLaw, to the predecessor firms of Johnston & Buchan LLP namely, Johnston & Buchan and Johnston, Buchan & Dalfen, to the predecessor firm of Gravel, Leclerc & Associates S.E.L.A.S., namely Gravel, Leclerc and Partners, and to the predecessor firm of Bell Dewar Inc. in South Africa, namely Bell Dewar and Hall.



(ATTACH ADDENDUM TO FMD'S APPENDIX D RETURNED WITH THEIR JULY 1, 2017 RENEWAL APPLICATION)

(ATTACH ADDENDUM TO FMD'S APPENDIX D RETURNED WITH THEIR JULY 1, 2017 RENEWAL APPLICATION)



**FASKEN MARTINEAU DuMOULIN LLP**

**Addendum to Appendix D**

**Firms Included for the Purpose of Full Disclosure as at March 31, 2018 – See Comments Describing Relationship**

<b>Firms</b>	<b>Addresses</b>	<b>Comments On Relationships</b>
<b>Fasken Martineau DuMoulin LLP</b>  <i>www.fasken.com</i>  <b>Toronto – Phone (416) 366-8381</b> <b>Fax (416) 364-7813</b>  <b>Montreal – Phone (514) 397-7400</b> <b>Fax (514) 397-7600</b>  <b>Quebec City – Phone (418) 640-2000</b> <b>Fax (418) 647-2455</b>  <b>Vancouver – Phone (604) 631-3131</b> <b>Fax (604) 631-3232</b>  <b>Surrey - Phone (604) 631-3131</b> <b>Fax (604) 631-3232</b>  <b>Calgary – Phone (403) 261-5350</b> <b>Fax (403) 261-5351</b>  <b>Ottawa – Phone (613) 236-3882</b> <b>Fax (613) 230-6423</b>  <b>London UK– Phone 011-44-20-7917-8500</b> <b>Fax 011-44-20-7917-8555</b>	<b>Locations: - <i>Toronto, Montreal, Ottawa, Quebec City, Calgary, Vancouver, Surrey, London U.K. and Johannesburg S.A..</i></b>  <b>Bay Adelaide Centre</b> <b>333 Bay Street, Suite 2400</b> <b>P.O. Box 20</b> <b>Toronto, Ontario M5H 2T6</b>  <b>The Stock Exchange Tower</b> <b>800 Victoria Square, Suite 3700</b> <b>P.O. Box 242</b> <b>Montreal, Quebec H4Z 1E9</b>  <b>140, Grande Allée Est, Suite 800</b> <b>Québec, Quebec G1R 5M8</b>  <b>550 Burrard Street, Suite 2900</b> <b>Vancouver, B.C. V6C 0A3</b>  <b>Station Tower</b> <b>13401 - 108 Avenue, Suite 1800</b> <b>Surrey, B.C. V3T 5T3</b>  <b>First Canadian Centre</b> <b>350 7<sup>th</sup> Avenue SW, Suite 3400</b> <b>Calgary, Alberta T2P 3N9</b>  <b>55 Metcalfe Street, Suite 1300</b> <b>Ottawa, ON K1P 6L5</b>  <b>125 Old Broad Street, 15<sup>th</sup> floor</b> <b>London</b> <b>EC2N 1AR</b> <b>United Kingdom</b>	<b>An Ontario limited liability partnership formed on February 1, 2000 by partners of Fasken Campbell Godfrey, Martineau Walker and Russell &amp; DuMoulin. (Designated internally as the “National” firm)</b>

<p><b>Johannesburg SA –</b>  Phone + 27 (11) 586-6000  Fax + 27 (11) 586-6104(5)</p> <p>(A Paris office, formerly at  32 avenue de l'Opéra.  75002 Paris  was closed on December 31, 2015.)</p> <p>(A Yellowknife office, formerly at  Suite 702, Northwest Tower  5201 Franklin (50<sup>th</sup>) Avenue  Yellowknife, NT X1A 3S9,  was closed on November 1, 2004.)</p> <p>(A New York City office, formerly at  590 Madison Avenue  21<sup>st</sup> Floor  New York, New York  10022,  was closed on April 30, 2008.)</p>	<p>Inanda Greens, Building 2  54 Wierda Road West  Sandton</p> <p>(Postal address) :  P.O. Box 652057  Benmore 2010  South Africa</p>	
<p>Fasken Martineau DuMoulin International LLP  (formerly Fasken Martineau DuMoulin LLP,  which was designated internally as  "International")</p>	<p>Bay Adelaide Centre  333 Bay Street, Suite 2400  P.O. Box 20  Toronto, Ontario M5H 2T6</p>	<p>An Ontario limited liability partnership. It has a partnership interest in Fasken Martineau LLP and owns Fasken Martineau DuMoulin (Pty) Ltd.. Its partners are the Canadian equity partners of Fasken Martineau DuMoulin LLP, equity members of Fasken Martineau LLP and certain lawyers of Fasken Martineau DuMoulin (Pty) Ltd. and Bell Dewar Inc.</p>
<p>Fasken Martineau LLP  (formerly Fasken Martineau Stringer Saul LLP)</p> <p><i>www.fasken-martineau.com</i></p>	<p>125 Old Broad Street, 15<sup>th</sup> floor  London  EC2N 1AR  United Kingdom</p>	<p>Fasken Martineau DuMoulin International LLP operated a London U.K. office from which it practiced Canadian law.  On February 1, 2007 the name of Stringer Saul LLP, a limited liability partnership incorporated and registered in England and Wales, was changed to Fasken Martineau Stringer Saul LLP. Partners of Fasken Martineau DuMoulin International LLP</p>

		who were resident in the London UK office and two partners of Fasken Martineau DuMoulin LLP resident in Canada became members of Fasken Martineau Stringer Saul LLP and the equity members of Stringer Saul LLP became partners in Fasken Martineau DuMoulin LLP and Fasken Martineau DuMoulin International LLP. Subsequently, the name of Fasken Martineau Stringer Saul LLP was changed to Fasken Martineau LLP.
Johnson & Buchan LLP	55 Metcalfe Street, Suite 1300 Ottawa, Ontario K1P 6L5	On April 1, 2007 the partners of Johnson & Buchan LLP became partners of Fasken Martineau DuMoulin LLP and of Fasken Martineau DuMoulin International LLP.
Fasken Martineau S.E.L.A.S. (formerly Gravel, Leclerc & Associés S.E.L.A.S.)  (inactive)	32 avenue de l'Opéra 75002 Paris	Fasken Martineau S.E.L.A.S. is a corporation incorporated in France that is authorized to practice law. Formerly Gravel, Leclerc & Associés S.E.L.A.S., it carried on its practice under the name Fasken Martineau between September 1, 2009 and December 31, 2015, when it ceased operations.
Fasken Martineau DuMoulin LLP (formerly Fasken Martineau)  (dissolved)  <a href="http://www.fasken-martineau.com">www.fasken-martineau.com</a>	590 Madison Avenue 21 <sup>st</sup> Floor New York, New York 10022	Fasken Martineau DuMoulin LLP, a New York limited liability partnership (designated internally as the "U.S." firm) operated a New York City office from which it practiced Canadian law. The New York office was closed on April 30, 2008 and the U.S. firm has been dissolved.
Fasken Martineau DuMoulin (Pty) Ltd.  <a href="http://www.fasken-martineau.com">www.fasken-martineau.com</a>	Inanda Greens, Building 2 54 Wierda Road West Sandton  (Postal address) : P.O. Box 652057 Benmore 2010 South Africa	Fasken Martineau DuMoulin (Pty) Ltd., a South African limited liability company, established the Johannesburg office opened in November 2003 to practice Canadian and UK law.
LexEmpower Legal Consulting (Pty) Ltd.  (inactive/in liquidation)		LexEmpower Legal Consulting (Pty) Ltd. was a South African limited company structured to qualify under the "Black Economic Empowerment" legislation and operate an office in Johannesburg to practice UK law. It is inactive.

		We no longer control of this entity and understand that it is in liquidation.
Fasken Campbell Godfrey  (inactive) www.fasken.com	Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6	The Ontario partnership that operated the Toronto office practice prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Bell Dewar Inc.	Inanda Greens, Building 2 54 Wierda Road West Sandton Postal Address P O Box 652057 Benmore 2010 South Africa	As of February 1 <sup>st</sup> , 2013 the firm of Bell Dewar Inc. merged with Fasken Martineau DuMoulin (Pty) Ltd in South Africa and continued its practice under the name Fasken Martineau.
Martineau Walker  (inactive) www.martineau-walker.com	The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9  140, Grande Allée Est, Suite 800 Québec, Quebec G1R 5M8	The Quebec partnership that operated the Montreal and Quebec City offices practices prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Russell & DuMoulin  (inactive) www.rdcounsel.com	550 Burrard Street, Suite 2900 Vancouver, B.C. V6C 0A3	The B.C. partnership that operated the Vancouver office practice prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Carter & Associates  www.carterslawfirm.com www.charitylaw.ca	Box 440 211 Broadway Orangeville, Ontario L9W 1K4	We have a formal but non-partnership affiliation with Carter & Associates for the purposes of client referrals, business development and practice support.  Terrance S. Carter is a counsel to Fasken Martineau DuMoulin LLP.

Hacker Gignac Rice <i>www.hgr.ca</i> <b>(Relationship terminated December 31, 2006)</b>	518 Yonge Street Midland, Ontario L2R 2C5	We had a formal but non-partnership affiliation with Hacker Gignac Rice for the purposes of client referrals, business development and practice support. This affiliation was terminated on December 31, 2006.
Sims Clement Eastman <i>www.sce.com</i> <b>(relationship terminated April 1, 2001)</b>	700-22 Frederick Street P.O. Box 578, Station C Kitchener, Ontario N2G 4A2	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2001.
Cohen Highley Vogel & Dawson <b>(relationship terminated December 1, 2000)</b>	1 London Place 11 <sup>th</sup> Floor 255 Queen's Avenue London, Ontario N6A 5R8	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2000.
Lockington, Lawless, Fitzpatrick <b>(relationship terminated December 31, 2006)</b> <i>www.locklaw.ca</i>	332 Aylmer Street, North P.O. Box 1146, Station Main Peterborough, Ontario K9J 7H4	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2006.
Goudreau Gage Dubuc (formerly Goudreau Gage Dubuc & Martineau Walker) <b>(association terminated January 1, 2007)</b> <i>www.martineau-walker.com</i>	The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9 <b>(address during relationship)</b>	A Montreal patent and trademark firm, whose members include lawyers, that operated from the premises of Martineau Walker (subsequently Fasken Martineau DuMoulin LLP) under a formal non-partnership association that terminated in 2007.
Berardino & Harris	Suite 14 1075 West Georgia Street Vancouver, British Columbia V6E 3C9	Berardino & Harris (B&H) was a partnership of some former partners of Russell & DuMoulin. B&H handled certain matters previously handled by Russell & DuMoulin. In some instances B&H became the solicitors of record on existing matters, while on others Fasken Martineau DuMoulin LLP became the solicitors of record with B&H acting as counsel. B&H was a fully independent firm.
Perkins Coie LLP <i>www.perkinscoie.com</i>	1201 Third Avenue 40 <sup>th</sup> Floor Seattle, Washington	Russell & DuMoulin and Perkins Coie for several years maintained an informal strategic alliance solely for the purpose of a non-exclusive referral

	U.S.A. 98101-3098 <i>(offices in: Anchorage; Beijing; Bellevue; Boise; Chicago; Denver; Hong Kong; Los Angeles; Menlo Park; Olympia; Portland; San Francisco; Seattle and Washington, D.C.)</i>	arrangement, not referred to as an association. This arrangement continues between Fasken Martineau DuMoulin LLP and Perkins Coie.
Vincent T. K. Cheung, Yap & Co.  <i>(relationship terminated January 31, 2000)</i>	15th Floor Alexandra House 16-20 Chater Road Hong Kong	A former Hong Kong representative under an informal relationship that was terminated in 2000.
Dr. Dongdong Huang  <i>(relationship terminated June 24, 2002)</i>	Vancouver office address	A lawyer qualified in B.C. who conducted his practice from the Vancouver premises of Fasken Martineau DuMoulin LLP under a Memorandum of Understanding intended to promote China business development and provide support services without being a partnership, an association or and employee/employer relationship. This arrangement was terminated in 2002.
Pan Pacific Law Offices/ Weston Pan Pacific Consulting Ltd/ John D. Weston  <i>(relationship terminated August 28, 2000)</i>	Suite 1466 144 Min Chuan East Road Section 3 Taipei, Taiwan  1850 South West Marine Drive Vancouver, British Columbia V6P 6B2	A Taiwan law firm/ a Hong Kong company registered in Taiwan as an extra-territorial company/ a B.C. lawyer, founder and beneficial owner of both. A formal association agreement existed with Russell & DuMoulin and for a time with Fasken Martineau DuMoulin LLP. The arrangement was terminated in 2000.
Orange Chari Pillay (formerly Orange & Associates and formerly John R. S. Orange, Patent and Trademark Agent)  <i>(relationship terminated in 2000)</i>	Toronto Dominion Bank Tower 46.C. Box 190 Toronto-Dominion Centre Toronto, Ontario M5K 1H6  <i>(address during relationship)</i>	Under a Patent Agency Consultancy Agreement dated January 18, 1996, Orange Chari Pillay ("OCP") provided patent agency services at the premises of Fasken Martineau DuMoulin LLP (previously the premises of Fasken Campbell Godfrey) on his/their behalf and in association with Fasken Martineau DuMoulin LLP (previously Fasken Campbell Godfrey). FMD Ontario Services Limited Partnership (and previously Fasken Campbell Godfrey Inc.) sublet premises and provided other management services to OCP.  In early 2000 OCP provided notice under the agreement to exercise its termination rights and it

		left the premises of Fasken Martineau DuMoulin LLP on April 20, 2000.
Various U.S. firms	Various	From time to time Fasken Martineau DuMoulin LLP has, or has had, informal arrangements with a small number of U.S. firms the objective of which is to foster relationships that may lead to cross-border referrals, such as introducing each other's partners in similar practice areas to one another, attempting to organize joint presentations to each other's clients, jointly authoring articles on particular areas of law from Canadian and US perspectives, and the like. These relationships involve no revenue sharing or referral fees and are even more informal than the arrangement with Perkins Coie LLP described above.
FMD Partners, G.P. / FMD et Associes, S.E.N.C.	<p>Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6</p> <p>The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9</p>	FMD Partners, G.P. / FMD et Associés, S.E.N.C. is an Ontario general partnership, the members of which are FMD National Inc., Alexandre Abecassis, Raymond Chretien and Serge Lapointe. The partnership provides trade mark and patent agent services and other consulting services primarily to the Quebec offices of Fasken Martineau DuMoulin LLP.
Fasken Business Consulting (Asia) Inc.	<p>Unit 2401-31, 24F China World Office 2 No.1 Jian Guo Men Wai Avenue Chao Yang District, Beijing</p>	

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

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Name of Firm: Fasken Martineau DuMoulin LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.



## **Firm Management and Internal Policies and Procedures**

### **1. Firm Background and Governance**

Prior to 2007, the firm was the result of the merger on February 1, 2000 of three regional firms: Fasken Campbell Godfrey in Toronto, Martineau Walker in Montreal and Quebec City and Russell and DuMoulin in Vancouver.

We now have additional offices in London, Johannesburg, Calgary, Ottawa and Paris.

#### **Governance**

Our Firm is managed through a Partnership Board of elected members led by a Board Chairman.

The guidance of the Partnership Board is to the Firm Managing Partner who in turn oversees the Management Team of the Firm constituted of the Regional Managing Partners and Senior Executives.

The Management Team meets weekly by video conference and at least quarterly in person.

### **2. Lawyer Management (Training and Supervision)**

Partners report to the Regional Managing Partner and are formally evaluated each year in connection with the annual compensation review. As part of this process, all partners are subject to peer review. The criteria and expectations are set by the Regional Compensation Committee which is responsible for the review process.

Associates are evaluated annually under a rigorous review process co-ordinated and managed by a Professional Development Committee comprised of partners and supported by full time professional personnel staff who also administers the recruiting and training programs. Associates come under the direct supervision of partners in the delivery of legal service to clients. Each associate has a partner mentor who monitors their work allocation and client service performance, and serves as an advisor and sounding board for addressing professional issues and any concerns that may arise. Regional Associate Committees are elected in the regions by the associates to represent them collectively in their relationship with the partners, the office and the Firm.

Lawyers are organized into National Practice Groups for the purposes of enhancing cooperation within the Firm, developing new business and ensuring that our service capabilities are well understood within the Firm so that clients can be provided with the best service possible. Large clients also have a Client Team, represented by the various practice groups that might interact with the client, to oversee the delivery of legal services and to enhance the relationship with the client.

Client service is both influenced and observed by the National and Regional Practice Group Leaders and the Team Leaders of Client Teams. Each of these Groups and Teams submit annual written business plans that are reviewed, approved and monitored by the Management Team and include the development of precedents, the implementation of internal professional training and the sponsorship of attendance at external training and professional seminars, as well as, the organized pursuit of business development opportunities. The written firm precedents are available to the lawyers via the office intranet and/or document management system. .

There are various continuing education requirements our lawyers must complete annually in order to maintain their license. There vary somewhat by the region/country upon which they practice.

### **3. Non-lawyer Practitioners**

The Firm is not a Multi-discipline Partnership (MDP) under which non-lawyer professionals are eligible for partnership in a law firm. Non-lawyer professionals are employees of a separate entity, FMD Partners, G.P. Their services are contracted by lawyers in the law partnership, and as such, provide the required supervision within the rules and regulations of the law societies.

### **4. New Business**

New clients and new matters can only be accepted by partners and associates in accordance with work acceptance policies or practices for each Region/office that include guidelines and considerations relating to conflict search requirements, professional issues (legal and ethical standards, expertise and capacity), financial matters (credit assessments, billing arrangements, fee estimates, retainer deposits) and engagement letters.

"Independent legal advice" on a casual basis can only be rendered by lawyers of the Firm in accordance with guidelines which include conflict search requirements.

New clients, new matters and independent legal advice, after conflict search clearance and partner acceptance, require the completion of file opening documentation that populates the Firm's databases, including the conflict database.

New client and matter lists, appropriately masking approved confidential clients and matters, are circulated weekly.

### **5. Conflicts**

The Firm has a Conflicts and Ethics Committee, which is made up of partners from each Region/office with conflict oversight responsibility carried out in accordance with a written national Conflict of Interest Protocol that provides guidelines and considerations relating to conflict review procedures, legal and business conflicts, ethical walls, and file opening and closing procedures. Where appropriate, potential conflicts are referred to an independent member of the Committee and, if necessary, the Firm and/or Regional Managing Partners. When a conflict is seen to exist, the engagement is only accepted if the client is advised. If appropriate, the client is advised in writing to consider consulting another law firm about the conflict and to consider obtaining independent legal advice.

The Firm maintains a national conflicts database which the lawyers access through full time professional conflict search administrators in each Region, who have access to the conflicts database through the Firm's wide area network. The conflicts database includes client and matter name and address, responsible lawyer, opposing party, client subsidiaries, client principals, as well as terrorist groups identified by government authorities.

When deemed appropriate and necessary by the responsible partner, the Firm's voicemail and/or email system is used to forewarn lawyers not to entertain the acceptance of engagements or provision of independent legal advice in connection with parties to certain impending transactions or events when discussions are already taking place that may lead to the acceptance of a file by that partner, who has conducted a conflict search in its connection.

#### **6. Retainer Letters**

The Firm policy governing Work Acceptance includes a specimen Engagement Letter for adaptation to the particular circumstance. It encompasses the scope of the services to be performed and Firm's billing rates and policies. The use of an engagement letter is recommended, particularly in the case of new clients, but is not mandatory and its use remains the decision of the partner responsible for the file.

#### **7. Docket and Calendar**

Firm-wide computer systems provide for the control of statute dates and other critical deadlines, use specialized systems for certain areas of practice. The patent and trademark practice uses a commercially available web-based due date software system and an external trademark watching service. Data input reports from the centralized systems are provided to the responsible lawyer and date reminder reports are issued to the responsible lawyer weekly.

#### **8. Custody of Client Trust Funds and Assets**

The Firm has in its custody, from time-to-time, client trust funds. Detailed accounting records are maintained of the handling and/or investment of such funds. These records satisfy or exceed the regulations of the governing provincial law societies. The policies and procedures for the custody, handling and recording of such trust funds are reviewed in connection with the annual audit by the Firm's auditors and/or rotating audits by the auditors of the respective law societies.

Trust funds are segregated and deposited with financial institutions. Experienced trust clerks are employed to administer the movement of client trust funds. Such transactions require the written requisition of the applicable partner. Trust cheques require dual signatures, one of whom must be a partner, and neither of whom signed the original requisition.

#### **9. Fee Disputes**

The Firm recognizes that fee related disputes with clients may lead to claims and/or suits that may or may not be warranted and, accordingly, has in place a number of management processes to minimize the unwarranted escalation of such disputes.

The Firm's work acceptance practices include guidelines for advising clients on fees when taking on an engagement. A summary of the Firm's billing rates and practices is available on the intranet and/or document management system for inclusion in a Retainer Letter. Retainer or client engagement letters are recommended, but the decision to issue one is, in each case, the responsibility of the partner accepting the file.

Clients are billed monthly, with any exceptions requiring the approval of a member of the Finance Committee. Billing formats are selected from a number of Firm standardized formats. Invoices are generally detailed, including a description of the underlying dockets. Detailed aged reports of unbilled work-in-process and accounts receivable are provided to the responsible lawyers managing the file monthly (and in some cases weekly). Finance Committee monitors or FGA's (Finance Group Administrators) regularly review the status of client files making up these aged reports with the responsible lawyers. Financial sanctions are available to the Chair of the Finance Committee and management for reinforcement of perceived unsatisfactory management of billings and collections prior to the annual lawyer performance evaluation and compensation review.

A Collection Department staffed with professional credit and collections administrators, are maintained in each of the Regions. The Collectors work closely with the lawyers to address delinquent accounts and negotiate payment arrangements where necessary. The Collection Department also works with the Finance Committee and the Finance Partner in each office to handle specific problem matters, which may include situations where the client expressed dissatisfaction with the professional service of the Firm and its lawyers. Any circumstance, identified by the Collection Department in this process, that may lead to an unreported claim, is reported to the partner responsible for claims management as well as the partner responsible for the file.

When deemed necessary and valuable to an individual client relationship, management will conduct a formal or informal professional service audit and assessment.

On occasion, clients submit the fees billed to them to the formal judicial legal fee assessment process available to address fee disputes between lawyers and clients. On occasion, the Firm, after assessing the risks and costs, pursues payment of fees through the courts.

## **10. Management of Client Claims and Suits**

Each Region has a partner assigned to manage the reporting and documentation of occurrences that may lead to client claims and suits.

Annually, in connection with the application for renewal of the Firm's Errors and Omissions Insurance, each lawyer and non-lawyer professional is required to report in writing whether they are aware of any facts that may result in a claim, and provide a memorandum on any such circumstances that have not previously been reported and an update of the status of previously reported claims. A database is maintained of these claims and the reporting on the status of such claims.

## **11. Confidentiality of Client Information and Insider Trading**

The Firm has a policy on Confidentiality and Securities Investment that covers all personnel, their spouses, family and other household members. It covers the preservation of the confidentiality of information, and detailed descriptions and preclusions relating to insider trading, investing in the securities of clients and others, and the use of discretionary accounts. All personnel must sign and return a copy of this policy acknowledging that they have read and understand the policy, which indicates among its terms that "failure to adhere to this policy may result in immediate termination".

A list of the Firm's public company clients is maintained on the Firm's intranet and assessable to all members of the Firm.

Where appropriate, the Firm provides professional services behind "ethical walls" to preserve client confidentiality and to preclude ethical and business conflicts.

## **12. Audit Enquiries**

Audit enquiries are circulated by broadcast message on the Firm's email system.

## **13. Lawyers as Directors and/or Officers**

The Firm has a policy governing lawyers becoming directors or officers. Such elections or appointments can only be accepted under the strict criteria set out under the policy and the approval of the Regional Managing Partner or his/her designee. There is an expectation that underlying Officers and Directors Insurance be present and that, in the case of private companies, shareholder indemnities and/or unanimous shareholder agreements be obtained. The remuneration relating to such appointments may be paid directly to the individual, but is accounted for as revenue to the Firm by adjusting the lawyer's income.

A database is maintained of all such directorships.

## **14. Lawyers Business Affairs**

The Firm has a policy addressing the potential for Conflicts of Interest between Lawyer and Client Business Dealings With Clients or Assets Controlled By Clients, and provides instructions for the avoidance of personal business dealings with clients to whom they personally provide professional services.

Lawyers understand that, unless the Firm otherwise expressly consents, they are to devote all of their working time and attention to the Firm's business. Accordingly, if they have personal business to conduct, it is to be done in a fashion that does not imply that it involves the Firm and such personal business is not to be conducted from the Firm's premises or using the Firm's letterhead.

### Specific Responses to Section 11

11 A. There are full time office managers administrators in each office, reporting to the Chief Administrative Officer and to the Managing Partner of the office. The Firm also has a full time Chief Financial Officer, a full time Chief Marketing Officer and a full time Chief Technology Officer reporting to the Firm Managing Partner.

11 B. With the exception of the London office, the Firm does not have a formalized Firm-wide Risk Management program. The Firm has, however, the intention to retain a consultant manager to develop a Firm-wide comprehensive program.

11 C. Firm-wide Risk Management Manuel? No manual is available but will be developed in conjunction with the program in 11 B. above

11 D. Risk Manager Partner or Firm's Risk Manager? A Firm-wide Risk Manager Partner has yet to be appointed.

11 E. Independent audit on Risk Management procedures in the last 2 years? YES

11 F. Firm share office or sublet office space to others not listed in 0 5? No.

11 G. Subcontract or refer legal work to other lawyers? Yes, as needed.

11 H. Off-site location for maintenance or storage of duplicate computer records? Yes: - off site storage is arranged for computer records.

11 I. Alternative office space where rendered unusable? No. Offices have arrangement for alternative office space in the event the office space becomes unusable. The approach would be to work remotely or to relocate to one of the other offices until the space became usable.

### Specific Responses to Section 16

16 D. Officer or Director of any For-Profit business enterprise: All Firm directors are covered by Outside Director Liability Insurance [ODL] program. This has undergone significant internal policy changes to meet the renewal application process. As soon as the renewal process is complete, a list of the lawyers will be compiled and submitted to underwriters.

## APPENDIX H

The following information is provided for the purpose of illustrating the format of the data to be submitted to the National Center for Human Genome Research (NCHGR) for the purpose of the Human Genome Project.

The data should be submitted in the form of a computer file in the following format:

1. The data should be submitted in the form of a computer file in the following format:

2. The data should be submitted in the form of a computer file in the following format:

3. The data should be submitted in the form of a computer file in the following format:

4. The data should be submitted in the form of a computer file in the following format:

5. The data should be submitted in the form of a computer file in the following format:

6. The data should be submitted in the form of a computer file in the following format:

7. The data should be submitted in the form of a computer file in the following format:

8. The data should be submitted in the form of a computer file in the following format:

9. The data should be submitted in the form of a computer file in the following format:

10. The data should be submitted in the form of a computer file in the following format:

11. The data should be submitted in the form of a computer file in the following format:

12. The data should be submitted in the form of a computer file in the following format:

13. The data should be submitted in the form of a computer file in the following format:

14. The data should be submitted in the form of a computer file in the following format:

15. The data should be submitted in the form of a computer file in the following format:

APPENDIX H  
CYBER LIABILITY

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Name of Firm: Fasken Martineau DuMoulin LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

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- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

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2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?  
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☒ Data at rest  
☒ Data in transit  
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)  
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?  
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☒ yes ☐ no

not all servers, applies mainly to sensitive systems



- i) Is the data on your desktop and laptop computers encrypted? ☐ yes ☒ no Laptops only
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no April 2018 MDM project will encrypt
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no Fully implemented April 2018 MDM project
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

### 3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☐ yes ☒ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☐ yes ☐ no

### 4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☐ yes ☒ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☐ yes ☒ no

used 1/1 answer.

APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND  
EXEMPTION FORM SUBMITTED TO LAWPRO

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Name of Firm: Fasken Martineau DuMoulin LLP

**Sylvie Devine**

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**From:** Gerry Thomas  
**Sent:** February-02-18 12:48 PM  
**To:** 'service@lawpro.ca' (service@lawpro.ca)  
**Cc:** Renata Vanickova; Marsha Laing-Kerr  
**Subject:** Calendar 2018 Lawpro Insurance  
**Attachments:** Lawpro Cheque #1078987.pdf; 2018 Lawpro Listing.xlsx

Hi there,

Please find attached a copy of FMD cheque #1078987 for C\$728,493.40 representing payment for 279 lawyers invoiced on Account #A034480. This was sent today by express courier. Please confirm receipt of funds.

Attached is a listing of how funds should be applied.

The following seven (7) persons are no longer with the firm and we did not remit any payment for them.

32757H	Blimkie, Maurice Stephen	Departed September 30, 2017
17308W	Doubilet, David Mark	Departed July 31, 2017
53300P	Kaba, Daye	Departed October 30, 2017
65617D	Mapa, Ryan Jason	Departed December 27, 2017
35280I	Milton, Leslie Jean Frances	Departed September 8, 2017
65546W	Hunter, Gordon Robert	Covered in BC effective October 27, 2017; exemption filed with Lawpro.
68320T	Young, Janna	Covered in Alberta effective August 29, 2017; exemption filed with Lawpro.

The following one (1) person has joined the firm and we have included payment for him.

72149B	Burashko, Zackary Ira	Arrived Nov 20, 2017
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We have made partial payments on account for four (4) persons who have departed in 2018/are scheduled to depart from the firm in the immediate future.

29180J	Acker, Stephen Bethune	Departed January 31, 2018
46801K	Batista, Daniel	Departed January 31, 2018
19815A	Christie, Katherine	Departed January 31, 2018
62669B	Wexler, Yael Nora	Departing February 16, 2018

In addition, the following one (1) person is with the firm, but we did not receive an invoice. Could you forward the 2018 invoice to me as soon as possible so that we can arrange payment before February 6<sup>th</sup>?

66941N	Malone, Alicia	Arrived November 27, 2017
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Please let me know if you have any questions or concerns.

Thank you,

Gerry

 **Gerry Thomas, CPA, CA**  
MANAGER, FINANCE AND ACCOUNTING

# FASKEN

Fasken Martineau DuMoulin LLP  
T. +1 416 868 3454 | F. +1 416 364 7813  
gthomas@fasken.com | www.fasken.com  
333 Bay Street, Suite 2400, Toronto, Ontario M5H 2T6

The Law Society of Upper Canada					
2018 Annual LAWPRO INSURANCE					
FASKEN MARTINEAU DUMOULIN LLP					
LAWPRO					
Member Number	Member Name	Location	Amount Remitted		
1	32850T	Gourley, Al	FMD - London	2,895.75	
2	41702H	Katz, Jodi	FMD - London	2,895.75	
3	54177W	McMinn, Vanessa	FMD - London	2,895.75	
4	59409M	Grintuch, Rhonda Diane	FMD - Montreal	1,302.75	As per revised LawPro Invoice
5	46320G	Halwagj, Jonathan	FMD - Montreal	1,302.75	
6	26807R	Jodoin, Joseph Pierre Edouard Claude	FMD - Montreal	1,302.75	
7	27648V	Kirby, Peter Edmond	FMD - Montreal	1,302.75	
8	57211N	Monet, Dominique Aubrey	FMD - Montreal	1,302.75	
9	69230L	Shortt, Michael James	FMD - Montreal	1,302.75	
10	32598W	Villani, Peter	FMD - Montreal	1,302.75	
11	30200B	Wiener, Neil	FMD - Montreal	1,302.75	
12	34707N	Heersche, Tanneke Brunhilde	FMD - National	2,895.75	
13	29180J	Acker, Stephen Bethune	FMD - Ottawa	241.31	Departing Jan 31/16 - pay one month
14	67409U	Arseneault, Sophie	FMD - Ottawa	1,939.95	
15	48684P	Boehm, Michael Scott	FMD - Ottawa	5,595.75	
16	11370P	Chapman, Robert Douglas	FMD - Ottawa	2,895.75	
17	20288G	Dunbar, Laurence James Edward	FMD - Ottawa	2,895.75	
18	32261G	Giorno, Guy William James	FMD - Ottawa	2,895.75	
19	58068J	Kennedy, Julia Marie Williams	FMD - Ottawa	2,895.75	
20	41507H	Kerr-Wilson, Gerald Leitch	FMD - Ottawa	2,895.75	
21	62545Q	Kimpton, Stefan Anthony Albert Edmund	FMD - Ottawa	2,895.75	
22	58082V	Logvin, Alexandra	FMD - Ottawa	2,895.75	
23	47410N	Lorquet, Sebastien Jacques Daniel	FMD - Ottawa	2,895.75	
24	35269H	Mantas, Peter Nick	FMD - Ottawa	2,895.75	
25	12495H	McCann, Patrick	FMD - Ottawa	2,895.75	
26	72010T	Morrow, Kyle	FMD - Ottawa	1,302.75	
27	24806A	O'Neill, James Aidan	FMD - Ottawa	2,895.75	
28	49095H	Parlsen, Judith Lorraine Anne	FMD - Ottawa	2,895.75	
29	38917I	Prescott, Scott Morris	FMD - Ottawa	2,895.75	
30	63481G	Robinson, Julie	FMD - Ottawa	2,258.55	
31	38927C	Schwelbier, Virginia Kim	FMD - Ottawa	2,895.75	
32	27727E	Sosnow, Clifford Zangwill	FMD - Ottawa	2,895.75	
33	58470S	Thomas, Ariel Allison	FMD - Ottawa	2,895.75	
34	28885A	Tosto, Anna Maria	FMD - Ottawa	2,895.75	
35	41174R	Vachon, Claire	FMD - Ottawa	2,895.75	
36	62669B	Wexler, Yael Nora	FMD - Ottawa	482.62	Departing Feb 16/16 - pay two months
37	20405A	Whitehead, Stephen Paul	FMD - Ottawa	2,895.75	
38	40742S	Aggerwal, Anil	FMD - Toronto	2,895.75	
39	48219I	Alleyne, Andrew Carlisle	FMD - Toronto	2,895.75	
40	73119H	Arbabi, Nusha	FMD - Toronto	1,302.75	
41	47747G	Armstrong, Sarah Jane	FMD - Toronto	2,895.75	
42	26074W	Ascherl, Pierre Samuel	FMD - Toronto	2,895.75	
43	44903B	Atkinson, Aaron Joseph	FMD - Toronto	2,895.75	
44	19418F	Baldanza, Anthony Frank	FMD - Toronto	2,895.75	
45	51880K	Baraniak, Adrian Wojtek	FMD - Toronto	2,895.75	
46	22964J	Barlow, William Thomas	FMD - Toronto	2,895.75	
47	46801K	Bartista, Daniel	FMD - Toronto	241.31	Departing Jan 31/16 - pay one month
48	65800R	Beal, Megan	FMD - Toronto	2,258.55	
49	40758L	Beardwood, John Paul	FMD - Toronto	2,895.75	
50	50031U	Beck, Kathryn Lauren	FMD - Toronto	2,895.75	
51	66838B	Bellefontaine, Craig Stephen	FMD - Toronto	2,258.55	
52	43421F	Benitah, Armand Mandy	FMD - Toronto	2,895.75	
53	64320V	Bertolio, Jessica Ellen	FMD - Toronto	2,895.75	
54	15865S	Bies, William John	FMD - Toronto	2,895.75	
55	14693R	Blain, Stephen Bruce	FMD - Toronto	2,895.75	
56	25453V	Bourassa, Michael John	FMD - Toronto	2,895.75	
57	67684S	Bowman, Mark Andrew Arthur	FMD - Toronto	1,939.95	
58	29393R	Brathwaite, Murray James	FMD - Toronto	2,895.75	
59	55166U	Bratt, Andrew Lampert	FMD - Toronto	2,895.75	
60	22980N	Brennan, Mark Steven	FMD - Toronto	3,003.75	
61	44955B	Brock, Daniel Lawrence	FMD - Toronto	2,895.75	
62	43430D	Brotman, Stuart Leslie	FMD - Toronto	2,895.75	
63	24894A	Brown, Murray Craig Gibson	FMD - Toronto	2,895.75	
64	72149B	Buraskhko, Zachary Ira	FMD - Toronto	1,329.75	Arrived Nov 20, 2017
65	17353M	Burkett, Brian William Timothy	FMD - Toronto	2,895.75	
66	49702D	Butterfield, Kathleen Elise	FMD - Toronto	2,895.75	
67	54709T	Cameron, Alexander Dugan	FMD - Toronto	2,895.75	
68	48017N	Campbell, Ian Marshall	FMD - Toronto	2,895.75	
69	68575I	Coplan, Celeigh	FMD - Toronto	2,047.95	
70	13962V	Carr, Howard Mark	FMD - Toronto	5,595.75	
71	57999B	Cartagena, Rosario Guadalupe	FMD - Toronto	2,895.75	
72	18709M	Carter, Craig Richard	FMD - Toronto	3,003.75	
73	46822R	Casuccio, Paul Vincent	FMD - Toronto	2,895.75	
74	57365D	Catton Rinaldi, Jessica Morgan	FMD - Toronto	2,895.75	
75	41742G	Cente, Andrea Lisa	FMD - Toronto	3,003.75	
76	69931G	Cepler, Adam	FMD - Toronto	1,621.35	
77	55782K	Cheung, Richard Yolande	FMD - Toronto	2,895.75	
78	62137I	Chochia, Dylan Anthony	FMD - Toronto	2,895.75	
79	65048S	Choi, Jiyung	FMD - Toronto	2,895.75	
80	47098T	Christensen, Kaker Kolsteren	FMD - Toronto	2,895.75	
81	19815A	Christie, Katherine	FMD - Toronto	241.31	Departing Jan 31/16 - pay one month
82	58854U	Chwaluk, Myroslaw Theodore	FMD - Toronto	2,895.75	

The Law Society of Upper Canada				
2018 Annual LAWPRO INSURANCE				
FASKEN MARTINEAU DUMOULIN LLP				
				LAWPRO
Member Number	Member Name	Location	Amount Remitted	
83	173711	Clark, Stephen D.A.	FMD - Toronto	2,895.75
84	62141D	Comegna, Josephine	FMD - Toronto	2,895.75
85	63979W	Connors, Justine Ellen	FMD - Toronto	2,895.75
86	24914P	Conover, Scott Douglas	FMD - Toronto	2,895.75
87	70258N	Conrad, Daniel	FMD - Toronto	1,621.35
88	35426A	Cooper, Laura Florence	FMD - Toronto	2,895.75
89	32193N	Cooper, Rosalind Hope	FMD - Toronto	2,895.75
90	14153W	Cosman, Robert William Hayden	FMD - Toronto	2,895.75
91	41850V	Craig, John David Randall	FMD - Toronto	2,895.75
92	72178K	Crescend, Alessia	FMD - Toronto	1,302.75
93	64362M	Cromble, Reid Christopher Michael	FMD - Toronto	2,254.55
94	73166M	Dai, Gomatle	FMD - Toronto	1,302.75
95	48266N	De Cicco, Natasha	FMD - Toronto	2,895.75
96	41657N	DeFillippi, Rosa	FMD - Toronto	3,003.75
97	24928Q	Dennis, Jeffrey Mark	FMD - Toronto	2,895.75
98	33229J	Denyes, Martin Kenneth	FMD - Toronto	2,895.75
99	72529T	DePasquale, Gianluca	FMD - Toronto	1,302.75
100	52508V	Di Domenico, Antonio	FMD - Toronto	2,895.75
101	40425C	Do, Huy Anh	FMD - Toronto	2,895.75
102	25794W	Downard, Peter Alexander	FMD - Toronto	2,895.75
103	72196G	Downer, Matthew	FMD - Toronto	1,302.75
104	39046D	Eastman, Nancy Megan	FMD - Toronto	2,895.75
105	70580U	Elsen, Valerie	FMD - Toronto	1,729.35
106	29462G	Elias, John Martin	FMD - Toronto	2,895.75
107	19861J	Emmons, Paul Martin	FMD - Toronto	5,703.75
108	22582W	Erlichman, Stephen Irving	FMD - Toronto	2,895.75
109	49314G	Fabiano, Daniel Aaron	FMD - Toronto	2,895.75
110	42019I	Feldkamp, Claudia Dorothea	FMD - Toronto	2,895.75
111	69965S	Feltrin, Claire	FMD - Toronto	1,621.35
112	60720G	Ferguson, Christopher	FMD - Toronto	2,895.75
113	51520H	Ferri, David Henry	FMD - Toronto	2,895.75
114	56768J	Fetter, Laura Lynn	FMD - Toronto	2,895.75
115	24955K	Fogler, Gary Stuart	FMD - Toronto	2,895.75
116	38216N	Fomazzari, Paolo	FMD - Toronto	2,895.75
117	37096U	Forrest, Gideon Clare	FMD - Toronto	2,895.75
118	33254L	Foster, Garth James	FMD - Toronto	2,895.75
119	53018H	Freelan, Bradley Alexander	FMD - Toronto	2,895.75
120	55214C	Fuke, Daniel Buchanan	FMD - Toronto	2,895.75
121	40841M	Gannon, Patrick Terry James	FMD - Toronto	2,895.75
122	31065W	Gascho, Ross Allan	FMD - Toronto	2,895.75
123	63014H	Gedeon, Christelle	FMD - Toronto	2,895.75
124	18433C	Gilbert, Douglas	FMD - Toronto	2,895.75
125	29877J	Golding, Barbara Lynne	FMD - Toronto	2,895.75
126	61518L	Goodwin, Sarah Heather	FMD - Toronto	2,895.75
127	39809E	Graves, Brian	FMD - Toronto	2,895.75
128	57871J	Graves, Sarah Elizabeth Thelma	FMD - Toronto	2,895.75
129	68744U	Greey, Leslie Margaret	FMD - Toronto	1,939.95
130	72236J	Gregoire, Dana	FMD - Toronto	1,302.75
131	49027S	Han, Sabina	FMD - Toronto	2,895.75
132	61531E	Harper, Jesse Ryan	FMD - Toronto	2,895.75
133	14046D	Harrison, Robert Smith	FMD - Toronto	2,895.75
134	32282N	Hausman, David Andrew	FMD - Toronto	2,895.75
135	44576D	Herber, Alex Peta	FMD - Toronto	2,895.75
136	57130P	Hitchens, Simon	FMD - Toronto	2,895.75
137	19915N	Hoffstein, Maria Elena	FMD - Toronto	5,595.75
138	52550A	Holder, Maria Kavitha	FMD - Toronto	3,003.75
139	20961W	Holmstrom, Jon Joseph	FMD - Toronto	2,895.75
140	39100S	Hooley, Tracy Lynne	FMD - Toronto	2,895.75
141	63700F	Iaccino, Robert Daniel	FMD - Toronto	3,003.75
142	61555W	Ionson, Kathryn Rosemary	FMD - Toronto	2,895.75
143	29915U	Jarvis, Darrell Esmond	FMD - Toronto	3,003.75
144	70014E	Jaswal, Avneet	FMD - Toronto	1,621.35
145	40886O	Javler, Janice Joyce Del Rosario	FMD - Toronto	2,895.75
146	45981K	Johnson, David Ian	FMD - Toronto	2,895.75
147	22777L	Johnston, Richard Elliott	FMD - Toronto	2,895.75
148	18829N	Kauffman, Aubrey Etan	FMD - Toronto	2,895.75
149	63293M	Kaufman, Howard Jack	FMD - Toronto	2,895.75
150	22780K	Kelth, Norman Alfred	FMD - Toronto	2,895.75
151	21719P	Kelsall, Brian Cyril	FMD - Toronto	2,895.75
152	57459G	Kennedy, Nuala Louise	FMD - Toronto	2,895.75
153	40512K	Kerr, Stephen Bruce	FMD - Toronto	2,895.75
154	68468W	Kharouba, Nora	FMD - Toronto	1,939.95
155	68135N	Khoury, Tala	FMD - Toronto	1,939.95
156	72614M	Konkel, Laura	FMD - Toronto	1,302.75
157	71744T	Kramer, Kai	FMD - Toronto	1,302.75
158	28100A	Kruk, John Theodore	FMD - Toronto	2,895.75
159	26965D	Lacy, Allison Janet	FMD - Toronto	2,895.75
160	34754S	Lancaster, Jonathan Frederic	FMD - Toronto	3,003.75
161	67831R	Laurion, Rachel Marie	FMD - Toronto	1,939.95
162	28515D	Law, Daniel Richard	FMD - Toronto	3,003.75
163	72284K	LeBlanc, Alyssa	FMD - Toronto	1,302.75
164	53755R	Lefler, Marc Steven	FMD - Toronto	2,895.75

The Law Society of Upper Canada				
2018 Annual LAWPRO INSURANCE				
FASKEN MARTINEAU DUMOULIN LLP				
				LAWPRO
Member Number	Member Name	Location	Amount Remitted	
165	23115L Lefton, Jay A.	FMD - Toronto	2,895.75	
166	15153A Levin, Jonathan Arlen	FMD - Toronto	2,895.75	
167	59861O Levy, Zohar	FMD - Toronto	2,895.75	
168	70563K Liik, Markus	FMD - Toronto	1,302.75	
169	72293I Lindzon, Russell	FMD - Toronto	1,302.75	
170	48329P Link, Matthias	FMD - Toronto	2,895.75	
171	27396G Loeppky, Byron Wade	FMD - Toronto	2,895.75	
172	16932D LOMAS, RAND ALEXANDER	FMD - Toronto	2,922.75	
173	48334G Lund, Darren	FMD - Toronto	2,895.75	
174	70566V Ma, Scott	FMD - Toronto	1,729.35	
175	54344J Mak Waterfall, Ka Yan Bonny	FMD - Toronto	2,895.75	
176	58986H Maladwala, Zohab Iqbal	FMD - Toronto	2,895.75	
177	70972B Mandel, Jeremy	FMD - Toronto	1,621.35	
178	43582H Maric, Vaso	FMD - Toronto	2,895.75	
179	24140B Martin, Paul Joseph	FMD - Toronto	2,895.75	
180	44186N Mboutsadis, Jenny	FMD - Toronto	2,895.75	
181	43312R McAleer, Jennifer Lynn	FMD - Toronto	2,895.75	
182	25441B McCallum, Margaret Anne	FMD - Toronto	2,895.75	
183	21778T McCormick, Roxanne	FMD - Toronto	2,895.75	
184	13024M McDowell, Robert Ward	FMD - Toronto	2,895.75	
185	46036G Meagher, Thomas Murray	FMD - Toronto	2,895.75	
186	21046A Miller, Barbara	FMD - Toronto	2,895.75	
187	20721U Milner, Donald Eric	FMD - Toronto	2,895.75	
188	68941W Monemdjou, Roxana	FMD - Toronto	1,939.95	
189	47880A Moore, Brad Robert George	FMD - Toronto	2,895.75	
190	39209S Morley, Blair Sean Lisle	FMD - Toronto	2,895.75	
191	68845G Mul, Vanessa Wing-Kum	FMD - Toronto	1,939.95	
192	31215J Nero, Ralph Nicholas	FMD - Toronto	2,895.75	
193	16085F New, Douglas Charles	FMD - Toronto	2,895.75	
194	53738E Nikolic, Aleksandar	FMD - Toronto	2,895.75	
195	64019V Noble, Eowynne Leigh	FMD - Toronto	2,895.75	
196	35289S Nobrega, Ronald Eugenio	FMD - Toronto	2,895.75	
197	44639E Nunes, Andrew St. Aubin	FMD - Toronto	2,895.75	
198	16089M O'Byrne, Brian Andrew Thomas	FMD - Toronto	2,895.75	
199	17889M Orr, William Kingston	FMD - Toronto	2,895.75	
200	15336N Palmer, Walter James	FMD - Toronto	2,895.75	
201	53811B Paquette, Christian Frédéric Marc	FMD - Toronto	2,895.75	
202	45495P Parashin, Adam	FMD - Toronto	2,895.75	
203	72998K Parker, Jennifer	FMD - Toronto	3,003.75	
204	39233B Penner, Mark Douglas	FMD - Toronto	2,895.75	
205	70417V Peters, Tom	FMD - Toronto	1,621.35	
206	10677P Peterson, James Scott	FMD - Toronto	2,895.75	
207	59035A Pigott, Christopher Donald	FMD - Toronto	2,895.75	
208	70716K Pilkington, Chad	FMD - Toronto	1,621.35	
209	29634T Pliska, Peter John	FMD - Toronto	2,895.75	
210	36866Q Plotkin, Ella	FMD - Toronto	2,895.75	
211	28605W Pollock, Katherine Mary	FMD - Toronto	2,895.75	
212	60557D Potter, Kimberly Ellen	FMD - Toronto	2,895.75	
213	36577N Pratt, Tracy Ann	FMD - Toronto	2,895.75	
214	60250P Rae, Christopher Judd	FMD - Toronto	2,895.75	
215	23835J Ranking, Gerald Lancaster Rex	FMD - Toronto	2,895.75	
216	19664R Rapuch, Rubin	FMD - Toronto	2,895.75	
217	67591L Reklitis, Anastasia	FMD - Toronto	1,621.35	
218	14947B Rickett, Samuel Roy	FMD - Toronto	2,895.75	
219	70108H Rivers, Mariko	FMD - Toronto	1,621.35	
220	10611G Robinson, John Michael	FMD - Toronto	2,895.75	
221	38427G Roddey, Robin Peter	FMD - Toronto	2,895.75	
222	66064K Roland, Megan Langford	FMD - Toronto	2,750.55	
223	14953L Rose, Leslie Harris	FMD - Toronto	2,895.75	
224	25102F Rosenbaum, David Charles	FMD - Toronto	2,895.75	
225	23872J Rosenhek, Steven Felix	FMD - Toronto	2,895.75	
226	72375C Round, Gillian	FMD - Toronto	1,802.75	
227	26341W Round, Michael John Wycherley	FMD - Toronto	2,895.75	
228	59056L Roy, Allyson Lindsay	FMD - Toronto	3,003.75	
229	43357T Sabetti, Johnny Michael	FMD - Toronto	2,895.75	
230	44024V Saloojee-Ebrahim, Munir Muhammed	FMD - Toronto	2,895.75	
231	67918O Samadmoten, Daganish	FMD - Toronto	1,831.95	
232	39890J Sargeant, Karen Marie	FMD - Toronto	2,895.75	
233	73037N Schuler, Ryan	FMD - Toronto	1,939.95	
234	12137T Schwartz, Alan Martin	FMD - Toronto	5,595.75	
235	32448Q Scott, Douglas Hungerford	FMD - Toronto	2,895.75	
236	13290M Scott, Douglas Robert	FMD - Toronto	2,895.75	
237	41023P Sells, Berkeley Dorlan	FMD - Toronto	2,895.75	
238	73043A Sergeyev, Pavel	FMD - Toronto	1,302.75	
239	60605I Shaw, William Douglas	FMD - Toronto	2,895.75	
240	08779J Shirriff, Robert	FMD - Toronto	2,895.75	
241	34887B Simone, Lisa Regina	FMD - Toronto	1,302.75	
242	60287P Singh, Angad Dev	FMD - Toronto	2,895.75	
243	66460U Singh, Nicole Renee	FMD - Toronto	2,258.55	
244	21458P Smeenk, Brian Peter Leonard John	FMD - Toronto	2,895.75	
245	29583Q Smiley, Neil Marley	FMD - Toronto	3,003.75	
246	20778I Smitheman, Neal Joseph	FMD - Toronto	8,285.75	

The Law Society of Upper Canada				
2018 Annual LAWPRO INSURANCE				
FASKEN MARTINEAU DUMOULIN LLP				
				LAWPRO
Member Number	Member Name	Location	Amount Remitted	
247	39289Q	Squire, Timothy Michael	FMD - Toronto	2,895.75
248	36096J	Steeves, Christopher John	FMD - Toronto	2,895.75
249	46109C	Stefan, Aaron Joseph	FMD - Toronto	2,895.75
250	28257B	Steinberg, Richard Jon	FMD - Toronto	2,895.75
251	66491K	Steinhauer, David Joshua	FMD - Toronto	2,258.55
252	73064H	Stephenson, Mitchell	FMD - Toronto	1,302.75
253	55989I	Stern, Gabriel Morris Alylula	FMD - Toronto	2,895.75
254	41774D	Stevens, Geoffrey Sean Stewart	FMD - Toronto	2,895.75
255	23262G	Stinson, John Mark	FMD - Toronto	2,895.75
256	68915O	Sud, Brittany Shay	FMD - Toronto	1,939.95
257	38498G	Thaw, Mitchell Lyon	FMD - Toronto	2,895.75
258	56965B	Todd, Shane Donald	FMD - Toronto	2,787.75
259	55683Q	Topplings, Vera	FMD - Toronto	2,895.75
260	28274B	Torrey, John Winthrop	FMD - Toronto	2,895.75
261	48428J	Toth, Krisztian	FMD - Toronto	2,895.75
262	30144R	Turner, John Stephen Morris	FMD - Toronto	2,895.75
263	58478J	Turner, Laurie	FMD - Toronto	2,895.75
264	59220K	Turney, Sarah Jane	FMD - Toronto	3,003.75
265	19017D	Valr, Peter Wardlaw	FMD - Toronto	2,895.75
266	66508D	Van Esch, Peter Corum	FMD - Toronto	2,258.55
267	42980E	Vanderelst, Ingrid Elaine	FMD - Toronto	2,895.75
268	58482E	VanDerMeulen, Jacqueline Denise	FMD - Toronto	2,895.75
269	73084S	Vanderveken, Mark	FMD - Toronto	1,302.75
270	62430G	Wansbrough, Jonathan	FMD - Toronto	2,895.75
271	37378R	Weigl, Corina Susan	FMD - Toronto	2,895.75
272	64677C	Worone, Allison	FMD - Toronto	2,895.75
273	20132B	Wright, Brian Gregory	FMD - Toronto	2,895.75
274	54477P	Yip, Kevin Ho-Tung	FMD - Toronto	2,895.75
275	67249Q	Youden, Caroline	FMD - Toronto	2,895.75
276	68955N	Younan, Rachel	FMD - Toronto	1,939.95
277	64294T	Yu, Hua Zhou	FMD - Toronto	2,895.75
278	73396U	Zhao, Puyang	FMD - Toronto	1,302.75
279	67252P	Ziegler, David Adam	FMD - Toronto	2,895.75
280	66941N	Malone, Alicia	FMD - Toronto	
				\$743,559.40
Less: Discount for 279 persons (\$50 + 8% tax)				(15,066.00)
				728,493.40



YOUR INVOICE NUMBER VOTRE NO DE FACTURE	INVOICE AMOUNT TOTAL DE LA FACTURE	INVOICE DATE DATE DE LA FACTURE	CLIENT/MATTER CLIENT	NET CHEQUE AMOUNT MONTANT NET DU CHEQUE
FMD180129502	728493.40	26/01/18		728493.40

**FASKEN**

CHEQUE NO. 1078987

**FASKEN**

Scotia Bank - Banque Scotia  
Toronto (BSC - TOR)  
20 Queen Street W., 4th Floor  
Toronto, Ontario M5H 3R3

CHEQUE NO. 1078987

DATE 02 02 2018

PAY: SEVEN HUNDRED TWENTY-EIGHT THOUSAND FOUR HUNDRED NINETY-THREE AND 40/100  
TO THE ORDER OF

\$\$\$728,493.40  
CAD

LAWPRO

Fasken Martineau DuMoulin LLP  
General Account

Per/Par

Per/Par

⑈ 1078987⑈ ⑆ 728493.40 2⑆ 101 16 11⑈

## **APPENDIX E**

## **MISSING INFO**

# BELL, DEWAR INC. - SOUTH AFRICA.

## APPENDIX E

### PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau DuMoulin LLP

**1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law**

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
NONE	SOUTH AFRICA	NIL

**2. Professional Services Provided by Canadian Lawyers from a U.S. Office**

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office
NONE	SOUTH AFRICA	NIL

**3. Professional Services Provided by Offices Outside of Canada**

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
SOUTH AFRICA	NIL	85	85
		67 LAWYERS	67
		27 STUDENTS	27

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: PROFESSIONAL INDEMNITY INSURANCE.  
Insurance Carrier: AON.  
Policy Number: ~~XXXXXXXXXXXX~~ PI-IT0060073  
Period of Insurance: 1-2-2018 TO 31-01-2019.  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

SEE ATTACHMENTS



### **RENEWAL INSTRUCTION FORM**

**To** : Aon Professional Risks  
**Attention** : Meggyn Marot  
**E-mail** : [meggyn.marot@aon.co.za](mailto:meggyn.marot@aon.co.za)  
**Subject** : Renewal of PI Insurance & Related insurances  
**Name of Firm** : \_\_\_\_\_

I/We hereby confirm that we require Professional Indemnity Cover as follows:-

#### **Professional Indemnity**

**Limit of Indemnity** : R1,500,000,000 eec  
**Premium** : R1,233,631.05 (ex VAT)

#### **Misappropriation of Trust Money (complete only if higher cover requested and offered)**

**Limit of Indemnity** : R100,000,000  
**Premium** : included

#### **Fidelity Guarantee (complete only if higher cover requested and offered)**

**Limit of Indemnity** : R100,000,000  
**Premium** : included

**Do you require your premium to be financed on a monthly basis?** **No**

**NOTE:** PREMIUM FINANCE CAN ONLY BE ARRANGED FOR PREMIUMS IN EXCESS OF R10 000 PER ANNUM AND SUBJECT TO AN ADDITIONAL COSTS AND FINANCE CHARGES

**Full Name of  
Principal/Partner/Director** : \_\_\_\_\_  
**Signature of  
Principal/Partner/Director** : \_\_\_\_\_  
**Date** : \_\_\_\_\_

QC

## **APPENDIX E**

## **MISSING INFO**



## **APPENDIX F**

## **MISSING INFO**

**PROOF OF INSURANCE**  
**NOTARIES/TRANSLATORS**

## CONDITIONS PARTICULIÈRES<sup>1</sup>



Fonds d'Assurance  
Responsabilité  
Professionnelle  
de la Chambre des  
Notaires du Québec

**POLICE D'ASSURANCE:** 2018-M1082-0001

**Remplace la police d'assurance:**

ARTICLE 1	Assuré désigné :	Me Sébastien Marcoux
ARTICLE 1A	S.A.R.L. :	FASKEN MARTINEAU DUMOULIN S.E.N.C.R.L., S.R.L. (3347340211) <sup>2</sup>
ARTICLE 2	Adresse de l'Assuré désigné :	Fasken Martineau DuMoulin 800, Place Victoria, bur. 3700 Montréal (Québec) H4Z 1E9
ARTICLE 3	Période d'assurance :	du 01-01-2018 au 01-01-2019 à: 00:01 heure normale à l'adresse de l'assuré désigné sans tacite reconduction.
ARTICLE 4	Limite de garantie par sinistre incluant la franchise : Limite de garantie par période d'assurance :  EXCEPTION RELATIVE À L'ASSURANCE DÉTOURNEMENT :  PARTICULARITÉS PROPRES AUX NOTAIRES EXERÇANT EN S.A.R.L. :	2 000 000 \$  La limite de garantie par sinistre est sujette à une limite cumulative par période d'assurance de 4 000 000 \$.  Dans les cas couverts par l'article 2.03A de la présente police, la limite de garantie est de 1 000 000 \$ par sinistre, sujette à une limite cumulative par période d'assurance de 2 000 000 \$. Ces montants sont la limite maximale par sinistre et par période d'assurance; ils ne s'additionnent pas aux montants indiqués aux paragraphes ci-dessus.  A) Les montants de la limite sont soumis aux seuils minimaux établis par le Règlement sur l'exercice de la profession de notaire en société.  B) La limite par sinistre et par période d'assurance indiquée ci-haut inclut, au delà du premier 1 000 000 \$, une limite excédentaire attribuée prioritairement à la responsabilité de la S.A.R.L., de 1 000 000 \$.
ARTICLE 5	Franchise par sinistre :  EXCEPTION :  Pour toute réclamation concernant un dossier nécessitant la rédaction, la réception ou la publication d'un acte de correction ou nécessitant l'intervention du tribunal pour procéder à telle correction :	0 \$    3 000 \$ par sinistre
ARTICLE 6	Coût de la contribution :	4 060,00 \$ (avant taxe et en devise canadienne)  Ce montant peut être modifié en tout temps.
ARTICLE 7	Avis à l'assureur :	doivent être donnés au : Fonds d'assurance-responsabilité professionnelle de la Chambre des notaires du Québec 1200 avenue McGill College bureau 1600 Montréal (Québec) H3B 4G7

**AVIS :** Les Conditions particulières doivent être lues de concert avec les Conditions générales et la brochure explicative intitulée « Renseignements sur le régime collectif d'assurance-responsabilité professionnelle de la Chambre des notaires du Québec – Programme » pour la période d'assurance concernée. Les Conditions générales comportent un certain nombre de limitations et d'exclusions qui restreignent la garantie. Les avenants et les annexes à être émis sont réputés faire partie intégrante du présent contrat.

**FONDS D'ASSURANCE-RESPONSABILITÉ PROFESSIONNELLE  
DE LA CHAMBRE DES NOTAIRES DU QUÉBEC**

Sophie Ducharme, notaire  
Directrice générale

<sup>1</sup> Voir Conditions générales (éd. Janvier 2018)

<sup>2</sup> Au début de la période d'assurance, la S.A.R.L. porte le nom ou la dénomination qui apparaît ici. Ceci est susceptible de changer au cours de la période d'assurance. En tout temps, la S.A.R.L. assurée est celle qui répond à la description qui en est faite à l'article 1.11 de la présente police, et ce, quel que soit son nom ou sa dénomination.

**PROOF OF INSURANCE  
TRANSLATORS**

*Ontario*

## **APPENDIX E**

# APPENDIX E

## PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: **Fasken Martineau DuMoulin LLP**

### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
N/A	N/A	N/A

### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office
N/A	N/A	N/A

### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
N/A	N/A	N/A	N/A

#### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: N/A  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: N/A  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: N/A  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: N/A  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate



London

## APPENDIX E

# APPENDIX E

## PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau LLP - UK London

### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
London	1*	6**	4***

\*Derek Linfield

\*\*Abayomi Akinjide, Thomas Wexler, Laura Bradley, Jane Wang, Rachel Yurkowski and Maurice Wong

\*\*\*Al Gourley, Jodi Katz, Vanessa McMinn and Reena Modha

#### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

## **APPENDIX F**

B.C

## APPENDIX E

# APPENDIX E

## PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: **Fasken Martineau DuMoulin LLP**

### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Andrew J. Gabrielson	Vancouver	10

### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Quebec

## APPENDIX E



## APPENDIX E

### PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: **Fasken Martineau DuMoulin LLP**

#### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Antoine Guilmoin	Montréal, Qc	30-40%
Youssef J. Fichtali	Montréal, Qc	15%

#### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

#### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

**CLLAS 2018-19  
QUÉBEC REGION  
ANNEX «A »  
DISCLOSURE TO APPENDIX « E »**

**1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law***Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.*

We regularly represent foreign clients with respect to Canadian law who insist in their mandate letters that we submit our contract for professional services to the application of foreign laws and/or to the authority of foreign courts.”

Calgary

## **APPENDIX E**

Does not apply  
For Calgary

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW &  
PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau DuMoulin LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time In the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

#### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ annual aggregate

S. A

## APPENDIX F

# **PROOF OF INSURANCE WITH AON**

**RENEWAL INSTRUCTION FORM**

**To** : Aon Professional Risks  
**Attention** : Meggyn Marot  
**E-mail** : meggyn.marot@aon.co.za  
**Subject** : Renewal of PI Insurance & Related insurances  
**Name of Firm** : BELL DEWAR INCORPORATED.

I/We hereby confirm that we require Professional Indemnity Cover as follows:-

**Professional Indemnity**

Limit of Indemnity : R1,500,000,000 eec  
Premium : R1,233,631.05 (ex VAT)

**Misappropriation of Trust Money (complete only if higher cover requested and offered)**

Limit of Indemnity : R100,000,000  
Premium : included

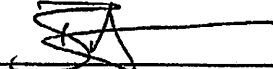
**Fidelity Guarantee (complete only if higher cover requested and offered)**

Limit of Indemnity : R100,000,000  
Premium : included

Do you require your premium to be financed on a monthly basis? **No**

NOTE: PREMIUM FINANCE CAN ONLY BE ARRANGED FOR PREMIUMS IN EXCESS OF R10 000 PER ANNUM AND SUBJECT TO AN ADDITIONAL COSTS AND FINANCE CHARGES

Full Name of Principal/Partner/Director : BLAIZE VANCE

Signature of Principal/Partner/Director : 

Date : 13 FEBRUARY 2018.



Ontario

## APPENDIX F





**CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)**  
 Open and Closed Claims Bordereau  
 As at December 31, 2017  
 LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Settled Date	Indemnity Paid (\$)	Legal Fee (\$)	Current Reserve (\$)	Unsettled Liability (\$)	Unsettled Liability CLLAS (\$)	Legal Fee CLLAS (\$)	Reserve Indemnity CLLAS (\$)	Reserve Legal CLLAS (\$)	Priority Liability CLLAS (\$)	Notes
CLLAS1995-134	Roxanne E. McCormick	Shareholders of CUC Broadcasting Limited and CUC I	7-Jun-1995	4-May-1995	4-Oct-1995	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1995-137	E.A. Tory	Robert J. Cook and RIBJ Cook Investments Inc.	22-Jun-1995	1-Jul-1990	12-May-1998	\$0	\$0	\$0	\$703	\$0	\$0	\$0	\$0	\$0	
CLLAS1995-148	Belinda J. James	The Great-West Life Assurance Company	1-Jun-1995	1-Apr-1994	12-Nov-1996	\$0	\$1,325	\$0	\$1,325	\$0	\$0	\$0	\$0	\$0	
CLLAS1995-154	Robert E. Smolkin	The Imperial Life Assurance Company	29-Jun-1995	1-Oct-1988	8-Dec-1997	\$0	\$2,251	\$0	\$2,251	\$0	\$0	\$0	\$0	\$0	
CLLAS1995-157	John Lottio	Hohner Inc.	27-Sep-1995	15-Jan-1995	4-Jan-1996	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1995-158	R.S. Harrison	The Toronto Dominion Bank	30-Jun-1995	1-Dec-1993	3-Feb-1998	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1995-159	J. Michael Robinson	Gary Stridder and Sherbank Marketing Services Inc	30-Jun-1995	1-Jan-1994	1-Apr-1996	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-002	LIANA TURPIN	Famous Players Development Corp. Ltd and Bedford H	13-Jul-1995	1-May-1990	28-Oct-1997	\$0	\$20,283	\$0	\$22,353	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-004	PATRICK HURLEY	Christel Francis Whillier	14-Jul-1995	1-Feb-1989	30-Jun-1996	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-020	Douglas/Andr Hunt/Burns	Warren Revich	21-Aug-1995	31-Jul-1995	31-Dec-1995	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-063	PAUL KING	Darragh Elliott/Invstus C&K Mortgage Services	14-Feb-1996	16-Dec-1991	30-Sep-2010	\$225,000	\$398,539	\$0	\$623,539	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-082	RICHARD E. JOHNSTON	Bansco & Co./Joy Brigham et al	5-Mar-1996	1-Oct-1992	29-May-1997	\$0	\$3,714	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-083	Neil M. Smiley	Paul and George Hartman	1-Apr-1996	1-Dec-1992	17-Mar-1997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-085	Rob Staley	Giuseppe Polano	28-Mar-1996	27-May-1993	5-Dec-1996	\$0	\$4,284	\$0	\$4,284	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-086	Edward Passi	John Armour (Morris Cooper Counsel)	20-Sep-1995	1-Sep-1992	30-Jun-2000	\$34,357	\$28,172	\$0	\$66,003	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-108	Ed Esposto	Henry Maw	18-Jun-1996	31-Aug-1995	21-Jul-1998	\$0	\$0	\$0	\$1,753	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-117	M. Elena Hoffstein	Linda Gray	19-Jun-1996	1-Jan-1996	16-Aug-1996	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-120	Douglas Grundy	BBDO Canada Inc./Apple Canada Inc.	26-Jun-1996	1-Apr-1996	15-Jan-1997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-121	James A. Bradshaw	Lynda Livengam	1-Jun-1996	1-Sep-1993	22-May-1997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-126	Heather A. Laidlaw	Mutual Life Assurance Company of Canada	26-Jun-1996	1-Jun-1996	19-Aug-1996	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1996-127	Estate of FD Gibson	Estate R. Laird/W. Cohoon, W. Briggs et al	1-Aug-1995	7-Dec-1990	27-Nov-1997	\$0	\$0	\$0	\$2,873	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-004	Estate of FD Gibson	Contra Spem Trust/James Massie et al	30-Jul-1996	11-Dec-1989	16-Sep-2002	\$0	\$1,630	\$0	\$1,630	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-026	J. Huckle	Canada Life Assurance/Hong Kong Bank Trust Co.	7-Oct-1996	1-Oct-1987	7-Jan-1999	\$0	\$47,025	\$0	\$47,025	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-032	RONALD J. WALKER	Lori Blundon/Mercer Benson Myles	28-Oct-1996	21-Sep-1994	29-May-1997	\$0	\$1,471	\$0	\$1,471	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-060	John M. Stinson	Price Waterhouse Ltd./Trustee of Estate of E. Broo	30-Jan-1997	1-Oct-1995	3-Jan-2001	\$50,000	\$120,007	\$0	\$170,007	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-072	D. George Kelly	Edsed Investments Limited	7-Mar-1997		31-Dec-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-073	Claude R. Thomson	Plaintiffs In actn agst Thorne Riddell(Crysdale)	12-Mar-1997	1-Jan-1997	31-Jul-1997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-076	David G. Stinson	Julista Investments Ltd./Sam Stabile/Robert Saroli	31-Mar-1997	31-Mar-1992	31-Oct-1997	\$0	\$0	\$0	\$694	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-078	Kathryn L. Knight	Mary Hammond (W. Hodgson brother has Power of Att)	27-Mar-1997	8-Dec-1994	22-Jul-1997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-081	Roxanne McCormick	Organic Resource Mgt. Inc./Charles Buehler	3-Apr-1997	30-Oct-1992	16-Jun-1997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-082	Peter W. Vair	Norman Glick/Glenex Industries	3-Apr-1997	1-Apr-1996	30-Nov-1999	\$0	\$0	\$0	\$841	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-089	Alan D'Silva	Billiton Metals Canada Inc.	6-May-1997	30-Nov-1996	26-Nov-1997	\$0	\$2,194	\$0	\$2,194	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-094	ESTHER L. LENKINSKI	Rosa Rita Parker (Counsel: Miller, Miller & Maltby)	20-May-1997	7-Sep-1990	24-Mar-1998	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-099	Mark S. Hayes	Livent Inc.	23-May-1997	27-Feb-1997	14-Aug-1997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-104	David C. Menzel	Arbor Memorial Services Inc.	16-May-1997	28-Jan-1997	3-Sep-1997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1997-123	Janice Shelnar	Carlo Barranca/A. Demaria/R. Salvatori/J. Barranca	26-Jun-1997	30-Nov-1989	14-Apr-2003	\$0	\$154,995	\$0	\$154,995	\$0	\$0	\$0	\$0	\$0	
CLLAS1998-053	David J. Coulton	Cover-All Computer Holdings Inc./Jim Coverdale	13-Jan-1998	30-Nov-1997	13-Mar-1998	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1998-077	Heather A. Laidlaw	Beverly Cline, Susan Fink-Friedman & Ruth Fink	1-Apr-1998	1-Jan-1995	24-Apr-1998	\$0	\$0	\$0	\$139	\$0	\$0	\$0	\$0	\$0	
CLLAS1998-078	Ronald O. Collins	Ralph Bonham	3-Apr-1998	1-Jan-1997	30-Jun-1999	\$0	\$0	\$0	\$387	\$0	\$0	\$0	\$0	\$0	
CLLAS1998-080	Robert E. Smolkin	Halbury Properties Ltd.	6-Apr-1998	30-May-1997	15-Sep-1999	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1998-089	J. Michael Robinson, QC	Max Isaac	20-Apr-1998	1-Jan-1998	31-Aug-1998	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1998-115	Scott D. Conover	CFSC Capital Corp. XI	15-Jun-1998	1-Jan-1996	23-Jun-1998	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1998-127	Peter Vair	Dicomit Ltd. Partnership et al	30-Jun-1998		23-Sep-1998	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-004	David C. Rosenbaum	Various	15-Jul-1998	24-Jun-1998	30-Sep-1998	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-018	Alan D'Silva	Fran Thompson/Claire Walker/Terry Collins	8-Sep-1998	1-Apr-1998	12-Nov-2001	\$48,000	\$105,553	\$0	\$153,553	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-052	RICHARD E. JOHNSTON	Toronto Dominion Bank	14-Oct-1998	12-Aug-1993	31-Dec-2013	\$0	\$139,213	\$0	\$139,213	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-085	Jon Levin	William Curry (>18 others)/Meadowcroft London Ltd	12-Mar-1999	20-Jul-1998	28-May-1999	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-096	T. Anthony Ball	Steve Suske and Suske Family Trust	19-Mar-1999	17-Feb-1999	16-Jul-1999	\$0	\$15,170	\$0	\$15,170	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-097	Stephen Ruby	UnHost Corporation	8-Jun-1999	1-Apr-1998	24-Aug-2001	\$0	\$6,877	\$0	\$6,877	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-106	RUDOLPH W. GARDNER	Joseph Harberbusch/Don Valley Real Estate	16-Jun-1999	15-Jul-1997	14-Nov-2001	\$0	\$8,132	\$0	\$8,132	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-135	C. Ian Kyer	Drums Inc.	21-Apr-1999	1-Dec-1998	24-Aug-1999	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-136	Jonathan F. Lancaster	R.V. Anderson Associates	31-Mar-1999	2-Dec-1998	17-Dec-1999	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-148	Pandora D. Strasser	Seradex Scientific Inc.	12-Apr-1999	12-Jan-1998	27-Oct-1999	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1999-149	Roxanne E. McCormick	Diane Urquhart/Galaxy Capital	31-Mar-1999	9-Mar-1999	21-May-1999	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2000-004	Janne M. Duncan	Great Lakes National Bank/Stone Transport	28-Jul-1999	17-Nov-1998	13-Dec-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2000-007	Robert E. Smolkin	Philp Wellington Properties Limited	12-Aug-1999	3-Mar-1999	29-Sep-2000	\$0	\$9,325	\$0	\$9,325	\$0	\$0	\$0	\$0	\$0	
CLLAS2000-053	Howard Carr	Paul Bronfman et al	25-Nov-1999	13-Feb-1997	4-Mar-2004	\$100,000	\$446,667	\$0	\$546,667	\$0	\$0	\$0	\$0	\$0	
CLLAS2000-054	Neal J. Smitheman	Roger Sherwin	8-Dec-1999	21-May-1991	31-Mar-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2000-066	Kelley McKinnon	James & Boyden (law firm)	4-Jan-2000	12-Jan-1998	30-Jun-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	

## CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2017

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Case Number	Client (Insured)	Claimant	Report Date	Error Date	Date Closed	Settlement Paid (\$)	Legal Fee Paid (\$)	Current Reserve (\$)	Insured Liability (\$)	Insured P/L (\$)	Legal P/L (\$)	Reserve Liability (\$)	Net Legal P/L (\$)	Insured Liability (\$)
CLLAS2000-081	Kenneth C. Morlock	Bobst Canada Inc.	11-Feb-2000	28-Jan-2000	30-Jun-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-087	Brent Jay	Ritchie A. Beird	1-Mar-2000	14-Feb-2000	8-Aug-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-111	JOHN H. HOUGH	Andrew H. Holliday et al	27-Apr-2000	1-Jan-1999	20-Jul-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-115	Peter L. Roy	Terrence D. Coughlan et al	3-May-2000	1-Jul-1991	14-Nov-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-116	Mark A. Richardson	Day & Ross Inc.	26-Apr-2000	13-Nov-1998	22-Dec-2000	\$0	\$112	\$0	\$112	\$0	\$0	\$0	\$0	\$0
CLLAS2000-128	Berkley D. Sells	The Fairways Guelph Inc.	30-May-2000	11-Jan-2000	4-Jul-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-015	ESTHER L. LENKINSKI	Patricia Susan Wood	31-Aug-2000	31-Mar-2000	2-Feb-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-024	JEFFREY KAUFMAN	IMS Canada	16-Oct-2000	2-Sep-2000	29-Jan-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-059	Lorri Kushnir	David K. Lowry et al	11-Jan-2001	10-May-1990	28-May-2003	\$0	\$35,975	\$0	\$35,975	\$0	\$0	\$0	\$0	\$0
CLLAS2001-074	Andrew B. Laidlaw	Toronto-Dominion Bank et al	8-Feb-2001	7-Nov-2000	31-Aug-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-080	James A. Bradshaw	Paul John Tuz	16-Feb-2001	15-Dec-1993	26-Jun-2001	\$0	\$2,411	\$0	\$2,411	\$0	\$0	\$0	\$0	\$0
CLLAS2001-085	Colleen Zimmerman	Morland Foods Inc.	27-Feb-2001	9-Aug-1999	22-Mar-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-097	Belinda J. James	Dealmakers of Canada Inc.	2-Apr-2001	2-Jan-1999	18-Jun-2001	\$0	\$946	\$0	\$946	\$0	\$0	\$0	\$0	\$0
CLLAS2001-102	Scott Conover	iMoney Corp.	11-Apr-2001	14-Apr-2000	1-Oct-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-135	Donald Short	Ledcor Industries Limited and related companies	4-Jun-2001	4-Jun-2001	19-Jun-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-137	Douglas E. Grundy	CamVec Corporation	13-Jun-2001	1-Jun-2000	16-Jul-2002	\$641,040	\$23,933	\$0	\$664,973	\$0	\$0	\$0	\$0	\$0
CLLAS2002-023	M. Elena Hoffstein	Leonard Hayward Holdings Ltd.	21-Aug-2001	1-Nov-2000	30-Jun-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-024	Claude R. Thomson	Robert McCarthy	28-Aug-2001	26-Aug-1990		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-040	David Alsebrook	Jeffrey Carefoote and Ball Brewing Co.	13-Oct-2001	13-Oct-2000	26-May-2009	-\$49,060	\$1,000,000	\$0	\$1,049,060	\$2,188,660	\$877,791	\$0	\$0	\$3,066,451
CLLAS2002-048	T. Anthony Ball	Metro Capital Management Inc	5-Oct-2001	23-Feb-2001	23-Jul-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-049	Paul J. Martin	Betty Carr	5-Oct-2001	15-Feb-1997	17-Apr-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-096	Jonathan A. Levin	Trustee In Bankruptcy of Devcor Investment Corp.	2-Jan-2002	1-Dec-1994	3-Jun-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-114	ESTHER L. LENKINSKI	Wendy White	7-Feb-2002	3-Dec-2001	20-Aug-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-115	Philip Wolfenden	Worldwide Flight Services Inc.	7-Feb-2002	5-Nov-2001	21-Jun-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-131	Jack W. Huckle	Janice Crozier, Brooke Crozier, Katie Crozier	1-Mar-2002	30-Nov-2001	14-Oct-2004	\$0	\$952	\$0	\$952	\$0	\$0	\$0	\$0	\$0
CLLAS2002-197	David Menzel	Estate of Elaine Mitchell	24-Jun-2002	6-May-2002	16-Jan-2003	\$0	\$3,081	\$0	\$3,081	\$0	\$0	\$0	\$0	\$0
CLLAS2002-202	Alan D'Silva	U.S.E. Heckson Products Ltd.	25-Jun-2002	9-Apr-2001	15-Sep-2003	\$0	\$300	\$0	\$300	\$0	\$0	\$0	\$0	\$0
CLLAS2002-239	Howard/Corin Carr/Weigl	Christina Zimmermann	27-Jun-2002	15-Nov-2000	8-Apr-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-234	David Alsebrook	Dennis Sauve, Newblock Corp	27-Jun-2002	1-Jan-2001	16-Oct-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-235	William Filipiuk	David Shemitt	27-Jun-2002	1-Oct-1997	23-Jul-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-236	Peter L. Roy	Kathryn L. Knight	28-Jun-2002	17-Jan-1999	13-May-2009	\$600,000	\$250,000	\$0	\$850,000	\$0	\$8,773	\$0	\$0	\$8,773
CLLAS2002-237	Kelley McKinnon	Russell Bajumy	27-Jun-2002	19-Feb-2001	29-Jan-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-238	BRUCE SALVATORE	Metcap Financial Corporation	27-Jun-2002	1-May-2002	25-Jul-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-242	Walter Palmer	Fantom Technologies Inc.	28-Jun-2002	1-Jun-2002	19-Aug-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-243	Arthur Kitamura	Sharp Electronics of Canada Ltd.	28-Jun-2002	1-Jun-1992	19-Aug-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-245	David Alsebrook	1199464 Ontario Inc.	28-Jun-2002	15-May-2001	4-Mar-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-247	Peter Downard	Chalm Forer	28-Jun-2002	1-Aug-2000	4-Mar-2003	\$0	\$367	\$0	\$367	\$0	\$0	\$0	\$0	\$0
CLLAS2003-031	Donald E. Short	Integrus Metals Ltd.	2-Oct-2002	8-Jan-2002	28-Apr-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-033	William J. Bies	Sonnenschein, Nath & Rosenthal et al	2-Oct-2002	18-Jun-2001	2-Aug-2011	\$0	\$16,557	\$0	\$16,557	\$0	\$0	\$0	\$0	\$0
CLLAS2003-053	William J. Bies	Estate of Lloyd MacLean	1-Nov-2002	30-Jun-2002	25-Mar-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-062	Mark Fecenko	City of Toronto	12-Nov-2002	20-Aug-1999	10-Aug-2005	\$0	\$13,708	\$0	\$13,708	\$0	\$0	\$0	\$0	\$0
CLLAS2003-079	David C. Rosenbaum	ChCapital Limited	12-Dec-2002	1-Nov-2002	31-Dec-2008	\$0	\$28,516	\$0	\$28,516	\$0	\$0	\$0	\$0	\$0
CLLAS2003-098	Sara Levine	Comerstone Estates (Rudy Mulder - principal)	10-Jan-2003	22-Dec-2002	1-Oct-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-113	PAUL KING	J. Darragh M. Elliott	14-Dec-1996	16-Dec-1991		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-122	ESTHER L. LENKINSKI	Renne Lynn Miller (nee Blackstien)	6-Mar-2003	2-Sep-1997	8-Feb-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-130	Louis-Raphael Noisieux-Lec	Marie Helena Barbosa	7-Mar-2003	6-Dec-2003	31-Mar-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-131	Paul Martin	Antonio Comisso	12-Mar-2003	20-Oct-2000	31-Dec-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-154	Peter/Gideon Downard/For	JIT Automation Inc.	18-Feb-2003	22-Nov-2002	10-Jul-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-163	Donald Short	Ms. Young Park	28-Apr-2003	22-Nov-2002	12-Jan-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-179	Nell Henderson	Pan Jit Americas	21-May-2003	11-Apr-2003	30-Sep-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-034	JOHN T. MORIN	Plaza Pontiac Buick Limited	20-Oct-2003	27-Jun-2003	31-Dec-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-037	Nell Henderson	North American Salt Company	29-Oct-2003	8-Mar-2003	1-Apr-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-038	Nell Henderson	Jonathan Crnkic	30-Oct-2003	31-Jul-2003	4-Aug-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-042	David Vincent	Rio Algom Limited	12-Nov-2003	1-Jan-2003	7-Jan-2004	\$0	\$3,135	\$0	\$3,135	\$0	\$0	\$0	\$0	\$0
CLLAS2004-053	Daniel R. Law	Robert Kornerman and York Mobile Homes Ltd.	26-Nov-2003	29-Dec-1997	6-Oct-2005	\$0	\$23,102	\$0	\$23,102	\$0	\$0	\$0	\$0	\$0
CLLAS2004-105	Heather Devine	Mohamed Ovington Kamara	15-Jan-2004	17-Sep-2001	27-May-2004	\$0	\$7,217	\$0	\$7,217	\$0	\$0	\$0	\$0	\$0
CLLAS2004-123	Adam K. Saweras	George Marchi et al	11-Mar-2004	1-Feb-2002	12-Oct-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-124	Mark A. Camilleri	Bobst Canada Inc.	10-Mar-2004	10-Dec-2003	27-May-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-127	Donald Short	Tony Calabrese o/a Am-Pro Sports	15-Mar-2004	13-Sep-2002	14-Oct-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

## CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2017

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Event Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Reserved Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserve Indemnity (CLLAS)	Reserve Legal (CLLAS)	Reserve Liability (CLLAS)	Notes
CLLAS2004-133	Alan L. W. D'Silva	Jay Hamilton Associates Inc.	18-Mar-2004	1-Mar-2002	4-Aug-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2004-134	Colleen Spring-Zimmerman	Ken Stapleton and/or Arrow Int'l Inc.	16-Mar-2004	31-Jul-2004	21-Aug-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2004-149	Michael Bourassa	Robert, John and William Norcott	6-Jan-2004	7-Apr-2003	30-Sep-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2004-151	John Elias	Lloyds TSB/Ashtead Technology	30-Apr-2004	27-Jun-2003	16-Feb-2005	\$0	\$1,463	\$0	\$1,463	\$0	\$0	\$0	\$0	\$0	
CLLAS2004-186	Alan M. Schwartz	Kagan Zucker Feldbloom Shestir/Cda Trust Co.	16-Jun-2004	15-Feb-1998	7-Apr-2005	\$0	\$8,289	\$0	\$8,289	\$0	\$0	\$0	\$0	\$0	
CLLAS2004-216	Douglas Cannon	Don Johnson	28-Jun-2004	10-Oct-2001	31-Dec-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-032	Belinda James	Estate of Stephan Fylak	30-Sep-2004	30-Sep-1988	31-Dec-2006	\$40,000	\$14,202	\$0	\$54,202	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-053	Jennifer McAleer	Canadian Pacific Railway	17-Nov-2004	10-Feb-2004	24-Aug-2005	\$5,000	\$0	\$0	\$5,000	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-054	Bruce Blain	Northern Financial Corporation	22-Nov-2004	31-Dec-2004		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-056	JOHN A. CAMPION	Chaitanya K. Kalevar	7-Dec-2004	24-Mar-1999	31-Dec-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-058	Neil Henderson	Carnitech et al	14-Dec-2004	13-Dec-2003	24-Aug-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-060	Neil Henderson	Predwave Corporation	23-Dec-2004	30-Nov-2003	24-Aug-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-061	Belinda James	Hadrian Manufacturing Inc.	31-Dec-2004		29-Sep-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-112	Robert Eberschlag	Schneider Power Inc. et al	19-Jan-2005		28-Feb-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-125	Alan Schwartz	CHUM Limited	10-Feb-2005	1-Dec-2004	12-Jan-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-160	Charles Higgins	RNC Gold Inc.	30-Mar-2005	17-Feb-2005	29-Jun-2007	\$0	\$17,289	\$0	\$17,289	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-168	David A. Hausman	Benjamin Gelfand	28-Apr-2005		2-Nov-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2005-216	Howard Carr	Jeffrey and Richard Cooper	15-Jun-2005	1-Mar-2005	2-Nov-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2006-015	Catherine M. Wiley	Sally Isaacs/Estate of Han Fonged Nip	25-Aug-2005	3-Aug-2005	30-Sep-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2006-112	Mitchell Thaw	Electrova/Dr. James Jacobs and Dr. Gas Gupta	21-Mar-2006		23-Feb-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2006-142	Tracy A. Pratt	Ilias Kaperonis	10-May-2006	9-May-2006	30-Sep-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2006-193	David C. Rosenbaum	Juch-Tech Inc.	30-Jun-2006	7-Jun-2005	31-Oct-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2007-007	Bozidar Crnatovic	Homeland Uranium Inc.	27-Jul-2006	27-Jan-2006	6-Sep-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2007-016	Jonathan F. Lancaster	The Wellesley Central Health Corporation	1-Aug-2006	30-Jul-2005	7-Apr-2010	\$0	\$92,568	\$0	\$92,568	\$0	\$0	\$0	\$0	\$0	
CLLAS2007-166	M. Elena Hoffstein	Beneficiaries of the Estate of Dennis Marsh	30-Jun-2007	20-Oct-2006	28-Aug-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-016	Alan M. Schwartz	CHUM Ltd (CTV Globemedia)	20-Aug-2007	18-Jun-2007	31-Dec-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-017	Uta M. Marouzzi	Shlomo Scheinback, Rocky Investment Holdings et al	16-Aug-2007	15-Nov-2006	28-Aug-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-041	Charles A. Toth	PolyOne Canada Inc.	5-Nov-2007	15-Oct-2007	15-Feb-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-053	Steven F. Rosenhek	Canadian Pacific Railway Company	27-Nov-2007		14-Dec-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-091	David C. Rosenbaum	Dr. Sanheev Kalia and BWSC Holdings Inc.	16-Jan-2008		6-Jun-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-094	Steven Rosenhek	Canadian Pacific Railway Company	21-Jan-2008	4-Dec-2007	30-Jun-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-095	Daniel Law	Harry Birman et al	8-Feb-2008	9-Apr-2003	23-Dec-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-103	Melisse Willems	Canadian Pacific Railway Company	18-Feb-2008	26-Feb-2007	24-Feb-2009	\$0	\$1,433	\$0	\$1,433	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-117	David A. Gaurlay	Microsoft et al (Mitchell McDermid)	20-Mar-2008		22-Sep-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-126	Neil J. Smitheman	Manuel Cohen	16-Apr-2008	31-Dec-2004	9-Dec-2013	\$700,000	\$300,000	\$0	\$1,000,000	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-193	Michael J.W. Round	Mr. Jethwani and Investment Recovery Group	8-Dec-2009		31-Mar-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-005	Elizabeth Gouthro	Hayes Bicycle Group Inc.	25-Jul-2008		20-Aug-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-023	Robert Harrison	MasTec	11-Sep-2008		18-Sep-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-032	Ronald McCloskey	Bany Goldman	9-Oct-2008		11-Mar-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-042	David C. Rosenbaum	Trade Finance Holdings Inc.	22-Oct-2008	1-Oct-2007	30-Jun-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-043	Catherine Wiley	Natalie Hajek	23-Oct-2008	27-Mar-2007	30-Jun-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-052	Steven Rosenhek	Class Action Purchasers of High Fructose Corn Syr.	17-Nov-2008	16-Oct-2008	15-Apr-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-053	Neil J. Smitheman	Marshall Cohen (The Plan Group)	13-Nov-2008	12-Dec-2005	9-Dec-2013	\$660,000	\$0	\$0	\$660,000	\$350,000	\$0	\$0	\$0	\$350,000	
CLLAS2009-093	Robert W. Cosman	Bruce Berger	15-Jan-2009		30-Jun-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-122	Uta M. Marouzzi	Virco Pharmaceuticals (Canada) Co. ("Virco")	24-Mar-2009	16-Jul-2006	10-Apr-2014	\$80,000	\$48,354	\$0	\$128,354	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-136	David Halasz	Brianne Lloyd and Joel Broderick	24-Apr-2009	30-Dec-2008	2-Nov-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-140	Robert S. Harrison	Koch Industries Inc. or INVISTA (Trans) Company	5-May-2009		2-Nov-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-194	Berkley D. Sella	Thales Canada Inc.	30-Jun-2009	30-Apr-2009	27-Aug-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2009-202	STEPHEN T.P. RISK	Elizabeth Fairley/Hendrick Warner	13-Mar-2009		2-Jun-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-016	PAUL R. KING	Koch Chemical Technology Company	3-Sep-2009	28-Nov-2008	13-Jan-2010	\$0	\$2,112	\$0	\$2,112	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-017	PAUL R. KING	Sun Media Corporation	3-Sep-2009	1-Dec-2008	6-Apr-2011	\$0	\$3,544	\$0	\$3,544	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-018	PAUL R. KING	Alvest Realities (Ont.) Inc.	3-Sep-2009	7-Mar-2008	13-Oct-2010	\$0	\$5,131	\$0	\$5,131	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-090	William Alfred Apps	Darryl Ian Sheriff	11-Jan-2010	2-Sep-2008	19-Nov-2012	\$0	\$109,962	\$0	\$109,962	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-115	Claude Thomson	Marcia-Joy Sandra Evans (nee Rothstein)	5-Mar-2010		11-May-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-121	Peter S. Ascherl	Rockling Engineering Plastics Ltd.	15-Mar-2010	1-Jun-2009	22-Sep-2010	\$0	\$4,693	\$0	\$4,693	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-136	Michael J.W. Round	Investment Recovery Group (IRG)/Mr. Jethwani	12-Sep-2009	1-Jan-2009	11-Jan-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-145	Jennifer McAleer	Glen Day	22-Apr-2010	7-Apr-2008	4-Dec-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-154	David Douglas Robertson	Interpaving Limited	7-May-2010		3-Jun-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2010-155	Tai W. Nahm	RIM (Research In Motion) Limited	13-May-2010	26-Feb-2010	7-Jul-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	



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Submitted Claim	Sarah Armstrong
Submitted Claim	Ronald Nobrega

Potential Claim	Howard Carr
Potential Claim	Stephen Erlichman
Potential Claim	Zohrab Meladwala
Potential Claim	David Rosenbaum
Potential Claim	Shane Todd



# **LONDON OFFICE - MARCH 2018**

## **APPENDIX F**

No changes have been made to this document as all claims and notices relating to the London office were already listed in the Appendix produced by CLLAS.

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## APPENDIX F

## CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2017

LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Urgal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2000-100	James Carphin	Emma Suen and Momo Sun et al	17-Mar-2000	19-Nov-1999	31-Oct-2000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-018	John M. McCormick	Bondholders of The Loewen Group Inc.	22-Sep-2000		31-Mar-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-043	David Kington	A&B Sound Ltd.	19-Dec-2000		30-Apr-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-044	Robert M. Lonergan	Nelson Marketing International Inc.	8-Dec-2000		30-May-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-046	Thora Sigurdson	Quesnel River Pulp Company	27-Dec-2000		28-Sep-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-047	Art Bensler	General Motors of Canada Limited	28-Dec-2000		31-May-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-048	George Hungerford	Great Pacific Forum Inc.	22-Dec-2000		31-Dec-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-058	Gary W. Ott	George Weston Limited	7-Feb-2000		31-Jul-2001	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-107	David C. Harris	Commonwealth Trust	11-Apr-2001		28-Nov-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-113	Richard Berrow	Insurance Corporation of B.C.	2-May-2001		17-Jun-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-114	Elaine E. Reynolds	Richard Genest	30-Apr-2001		30-Jun-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-006	Helen H. Low	Peter Wong	29-Jul-2001		26-Aug-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-008	Jennifer L. Harry	Detlef Heiss, Bernhard Heiss, Dirk Heiss et al	31-Jul-2001		16-Dec-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-029	John S. McKercher	Nastec Holdings Inc.	31-Aug-2001		31-Dec-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-031	Andrew I. Nathanson	Jian Xiang Xu et al	4-Sep-2001		30-Oct-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-050	Steven Lukas	Mentor Tzeng	15-Oct-2001		31-May-2002	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-073	Michael D. Parrish	Orica Canada Inc.	20-Nov-2001		29-May-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-095	Frances Boyle	Janet Allan	28-Dec-2001		31-Mar-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-152	Brian Leslie Child	American Boardsports Co. Inc./ABCI Holdings et al	27-Mar-2002		30-Jun-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-155	Helen H. Low	Roy Harris	15-Apr-2002		31-Mar-2009	\$0	\$1,608	\$0	\$1,608	\$0	\$0	\$0	\$0	\$0
CLLAS2002-157	Geoff Tracey	Michael J. Hordo	2-Apr-2002		31-Mar-2005	\$0	\$50,213	\$0	\$50,213	\$0	\$0	\$0	\$0	\$0
CLLAS2002-231	James D. Piers	Sharon Jack	28-Jun-2002		30-Sep-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-232	David Wotherspoon	Geoff Lyster	28-Jun-2002		31-Jan-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-254	Michael D. Parrish	Terry Alexander	4-Apr-2002		31-May-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-255	Laureen Ann Fenlon	Tarryn Oliver	30-Apr-2002		30-Dec-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-038	John Lyster	3653 Investments Limited	6-Aug-2002		27-Apr-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-039	Simon Coval	Marioff Corporation OY	26-Jul-2002		29-Apr-2003	\$84,000	\$10,380	\$0	\$99,380	\$0	\$0	\$0	\$0	\$0
CLLAS2003-042	James D. Piers	Sharon Jack	2-Jul-2002		31-Mar-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-102	Richard/Bill	Olson/Martin	31-Dec-2002		31-Mar-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-104	William Westerlingh	TBA	30-Dec-2002		3-Feb-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-105	Lorene Ann	Novakowski	30-Dec-2002		30-Nov-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-106	William T. Morley	Libertha Wong	30-Dec-2002		20-Feb-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-141	Richard J. Berrow	Jason Beazley/ICBC et. al	13-Mar-2003		31-Dec-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-144	Simon R. Coval	Masonville Plastics (B.C.) Ltd.	24-Mar-2003		20-Jul-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-145	George W.	Peter Young Pak Wat	13-Feb-2003		31-Mar-2005	\$8,500	\$8,920	\$0	\$17,420	\$0	\$0	\$0	\$0	\$0
CLLAS2003-148	Hungerford	Allan P. Seckel	29-Jan-2003		26-Oct-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-176	Thomas R. Manson	Vector Holdings Ltd.	2-May-2003		15-Dec-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)  
Open and Closed Claims Bordereau  
As at December 31, 2017  
LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd. (LS)	Legal Pd. (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd. (CLLAS)	Legal Pd. (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2003-219	Andrew D. Borrell	TD Waterhouse Securities	22-May-2003		28-Jan-2004	\$139,745	\$0	\$0	\$139,745	\$0	\$0	\$0	\$0	\$0
CLLAS2003-220	William A. Randall	Avalon Capital Corporation	22-Apr-2003		30-Oct-2003	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-068	Laing Brown	Canada Life Insurance Company	31-Dec-2003		19-Sep-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-104	Elizabeth B. Lyall	Canada Life Insurance Company	22-Dec-2003		31-Mar-2004	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-129	William T. Morley	Julian Kuchocki	10-Mar-2004		28-Dec-2007	\$0	\$44,429	\$0	\$44,429	\$0	\$0	\$0	\$0	\$0
CLLAS2004-147	Doran John Ingalls	A.G. Hein Ltd.	28-Jan-2004		31-May-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-219	Frank S. Schober	Lloyd Callahan	7-Jun-2004		30-Mar-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-013	Frank Schober	Matisse Investment Management Ltd.	16-Aug-2004		29-Apr-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-027	James G. Carphin	Estate of Marian Miletich	28-Sep-2004		28-Apr-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-055	Geoffrey Cowper	Markham Hilton and Montcal Days (not clients)	19-Nov-2004		31-May-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-062	Leo Amighetti	David Radler	31-Dec-2004		29-Dec-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-130	Anne Kober	Scott 72 Centre LP	15-Feb-2005		31-Oct-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-143	Doran John Ingalls	668158 B.C. Ltd.	4-Mar-2005		16-May-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-147	Jennifer Harry	Amex Bank of Canada	10-Mar-2005		31-Dec-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-156	Robert Paul	Western Forest Products	21-Mar-2005		20-Jun-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-170	Marcel Peerson	Spuzzum Enterprises Ltd.	25-Apr-2005		29-Jun-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-179	Kevin Price	Newco	22-Apr-2005		31-Oct-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-190	Ron Ezekiel	Canadian Pacific Railway	19-May-2005		27-Jun-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-205	Doran J. Ingalls	GEOS Corporation	18-May-2005		31-Dec-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-206	Lorene Ann	YMCA	20-May-2005		31-May-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-007	Novakowski	James B. Panther	21-Jul-2005		31-Dec-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-018	Robert Millar	Campbell Saunders Ltd.	19-Sep-2005		31-Dec-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-041	John F. Grieve	The Finishing Touch Doors and Moulding Limited	15-Nov-2005		9-Apr-2014	\$135,000	\$199,589	\$0	\$334,589	\$0	\$0	\$0	\$0	\$0
CLLAS2006-050	Roger Kuypers	Lori/Patricia	22-Dec-2005		31-May-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-060	Price/Janzen	Dr. Nevio Cimolai	25-Nov-2005		8-Jan-2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-061	Lynne Charbonneau	HILLSBOROUGH RESOURCES LTD.	12-Dec-2005		27-Jun-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-062	Doran Ingalls	Symphonetics Corporation	12-Dec-2005		30-Apr-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-063	Doran Ingalls	Mobidia Inc ("WAVE")	8-Dec-2005		31-Dec-2005	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-064	Peter Finley	360 Networks Corporation	29-Dec-2005		24-Aug-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-069	Michael D. Parrish	Emil Clermont	29-Dec-2005		31-Jan-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-070	Richard J. Berrow	City of Richmond	30-Dec-2005		30-Aug-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-080	Richard J. Berrow	Oceanic Bank and Trust Ltd.	9-Jan-2006		29-Dec-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-086	Paul Fairweather	West Bay Sonship Yacht Ltd. (West Bay)	30-Jan-2006		31-Mar-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-087	Alexis Kerr	Royal Bank of Canada	7-Feb-2006		24-Aug-2007	\$13,000	\$0	\$0	\$13,000	\$0	\$0	\$0	\$0	\$0
CLLAS2006-111	Georald Ingborg	Greg Sinitsin/Polaris Minerals Corp.	29-Dec-2005		15-Nov-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-125	Randal Dhaliwal	Toronto Dominion Bank (Loan to Ronnie Trading)	10-Apr-2006		29-Dec-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-134	Edgar Frechette	St. George's School	10-Apr-2006		29-Dec-2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-135	Edgar Frechette	Aspen Foundation and Diamond Foundation	10-Apr-2006		30-May-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-135	Alison Oxtoby	The England Group	10-May-2006		30-May-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2006-136	Andrew Borrell	Linda and Pedro Liong	10-May-2006		31-May-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-166	Ian Cassie	Safety-Klean Acquisition	19-Jun-2006		30-Oct-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-012	Ian Cassie	Weston Foods	15-Aug-2006	8-Mar-2006	31-Dec-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-025	Kibben M. Jackson	Don Adams	26-Sep-2006		30-May-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-026	Peter H. Finley	Terminal City Club Inc.	26-Sep-2006		24-Aug-2007	\$0	\$10,285	\$0	\$10,285	\$0	\$0	\$0	\$0	\$0
CLLAS2007-032	Mark D. Andrews	Royal Bank of Canada	30-Aug-2006		31-Dec-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-063	Prentice Durbin	myZone Media Inc.	22-Dec-2006		30-Apr-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-064	Tracey Cohen	James Chang	22-Dec-2006		30-Nov-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
		British Columbia Chamber of Commerce (Ladysmith)												
CLLAS2007-071	Susan Paish		21-Dec-2006		31-Oct-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-093	Peter H. Finley	438336 B.C. Ltd/Donato De Cotiis	7-Mar-2007		31-Dec-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-108	Elizabeth B. Lyall Blair/Georald	Law Society of British Columbia	9-Jan-2007		27-Feb-2007	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-145	Horn/Ingborg	MetroBridge Networks Corporation	30-Jun-2007		30-May-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	Andrew Ian													
CLLAS2008-045	Nathanson	KPMG Inc. (re Commonwealth Trust Comp)	22-Nov-2007		27-Nov-2012	\$0	\$5,808	\$0	\$5,808	\$0	\$0	\$0	\$0	\$0
CLLAS2008-058	Iain Mant	Ford Nicholson	5-Dec-2007		31-Dec-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-072	Robert A. Millar	Annacis Enterprises Ltd and Dean Dricos	21-Dec-2007		29-Oct-2010	\$10,000	\$13,187	\$0	\$18,187	\$0	\$0	\$0	\$0	\$0
CLLAS2008-073	David Wotherspoon	Rene Rey Swiss Chocolate Ltd.	24-Dec-2007		30-Dec-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-078	George Hungerford	Dr. Peter Chan	27-Dec-2007		28-Nov-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-081	David Martin	Tri Power Developments et al	27-Dec-2007		31-Jul-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-084	Peter H. Finley	Edward Chapman Limited	31-Dec-2007		31-Aug-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-142	Andrew Jackson	Scott Wilson	26-May-2008		31-May-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-156	Georald Ingborg	GLG Life Tech Corporation			30-Jun-2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-169	Andrew Nathanson	Shannon Murrin	25-Jun-2008		31-Jul-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-039	Marina Pratchett	Steve Lang	23-Oct-2008		15-Dec-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-057	Peter Finley	Salient Developments (Water) Ltd.	21-Nov-2008		30-Dec-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-058	Mark Andrews	Canada Nickel Canada	25-Nov-2008		15-Dec-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-072	Andrey Schmidt	Torfinn Djukastein	19-Dec-2008		30-Dec-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-073	Benjamin Lee	Investec Asset Management Limited (UK)	22-Dec-2008		8-Apr-2016	\$0	\$4,708	\$0	\$4,708	\$0	\$0	\$0	\$0	\$0
CLLAS2009-074	Lisa Kerr	Philpott, Evitt and Company Limited	19-Dec-2008		31-Oct-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-076	Charlotte Pamela Bell	Sacre-Coeur Minerals (Irwin A. Olian)	24-Dec-2008		30-Apr-2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-095	Avon Mersey	Carolynn Millard	19-Jan-2009			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-111	Robert Quon	The Dells Holdings Ltd.	2-Mar-2009		31-Jul-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-130	Daniel Polonenko	University of Manitoba	21-Apr-2009		4-May-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-131	Daniel Polonenko	Medical Ventures Inc., (now Neovasc Inc.)	21-Apr-2009		4-May-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-132	Daniel Polonenko	British Columbia Institute of Technology (BCIT)	21-Apr-2009		4-May-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-138	Helen Low	Kenneth Stepa, Dan Stepa and Carolyn Wetzel	17-Apr-2009		30-Jun-2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
		Ronald A. Bray/Kristina R. Bray and Guy B. Ingram												
CLLAS2009-145	Charles Willms		14-May-2009		27-May-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-030	Daniel Polonenko	Heart Force Medical Inc.	30-Sep-2009			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-057	Frank Schober	The Law Brothers Company LLC	5-Nov-2009		17-Dec-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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	Mark/Kieran													
CLLAS2010-066	Andrews/Siddall	Freightliner Ltd.	26-Nov-2009		30-Mar-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-071	Anna Laing	Brian Arnason	22-Dec-2009		27-Dec-2013	\$0	\$90	\$0	\$90	\$0	\$0	\$0	\$0	\$0
		Royal Bank of Canada, refinancing of Harrison Land												
CLLAS2010-072	Andrew P. Jackson		22-Dec-2009		31-Mar-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-073	William T. Morley	Young Seo Kang	22-Dec-2009		6-May-2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-084	Keith E. Spencer	CopperLeaf Technologies Inc.	31-Dec-2009		30-Nov-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-088	Paul C. Wilson	Salvation Army	31-Dec-2009		8-Apr-2013	\$81,456	\$20,311	\$0	\$101,767	\$0	\$0	\$0	\$0	\$0
CLLAS2010-118	Matthew Ghikas	Terasen Gas Inc. ("TGI")	18-Mar-2010		30-Sep-2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-153	Marina Pratchett	Westland Air Conditioning	4-May-2010		30-Dec-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-171	Doran Ingalls	Various Clients (USPTO and ViroForce)	16-Jun-2010		27-Mar-2013	\$178,450	\$821,550	\$0	\$1,000,000	\$271,263	\$251,341	\$0	\$0	\$522,604
CLLAS2010-194	Doran John Ingalls	Exro Technologies Inc.	30-Jun-2010		23-Feb-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-004	Kibben Jackson	Adanac Milybdenum Corporation	28-Jul-2010		29-Dec-2011	\$0	\$5,585	\$0	\$5,585	\$0	\$0	\$0	\$0	\$0
CLLAS2011-035	Prentice Durbin	CopperLeaf Technologies Inc.	28-Oct-2010		29-Jun-2011	\$50,000	\$0	\$0	\$50,000	\$0	\$0	\$0	\$0	\$0
CLLAS2011-059	Steve Lukas	Tri-M and Nupak	2-Dec-2010		30-Mar-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-060	David Wotherspoon	Meridian Medical Inc.	3-Dec-2010		26-May-2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-067	Alison Oxtoby	Irfhan Rajani	17-Dec-2010		31-Aug-2012	\$37,348	\$8,106	\$0	\$45,454	\$0	\$0	\$0	\$0	\$0
CLLAS2011-075	Charlotte P. Bel	Peter Franklin/Easi-Serv Products Inc.	22-Dec-2010		31-Oct-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-076	Anna Laing	Petrina Arnason	23-Dec-2010		28-Jun-2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-084	Doran Ingalls	EyeBall.com Network Inc.	31-Dec-2010		26-Sep-2012	\$7,999	\$0	\$0	\$7,999	\$0	\$0	\$0	\$0	\$0
CLLAS2011-085	Richard J. Berrow	CopperLeaf Technologies Inc.	24-Dec-2010		17-May-2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-155	Doran John Ingalls	Rx Networks Inc.	15-Feb-2011		27-Mar-2013	\$1,809	\$0	\$0	\$1,809	\$0	\$0	\$0	\$0	\$0
CLLAS2011-165	Michael D. Parrish	Emil Clermont	27-Apr-2011		30-Nov-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-182	Stanley Martin	TradeBytes Data Corporation	20-May-2011		30-May-2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	Steven / Vicki / Christopher Lukas / Tickle / Sharpe	Steven Hawboldt (Yellow Ridge Construction Ltd. an												
CLLAS2011-187	Brook Joseph		15-Jun-2011		17-Dec-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-007	Greenberg	Anthony Mormino (0776146 B.C. Ltd.)	25-Jul-2011		29-Nov-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-008	Tracey M. Cohen	Heather Scherloski	26-Jul-2011		31-Dec-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-009	Elizabeth B. Lyall	Glen P. Robbins	26-Jul-2011		29-Jan-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-020	Robert A. Millar	Nextphase Strategy Marketing Inc.	2-Sep-2011		8-Apr-2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-038	Keith E. Spencer	JNKS (2006) Investments Ltd.	18-Oct-2011		30-Apr-2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
		Auto-Guadeloupe Columbus Acquisitions Inc. et al.												
CLLAS2012-075	Henri Alvarez		23-Dec-2011			\$0	\$890,766	\$109,234	\$1,000,000	\$0	\$89,746	\$0	\$750,000	\$839,746
CLLAS2012-076	Thomas Mark Pontin	Brooks Farrell	23-Dec-2011		27-Feb-2015	\$0	\$27	\$0	\$27	\$0	\$0	\$0	\$0	\$0
	Donald M. / Stephanie													
CLLAS2012-082	Dalik / Sanger	Stella-Jones Canada Inc.	30-Dec-2011		31-Jan-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-106	Andrew P. Jackson	Ted Y. Nitta	17-Feb-2012		29-Apr-2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-133	Dan Polonenko	Viva Pharmaceuticals	28-Mar-2012		4-May-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-004	William T. Morley	Eun Ja Gang	19-Jul-2012		17-Dec-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-012	Matthew Ghikas	British Columbia Ferry Service Inc.	9-Aug-2012		9-Apr-2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-031	Edgar A. Frechette	Aspen Foundation	16-Oct-2012		20-Dec-2012	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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CLLAS2013-034	Allison MacInnis	Raute Canada Ltd.	23-Oct-2012		31-Oct-2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-057	Robert A. Millar	Harvey Oreck	11-Dec-2012		29-May-2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-120	Stephen G. Lukas	Donald M. Fuller	16-May-2013		31-Jul-2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-123	Bruce Harrison	Thompson Creek Metals and Terrane Metals	16-May-2013		28-Nov-2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-003	Lorene A. Novakowski	Royal Bank of Canada Dominion Securities	9-Jul-2013		31-Oct-2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-018	Robert W. Quon	ART Turbine Inc/Andrew Rokeby-Thomas	1-Oct-2013		29-May-2015	\$0	\$430	\$0	\$430	\$0	\$0	\$0	\$0	\$0
CLLAS2014-061	Nicola Sutton Carmine Louise Boskovich	UST Global	24-Dec-2013		30-Jun-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-108	Roger Kuypers	Read Harris Holdings Ltd.	20-Mar-2014		30-Jun-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-004	George Hungerford	Zahir Popat	16-Jul-2014		31-Mar-2016	\$0	\$58,752	\$0	\$58,752	\$0	\$0	\$0	\$0	\$0
CLLAS2015-008	Frank Schober	Hallmark Holding Ltd./Afolabi Awomolo	29-Jul-2014		31-Mar-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-017	Charlotte Bell	New Finance Services Inc.	15-Aug-2014	21-Sep-2005	21-Dec-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-028	Sara Knappe	Irwin A. Olian	15-Oct-2014	12-Sep-2012	30-Jun-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-036	Gavin Cameron	First Quantum Minerals Ltd. (paralegal)	4-Nov-2014		4-May-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-040	David McDonald	Ron Korkut	19-Nov-2014		30-Jun-2015	\$0	\$4,169	\$0	\$4,169	\$0	\$0	\$0	\$0	\$0
CLLAS2015-045	David A. Martin	Auto Canada / Brent Marshall	19-Dec-2014		22-Jun-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-054	Mark Andrews	Global Partnership, LLC	23-Dec-2014		10-Jan-18	\$0	\$5,950	\$544,050	\$550,000	\$0	\$0	\$0	\$0	\$0
CLLAS2015-055	Adrian Wan	Ted (Edward) Callahan	23-Dec-2014			\$0	\$0	\$30,000	\$30,000	\$0	\$0	\$0	\$0	\$0
CLLAS2015-060	Roger / Janine	Aker Solutions Canada Inc.	31-Dec-2014		16-Dec-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-080b	Kuypers / MacNeil	Andrey Pinsky	26-Feb-2015		29-May-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-099	Barbara J. Murray	Catherine Anne Harney	2-Feb-2015		30-Jun-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-104	Andrew Jackson	Retirement Concepts Seniors Services Ltd.	9-Apr-2015			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-108	Samantha / David Chang / Wotherspoon	DASH	10-Apr-2015	31-Mar-2015	31-Oct-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-138	David Wotherspoon	Al Jazeera Media Network	30-Jun-2015	23-Jun-2015		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-143	Benjamin Jacob Cabott	Amy Dunkley Tinson	29-Apr-2015		29-Feb-2016	\$2,481	\$0	\$0	\$2,481	\$0	\$0	\$0	\$0	\$0
CLLAS2016-002	Kevin / Simon Nakanishi / Coval	Oakridge Lutheran Church	8-Jul-2015	4-Jul-2013	30-Sep-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-007	Donald Dalik	Fenchurch Trust Co./George C. Magnus	28-Jul-2015			\$0	\$0	\$130,000	\$130,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-008	David A. Martin	Talasa Properties Limited Partnership	28-Jul-2015	24-Nov-2014	30-May-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-009	David Martin	Hermes Canada	5-Aug-2015		16-Dec-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-017	Peter H. Finley	Allan Markin et al.	26-Aug-2015			\$0	\$482,401	\$517,599	\$1,000,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-028	Lorene Ann Novakowski	Avigilon Corporation	21-Jul-2015		22-Dec-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-032	Rebecca Coad	Owners, Strata Plan LMS 463	13-Oct-2015		30-Nov-2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-034	David Wotherspoon	Marian Zadra, Robert Zadra, and Yvonne Zadra	15-Oct-2015	1-Oct-2015		\$32,292	\$0	\$7,708	\$40,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-050	Simon Coval	The Ponderosa Fund	12-Nov-2015	15-Jun-2015	29-Jan-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

## CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2017

LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
	Dierk Ullrich, Sarah													
CLLAS2016-055	Batut	0762939 B.C. Ltd. (Trevor Klann)	4-Dec-2015		29-Apr-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-057	Grant Foster	Metropolitan Fine Printers Inc.	9-Dec-2015		31-Mar-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-068	Marina Ann Pratchett	Pedre Contractors	23-Dec-2015		29-Apr-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-069	Keith E. Spencer	Prelude Ventures LLC	22-Dec-2015		31-Jul-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-071	Robert M. Loneragan	Trimac Transportation Services	17-Dec-2015		30-Mar-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-072	Simon Coval	Imperial Parking Canada Corp	18-Dec-2015		25-May-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-073	William T. Morley	Youngsoon Seo	18-Dec-2015			\$0	\$0	\$115,000	\$115,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-074	Kibbon M. Jackson	Julien Selgren	18-Dec-2015		31-Jul-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-116	Peter Finley	South Surrey Aggregates Ltd.	3-Feb-2016		29-Dec-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-117	Peter Finley	Colligo Networks, Inc.	8-Mar-2016			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-119	Keith Evan Spencer	Dan Klemke	22-Mar-2016			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-120	Brook Joseph Greenber	Ms. Yet Lun Joy	7-Apr-2016		31-Oct-2016	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-151	Vicki Tickle	League IGW REIT, Ron Parachoniak, John Vedova	14-Jun-2016			\$0	\$18,407	\$981,593	\$1,000,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-171	Andrew Jackson	Buron Healthcare, Trellis/Castle	30-Jun-2016		30-Mar-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-172	Sarah Batut	Nantree Holdings Ltd.	3-May-2016			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-003	Helen Low, Sarah Batut	Executor of the Estate of Philip Arthur Goll (deceased)	13-Jul-2016	8-Apr-2016	31-May-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-040	Danielle Laurena Bryan	myZone Media Inc.	31-Aug-2016			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-0668	Georald Ingborg	Handybook	2-Dec-2016		31-May-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-087	Charles Wilms	Hayes Group Holding	17-Oct-2016			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-112	Adrian Wan, Edmond C.	Modern Kuyuan Development Holdings (CAN) Ltd	15-Mar-2017	14-Dec-2016	22-Dec-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-122	Daniel Andrew Byrna	Taknam Construction Ltd.	21-Mar-17			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-123	Andrew Nathanson	Unitow Services (1978) Ltd.	11-Apr-17			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-126	Benjamin Jacob Cabott	Prodigy Capital Corp	11-Apr-17		28-Apr-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-127	Gavin Cameron	Karen A. Bastow	11-Apr-17			\$0	\$1,276	\$23,724	\$25,000	\$0	\$0	\$0	\$0	\$0
CLLAS2017-139	Allan P. Seckel	Ted (Edward) Callahan	07-May-17			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-023	Gavin Cameron	Sean Moriss	19-Oct-17			\$0	\$48	\$19,952	\$20,000	\$0	\$0	\$0	\$0	\$0
CLLAS2018-026	Thomas Mark Pontin	HDR Inc.	19-Oct-17			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-027	Brook Joseph Greenber	Royal Bank of Canada	19-Oct-17		22-Dec-2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-045	Keith Evan Spencer	BCAA Insurance Corporation	27-Oct-2017			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-047	Michelle Barbra Pockey	John Thames	20-Nov-2017			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-049	Brent Christopher Clark	First West Credit Union	27-Nov-2017			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-050	Simon R. Coval	YouVi Canada Holdings Ltd.	09-Jan-18		Closed	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
***	Daniel Byrna, Charlene	655843 BC Ltd Dba Skookum Inn and John Tames	18-Nov-2017											
***	Shahrooz Nabavi	Goldbeck Recruiting Inc. (aka) Acuva Technologies	5-Feb-2018											
***	Brent Lewis	Dale Tsruda and his group of companies, Denwell	23-Feb-2018											
***	Andrew Nathanson	Daniel Hallemichael	26-Feb-2018											

Total:	\$782,080	\$2,666,995	\$2,478,860	\$5,927,935	\$271,263	\$341,088	\$0	\$750,000	\$1,362,350
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Quebec

## APPENDIX F

**APPENDIX "F"**  
**FASKEN MARTINEAU - QUEBEC REGION**  
**SCHEDULE OF CLAIMS AND NOTICES AS OF MARCH 29, 2018**

CLAS	FID	PARRO	AVOCAT RESPON MPS or DL	DATE OUVERTURE DOSSIER	NOM FAMILLE Avecat	PRENOM Avecat	RECLAMANT	NATURE DOSSIER	MONTANT REQ/AM	ACTION	DATE FERMETURE CLAS	DATE FERMETURE FID	COMMENTS CLAS	STATUT DU DOSSIER (vérification)
2015-034	900000.00310		DL	4 nov. 2014	Geet	Johann	Mirgenius						18/03/2016: No development - Letter to be transmitted to CLAS soon. 01/04/2017: No developments - Letter transmitted to CLAS. 03/24/2017: No further developments. 03/29/2018: No further development.	16/03/2016: Pas de développement au 16/03/2016, lettre sera transmise à CLAS prochainement. 04/01/17: Lettre transmise à CLAS 23/03/2017: Aucun nouveau développement. 29/03/2018: Aucun développement depuis l'ouverture du dossier en décembre 2014 - Aucun développement attendu
2015-096	900000.00312		MFS	25 mars 2015	Béliveau	Amélie	Dan Hillon	Poursuite pour procédures abusives					March 2016: Lawyers claiming for \$4032.36 for what they consider abuse. No development have came up since the formal notice. 03/24/2017: A letter has been sent to CLAS on Feb. 14, 2017, no further development. 03/29/2018: No further development.	Mars 2016: Avocats réclament 4032,365 pour ce qu'ils considèrent être de l'abus. Aucune suite n'a été donnée à la mise en demeure. 24/03/2017: Une lettre a été transmise à CLAS le 14 fév. 2017, aucun nouveau développement. 29/03/2018: Aucun nouveau développement.
2015-114	900000.00314		DL	5 mai 2015	Leblanc	Christian	Solart L.L. Corp. / Véronique Lemieux, Mélanie Lacroix						March 2016: No development on 03/22/2016; Letter transmitted to CLAS. 03/24/2017: No further development. 07/18/2017: No other development. Letter sent to CLAS 03/29/2018: No further development.	2015-05-05: Lettres transmises à CLAS et au Barreau du Québec Mars 2016: Aucun développement au Barreau 02/22/2016: Lettre transmise à CLAS. 24/03/2017: Aucun nouveau développement. 18/07/2017: Aucun développement; lettre transmise à CLAS. 29/03/2018: Aucun nouveau développement.

**APPENDIX "F"**  
**FASKEN MARTINEAU - QUEBEC REGION**  
**SCHEDULE OF CLAIMS AND NOTICES AS OF MARCH 29, 2018**

CLAS #	FND #	FABRI #	AVOCAT RESPON. MFS ou DL	DATE OUVERTURE DOSSIER	NOM FAMILLE Alcoa #	PRENOM Alcoa #	RECLAMANT	NATURE DOSSIER	MONTANT RECLAM.	ACTION	DATE FERMETURE CLAS	DATE FERMETURE FND	COMMENTS CLAS	STATUT DU DOSSIER (MFS ou DL)
2016-008	900000.00316		MFS		Forget	Roland	Ungava Mineral Exploration						March 2016: In a request to cancel an arbitral sentence, they are blaming Fasken for not having revealed a conflict of interests before acting as arbitrator. The request of cancellation of the judgement was not heard by the court yet. 03/24/2017: Letter sent to CLAS on Oct. 12, 2016. 03/29/2018: No further development. 29/03/2018: Aucun nouveau développement.	March 2016: Dans une requête pour annuler une sentence arbitrale, on reproche à Fasken de ne pas avoir dévoilé un conflit d'intérêts avant d'agir comme arbitre. La demande d'annulation de la sentence n'a pas encore été entendue par le tribunal. 24/03/2017: Une lettre a été transmise à CLAS le 14 fév. 2017, aucun nouveau développement. 29/03/2018: Aucun nouveau développement.
2017-025	900000.00321		DL	18 janv. 2015	Dion	Guy C.	Joshua Wallace	We received a demand letter on January 15th, 2016 in which Mr. Wallace alleged that Mr. Dion had harmed his reputation by alleging that he had sent disparaging emails to members of the order of translators. Audience fixée à l'automne 2018					March 2016: Notice to insurers is forthcoming 03/29/2018: No further development. 14 juillet 2017: Aucun développement. 29/03/2018: Aucun nouveau développement.	March 2016: Un avis aux assureurs suivra bientôt 14 juillet 2017: Aucun développement. 29/03/2018: Aucun nouveau développement.
2016-132	900000.00323		MFS	23 mars 2016	Ford	Andrew	Davencore Investments and Urbanix Investments						03/24/2017: No further development. 03/29/2018: No further development. 24/03/2017: Aucun nouveau développement. 29/03/2018: Aucun nouveau développement.	03/24/2017: Aucun nouveau développement. 29/03/2018: Aucun nouveau développement.

FASKEN MARTINEAU - QUEBEC REGION  
SCHEDULE OF CLAIMS AND NOTICES AS OF MARCH 29, 2018

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APPENDIX "F"

FASKEN MARTINEAU - QUEBEC REGION  
SCHEDULE OF CLAIMS AND NOTICES AS OF MARCH 29, 2018

CLAS#	FMD#	FARG#	AVCAT	DATE	NO# FAMILLE	PREON	NATURE	MONTANT	ACTION	DATE	COMMENTS
			RESPON.	OLVERTURE	AVocat		DOSSIER	RECLAM.	FERMETURE	FMD	DU DOSSIER (verificateur)
2017-0008	900000-00338		DL	28 avr. 2017	Ay/wh	Antoine	Marc Bellemare	475k-501k			03/29/2018: No further development.
2017-0007	900000-00337		MFS	21 juin 2017	Fabien	Marc-Andre	Syndicat des employes du College Stanislas CSN				03/29/2018: No further development.
2018-0003	900000-00339	17-0395	MFS	4 juil. 2017	Auger	Claude	George Patisais				03/29/2018: No further development.
2018-010	900000-00340	17-0427	MFS	10 août 2017	Lee	Ken	bruit				03/29/2018: No further development.
2018-022	900000-00341	17-0505	MFS	10 oct. 2017	Biliveau	Amelie	Fenwick & West LLP				03/29/2018: No further development.
2018-034	900000-00342		DL	13 nov. 2017	Leblanc	Christian	Benoit Deschênes				03/29/2018: No further development.
N/A	900000-00344		MFS	8 fevr. 2018	Marche	Jasmin	Martin Gellinas				03/29/2018: Letter will be sent to CLAS. File related to 900000.311
2018-071	900000-00345		MFS	27 fevr. 2018	Lagana /Manchini	Vincent Nicolas	Jean-Philippe Lachance (Lajoie Parson), Filtration LAB Inc.				03/29/2018: No further development.

## APPENDIX F

Calgary

**MEMORANDUM**

**DATE:** March 8, 2018  
**TO:** Sandra Mouland  
**RE:** ERRORS & OMISSIONS - REPORTING OF CLAIMS

---

**I am not aware of any such claim(s)** [ ]

**I am aware of facts which might result  
in a claim(s) and which has/have not previously  
been reported as described in the attached  
memorandum** [ ]

**I am aware of a previously reported claim(s) or potential  
claim (see attached status report for updating, if applicable)  
the status of which is indicated in the attached memorandum** [✓]

**Name** Jordan [Signature]

**Signature** [Signature]

# FASKEN

Fasken Martineau DuMoulin LLP  
Barristers and Solicitors  
Patent and Trade-mark Agents

350 7th Avenue SW, Suite 3400  
Calgary, Alberta T2P 3N9  
Canada

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F +1 403 261 5351

fasken.com

## MEMORANDUM

---

**To:** Sandra Mouland  
**From:** Jordan C. Hulecki  
**Date:** March 22, 2018  
**Client/File:** MATTER NUMBERS TO BE CORRECTED - CALGARY OFFICE (02)  
261990.19413  
**Re:** Errors & Omissions - Reporting of Claims (CLLAS Renewal 2018)

---

As noted in my response to your memorandum of March 8, 2018, I am aware of two previously reported claims or potential claims.

The first relates to a possible claim by Rapid Rod Service Ltd. in respect of certain lien rights that may have been lost due to my error. This possible claim was reported last year and was reflected in my 2017 CLLAS renewal memorandum to you. By way of update, I have appended a copy of correspondence from ALIA indicating that the claim has settled and that it has closed its file, and from myself to CLLAS, dated September 14, 2017, in which I inform CLLAS of the settlement.

The second relates to a possible claim by Canadian Silica Industries Inc. ("CSI") in respect of a claim on a statutory trust that, due to an ambiguity in the law, we determined could have been filed out of time. This possible claim is detailed in the attached memorandum, dated August 24, 2017. The Court of Queen's Bench recently held that the claim was not filed out of time, and that CSI was entitled to payment in full of its trust claim. I advised CLLAS of same in the attached letter of March 6, 2018. The appeal period for the Court's decision expired yesterday, with no appeal having been filed. I will advise CLLAS when ALIA closes its file on this matter.



## Jordan Hulecki

---

**From:** Jordan Hulecki  
**Sent:** September-14-17 1:29 PM  
**To:** 'Tim Clarke (timclarke@axxima.ca)'  
**Cc:** 'Tiffany Bissonnette'  
**Subject:** RE: CLLAS 2016-149 (Hulecki re Rapid Rod)

Dear Mr. Clarke:

Today I received a letter form ALIA confirming that the claim in the above-noted matter has been settled for \$75,000.

Please let me know if you require any further information.

Best regards,

--  
Jordan Hulecki | Associate

T. +1 403 261 6161 | F. +1 403 261 5351  
[jhulecki@fasken.com](mailto:jhulecki@fasken.com) | <http://www.fasken.com/en/jordan-hulecki>

Fasken Martineau DuMoulin LLP  
3400, 350 - 7th Avenue SW, Calgary, Alberta T2P 3N9

**FASKEN  
MARTINEAU** 

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---

**From:** Jordan Hulecki  
**Sent:** August-24-17 10:08 AM  
**To:** Tim Clarke (timclarke@axxima.ca)  
**Cc:** 'Tiffany Bissonnette'  
**Subject:** RE: CLLAS 2016-149 (Hulecki re Rapid Rod)

Dear Mr. Clarke:

ALIA advises that they have agreed with Rapid Rod to settle this claim for the amount of \$75,000 all-inclusive. They will let me know when the matter has been finalized, and I will communicate the same to you.

Please do not hesitate to contact me with any questions or concerns.

Best regards,

--  
Jordan Hulecki | Associate

T. +1 403 261 6161 | F. +1 403 261 5351



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---

**From:** Jordan Hulecki  
**Sent:** June-07-17 12:25 PM  
**To:** Tim Clarke ([timclarke@axxima.ca](mailto:timclarke@axxima.ca))  
**Cc:** Tiffany Bissonnette  
**Subject:** RE: CLLAS 2016-149 (Hulecki re Rapid Rod)

Dear Mr. Clarke:

ALIA advises that they have been in correspondence with the claimant, who is in the process of supplying documents to quantify his claim. ALIA expects the claim to be for around \$90,000.

Please let me know if you require additional information.

Best,

---  
Jordan Hulecki | Associate  
T. +1 403 261 6161 | F. +1 403 261 5351

---

**From:** Jordan Hulecki  
**Sent:** June-07-17 9:18 AM  
**To:** Tim Clarke ([timclarke@axxima.ca](mailto:timclarke@axxima.ca))  
**Cc:** 'Tiffany Bissonnette'  
**Subject:** RE: CLLAS 2016-149 (Hulecki re Rapid Rod)

Dear Mr. Clarke:

Thank you for your correspondence. I am not aware of any recent developments; however, I have requested an update from ALIA and will advise once I have heard back from them.

Best,

---  
Jordan Hulecki | Associate  
T. +1 403 261 6161 | F. +1 403 261 5351

---

**From:** Tiffany Bissonnette [<mailto:tiffanybissonnette@axxima.ca>]  
**Sent:** June-02-17 12:35 PM  
**To:** Jordan Hulecki  
**Subject:** CLLAS 2016-149 (Hulecki re Rapid Rod)

Dear Mr. Hulecki,

Please find the attached correspondence on behalf of Tim Clarke.

Regards,

Office of the General Manager, CLLAS

*This communication (and any information or material transmitted with this communication) is confidential, may be privileged and is intended only for the use of the intended recipient. If you are not the intended recipient, any review, retransmission, conversion to hard copy, copying, circulation, publication, or other use of this communication, information or material is strictly prohibited and may be illegal. If you received this communication in error, please notify us immediately by telephone or by return email, and delete this communication, information and material from any computer, disk drive, diskette or other storage device or media.*



September 12, 2017

**Personal & Confidential**

Fasken Martineau DuMoulin LLP  
3400 First Canadian Centre  
350 7th Avenue SW  
Calgary, AB T2P 3N9

Attention: Jordan Hulecki

Dear Mr. Hulecki:

Re: Insured: Jordan Christopher Hulecki  
Claimant: Rapid Rod Service Ltd.  
Our File: 20160592 DLA (Please refer on all correspondence to us)  
Your File: Unknown

---

This letter is to notify you that the claim file we opened for you on the above noted matter has been closed. This claim has been settled for \$75,000.00.

Please note that the policy deductible in the amount of \$5,000.00 was waived for all claims reported between July 1, 1997 and June 30, 2014. Effective July 1, 2014, pursuant to a decision of the Benchers of the Law Society of Alberta, the policy deductible now applies to both defence costs and damages.

Please call me if you have any questions.

Yours truly,

Blair McGregor, CIP  
Claim Supervisor  
Direct Line: 403-541-4820  
Email: [blair.mcgregor@lawsociety.ab.ca](mailto:blair.mcgregor@lawsociety.ab.ca)  
BMC/rdw

P.S. *The insurance levy of a lawyer (not the whole firm) on whose behalf the claim is paid will be surcharged. The surcharge applies for five years.*

30% for 1 paid claim	150% for 3 paid claims
75% for 2 paid claims	300% for 4 paid claims

**Note re: Surcharge:** A surcharge will not result if:  
a) only defense expenses were paid, or b) the claim involved a protocol closing,  
or c) the claim is settled for no more than \$10,000 and it is the only claim paid  
on behalf of the lawyer in the past five years.

MEMBER OF



Enclosed: Counsel Survey  
500, 919 - 11<sup>th</sup> Avenue SW, Calgary, Alberta T2R 1P3 Tel: (403) 229-4716 / (800) 661-1694  
E-mail: [alia@lawsociety.ab.ca](mailto:alia@lawsociety.ab.ca) Website: [www.lawsociety.ab.ca](http://www.lawsociety.ab.ca)



September 12, 2017

**Personal & Confidential**

Fasken Martineau DuMoulin LLP  
3400 First Canadian Centre  
350 7th Avenue SW  
Calgary, AB T2P 3N9

Attention: Jordan Hulecki

Claim Number/ Your File Number	Claimant/ Lawyer	Examiner/ Counsel	Disposition	Claim Payment
20160592 DLA / Unknown	Rapid Rod Service Ltd. / Jordan Christopher Hulecki	Darren LaRose / N/A	Negotiated Settlement	\$75,000.00

We recently closed this file and would like your comments about how your claim was handled. Please take a moment to respond to the questions below.

1. Were you contacted promptly after reporting this claim?
2. Was the ALIA staff courteous and helpful?
3. Were you satisfied with the communication from the examiner handling your file?
4. Did you receive sufficient information about your insurance coverage and claims procedures?
5. In general, were you satisfied with the manner in which this claim was handled?
6. Comments:

MEMBER OF



500, 919 - 11<sup>th</sup> Avenue SW, Calgary, Alberta T2R 1P3 Tel: (403) 229-4716 / (800) 661-1894  
E-mail: [alia@lawsociety.ab.ca](mailto:alia@lawsociety.ab.ca) Website: [www.lawsociety.ab.ca](http://www.lawsociety.ab.ca)

**MEMORANDUM**

**DATE:** February 27, 2017  
**TO:** Sandra Mouland  
**RE:** ERRORS & OMISSIONS - REPORTING OF CLAIMS

---

**I am not aware of any such claim(s)** ☐

**I am aware of facts which might result  
in a claim(s) and which has/have not previously  
been reported as described in the attached  
memorandum** ☐

**I am aware of a previously reported claim(s) or potential  
claim (see attached status report for updating, if applicable)  
the status of which is indicated in the attached memorandum** ☒

**Name** JORDAN HUEWEL

**Signature** 

## MEMORANDUM

To: File

Date: March 9, 2016

From: Jordan C. Hulecki

File/Matter No.: 261990.19413

Client: Rapid Rod Service Ltd.

Re: Update regarding potential claim against Fasken Martineau DuMoulin LLP

This Memorandum updates the memorandum dated June 9, 2016 and designated as FMD document #90873630, which was provided to CLLAS as part of the claims reporting process. This Memorandum has been prepared for internal reporting purposes only and has not been provided to CLLAS.

### Summary

Client (Rapid Rod Service Ltd., "Rapid Rod") was entitled to liens under *Builders' Lien Act*, R.S.A. 2000, c. B-7 for unpaid work done for client's customer (Spyglass Resources Corp., "Spyglass") that is now insolvent and subject to an order pursuant to the *Companies' Creditors Arrangement Act*, R.S.C. 1985, c. C-36. Due to solicitors' error, some of these lien rights were lost.

Client subsequently settled its validly-filed lien claims with Spyglass' Receiver under the CCAA process. CLLAS was notified of the settlement. To my knowledge, no claim against FMD has been commenced. The file remains active with the Alberta Lawyers' Insurance Association ("ALIA").

### Details

As detailed in the memorandum of June 9, 2016, this potential claim concerns lien rights pertaining to certain work performed by Rapid Rod for Spyglass, which lien rights were lost as a result of my misinterpretation of documents provided by Rapid Rod and other errors.

Although I omitted to protect some of Rapid Rod's lien rights against Spyglass, I did successfully protect some others. In October 2016, Rapid Rod accepted an offer from Spyglass' Receiver to settle these successfully-protected lien claims. I informed CLLAS of this development by letter on December 14, 2016.

On December 15, 2016 I confirmed to CLLAS that the file remained active with ALIA (File No. 20160592 DLA). I have no knowledge of any further developments with respect to this claim, including any action by Rapid Rod against either FMD or ALIA.

Fasken Martineau DuMoulin LLP

Barristers and Solicitors  
Patent and Trade-mark Agents

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350 7th Avenue SW, Suite 3400  
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Canada

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1 877 336 5350 Toll-free

fasken.com



Jordan C. Hulecki  
Direct +1 403 261 6161  
jhulecki@fasken.com

December 14, 2016  
File No.: 261990.19413/19413

**By Email**

Canadian Lawyers Liability Assurance Society  
Office of the General Manager  
510, 36 Toronto Street  
Toronto, ON M5C 2C5

**Attn.: Mr. A. Timothy Clarke**

Dear Mr. Clarke:


**Re: Canadian Lawyers Liability Assurance Society (CLLAS)**  
**Insured: Fasken Martineau DuMoulin LLP (Jordan C. Hulecki)**  
**Claimant: Rapid Rod Service Ltd.**  
**CLLAS File: 2016-149**  
**LSA File: 16-0592**

I write in response to your correspondence of December 12, 2016 requesting an update on the above-noted matter. Since our last correspondence in the summer, our client Rapid Rod has settled its claims against Spyglass relating to the correctly-filed liens. Attached please find correspondence to this effect.

Please do not hesitate to contact me with any questions or concerns.

Cordially,

**FASKEN MARTINEAU DuMOULIN LLP**



Jordan C. Hulecki

/JCH  
Enclosure

261990.19413/91083502.1



## MEMORANDUM

To: Canadian Lawyers Liability Assurance Society      Date: June 9, 2016  
From: Jordan C. Hulecki      File/Matter No.: 261990.19413  
Client: Rapid Rod Service Ltd.  
Re: Details of potential claim against Fasken Martineau DuMoulin LLP

This Memorandum is provided as an attachment to the Claims Reporting Form submitted to the Canadian Lawyers Liability Assurance Society on June 9, 2016 in respect of CLLAS File No. 2016-149.

### Summary

Client (Rapid Rod Service Ltd., "Rapid Rod") was entitled to liens under *Builders' Lien Act*, R.S.A. 2000, c. B-7 for unpaid work done for client's customer (Spyglass Resources Corp., "Spyglass") that is now insolvent and subject to an order pursuant to the *Companies' Creditors Arrangement Act*, R.S.C. 1985, c. C-36. Due to solicitors' error, some of these lien rights were lost.

### Details

On November 26, 2015, Rapid Rod delivered to us approximately 90 invoices issued to Spyglass, dating back to September 2015 and corresponding to work performed on oil wells or wellsites for which payment remained outstanding. Rapid Rod had heard that Spyglass was entering receivership and requested that liens be registered immediately to preserve its priority in respect of Spyglass' debt.

On November 27, 2015, Rapid Rod informed us that Spyglass had issued them a cheque towards the invoices, and that they would provide us with a revised list of unpaid invoices. A list of October and November invoices was delivered on November 29, 2015.

I misread that list as indicating that the October invoices had been paid, when in fact they had not been paid. I submitted an inquiry to the client based on my incorrect assumption, but neither my inquiry nor the client's response awakened either me or the client to my error. As a result, I filed liens corresponding to the November invoices but not the October invoices. I also filed one lien against the incorrect title, and omitted to file a lien in respect of one November invoice that I appear to have missed.

I also omitted to file liens against fractional interests in the mineral estates corresponding to the invoices, held by parties other than Spyglass.

I realized my mistakes in May 2016 when I reviewed the liens pursuant to a request for information from counsel for Spyglass' Receiver. By that time the statutory period for filing liens in respect of the invoices had lapsed.

Rapid Rod's likely losses include (a) the amount of the October invoices, and the amount of any November invoices that I failed to lien, that could have been recovered following liquidation of Spyglass' assets under the CCAA proceeding to which Spyglass is currently subject; and (b) the amount owing to Rapid Rod that could have been recovered via builders' lien from the other fractional rights-holders in the mineral estates whose interests I omitted to lien, to the extent that that debt cannot be recovered through an action in debt or *quantum meruit*.

The nominal value of the debts that were not secured by liens due to my apparent errors is approximately \$160,000, but given what we know of Spyglass' receivership it is possible that the debts may not have been fully recoverable even had the liens been properly registered. The receivership proceeding is still ongoing; counsel to the Receiver has indicated that its opinion is under review. We have reviewed the matter and are of the view that the lien rights lost by Rapid Rod are likely not salvageable, and that there are likely to be few, if any, measures that we can take to mitigate Rapid Rod's loss as a result of these errors.

Rapid Rod has been notified of these errors and has indicated a desire that the situation be amicably resolved.

The Alberta Lawyers' Insurance Association has been notified of this claim, and they have opened File No. 20160592 DLA.

# FASKEN

Fasken Martineau DuMoulin LLP  
Barristers and Solicitors  
Patent and Trade-mark Agents

350 7th Avenue SW, Suite 3400  
Calgary, Alberta T2P 3N9  
Canada

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+1 877 336 5350  
F +1 403 261 5351  
fasken.com

March 6, 2018  
File No.: 261990.19413/19413

Jordan C. Hulecki  
Direct +1 403 261 6161  
jhulecki@fasken.com

## By Email

Canadian Lawyers Liability Assurance Society  
Suite 510, 36 Toronto Street  
Toronto, Ontario M5C 2C5

Attention: A. Timothy Clarke

Dear Mr. Clarke:

Re: Canadian Lawyers Liability Assurance Society (CLLAS)  
Insured: Fasken Martineau DuMoulin LLP (Jordan Hulecki)  
Claimant: Canadian Silica Industries Inc.  
CLLAS File: 2018-011  
LSA File: 18-0092

In response to your inquiry of March 5, 2018, there have been some recent material developments.

At ALIA's request, Fasken withdrew from representing CSI in this matter to avoid a potential conflict of interest. CSI retained Mr. Rinus de Waal to pursue its claim against Sanjel.

The issue of the limitation period was heard by Madam Justice Romaine in early February. On March 1, 2017, she released her decision (attached), in which she determined that (1) the limitation period had not expired and the BC action was filed in time; (2) the funds claimed by CSI are trust funds; and (3) the funds are traceable. In the result, Justice Romaine held that CSI was entitled to be paid the full amount of its claim of \$881,981.31. Costs are presently being negotiated.

I have been advised by counsel to ALIA that the Syndicate of Sanjel's secured creditors has until March 22 to apply for leave to appeal Justice Romaine's decision (pursuant to s. 14(2) of the CCAA). If the decision stands, it would appear (in my estimation) that CSI would have no claim against Fasken.

# FASKEN

Please do not hesitate to contact me should you have any questions or concerns.

Best regards,

**FASKEN MARTINEAU DuMOULIN LLP**

  
Jordan C. Hulecki

JCH/sm

## MEMORANDUM

To: Canadian Lawyers Liability Assurance Society      Date: August 24, 2017  
From: Jordan C. Hulecki      File/Matter No.: 261990.19413  
Client: Canadian Silica Industries Inc. ("CSI")  
Re: Potential claim relating to expiry of limitations period under s. 14 of the *Builders' Lien Act*, S.B.C. 1997, c. 45 (the "*BC BLA*")

---

Between about January 17 and February 5, 2016, CSI supplied frac sand to Sanjel Canada Ltd. ("*Sanjel*") required in connection with work performed by Sanjel on an oil and gas project in BC owned by Shell Canada Limited ("*Shell*"). Sanjel did not pay CSI for all of the sand supplied.

I was first contacted in respect of this matter in March 2016 by Mr. Rod Ferguson of our office, who asked me to perform some research concerning CSI's lien rights. I determined that BC law gave CSI no effective lien rights, but that s. 10 of the *BC BLA* did create a trust remedy in respect of funds received by Sanjel from Shell.

Sanjel entered CCAA protection on April 4, 2016 by order of the Alberta Court of Queen's Bench. As of that date, Sanjel owed CSI \$881,981.31 in unpaid principal for the BC sand deliveries. CSI's invoices to Sanjel stipulate 16% annual interest on a net 30 day basis, but Sanjel's purchase orders issued to CSI contemplate payment according to their terms, which do not include the payment of interest.

We were not instructed to take any steps in connection with the BC debt until October 2016. On October 7, 2016, I sent a letter to counsel to Sanjel and its Monitor under the CCAA proceeding (PwC, the "*Monitor*") and to Shell advising them all of CSI's trust entitlement and requesting copies of certain documentation in their possession, including the head contract and details of Shell's payments to Sanjel. We required this documentation in order to prove CSI's entitlement to a trust.

Shell initially refused to provide any documentation, and discussions with the Monitor continued intermittently for the next several months. The Monitor had difficulty locating the relevant documentation (including the head contract between Sanjel and Shell) and the details of payments received, but nonetheless committed to producing an assessment of CSI's trust entitlement.

Having received nothing by late February 2017, CSI instructed me to apply to the Court to compel the Monitor to produce the documentation and its assessment of CSI's claim. While preparing this application, I took notice of the one-year limitation period on s. 10 trust claims, created by s. 14 of the *BC BLA*. I obtained instructions from CSI and filed a claim in the BC Supreme Court on March 15, 2017 (the "*BC Claim*"), in an attempt to preserve CSI's rights

against the lapse of this limitation period. The BC Claim requested (1) a declaration that CSI was the beneficiary of a s. 10 trust and (2) an order to Sanjel and/or the Monitor to pay the trust funds to CSI, and included (3) as a prophylactic measure, claims against the Monitor and Sanjel's former directors and officers for breach of that trust. The BC Claim has not been served, but notice of the claim was provided to the Monitor on March 16, 2017 as required by the CCAA initial order.

Section 14 of the BC *BLA* provides that a claim by a beneficiary or against a trustee of a s. 10 trust must be brought within one year of the completion, termination or abandonment of the head contract (i.e., the contract between Shell and Sanjel). As of March 15, 2017, the Monitor and Shell had yet to locate and provide the head contract, and I had no information as to the relevant dates (of completion, termination or abandonment). As of March 15, I also had yet to receive any information as to the flow of funds between Shell and Sanjel, or any details of the funds' handling by Sanjel and the Monitor after receipt.

Shell finally provided a copy of the head contract on April 24, 2017. It was not an agreement specific to the BC improvement, but was rather a master services agreement that required Sanjel to provide stipulated services to Shell upon Shell's request. The contract expressly specified a completion date of June 30, 2016.

A court application (on June 22, 2017) was necessary before the Monitor finally provided its assessment of CSI's trust claim. In its report (issued July 24, 2017 and filed July 26, 2017), the Monitor essentially concluded that Sanjel had received from Shell and held in trust sufficient funds to repay CSI. However, the Monitor also stated that Sanjel's work for Shell under the head contract was completed on February 5, 2016, and that the BC Claim had therefore been brought more than one year after that date (on March 15, 2017).

If Sanjel is found to be holding funds in trust for CSI, then CSI's claim to those funds will rank in priority to Sanjel's secured creditors. In correspondence dated August 6, 2017, counsel to the Syndicate of Sanjel's senior secured creditors (mostly banks) took the position that the BC Claim is statute-barred, implying that she considers February 5, and not June 30, to be the relevant date for purposes of the limitations period under s. 14 of the BC *BLA*.

The current state of the matter is as follows:

- Sanjel remains under CCAA protection, and the stay of proceedings against it has been extended to December 31, 2017.
- The BC Claim has not been served on any party. However, all parties to Sanjel's CCAA proceeding are aware of the claim.
- CSI has filed an application in the CCAA proceeding requesting (1) that the Court order payment to CSI of any funds held in trust for it by Sanjel or by the Monitor on Sanjel's

behalf, or in the alternative (2) leave to continue the BC Claim so that CSI can prove its trust. This application has been adjourned *sine die*.

- CSI is aware of the potential limitations issue. They noticed the Monitor's conclusion on the point in its July 24 report and asked me if there was "any chance" of the limitations argument being successful. Based on my understanding of the law at the time, I responded that "I don't think it's a significant risk". I briefly explained the contrary argument that the June 30 date was the correct one for limitations purposes, and advised CSI that "we have a strong position that we had until June 30, 2017 to commence" the BC Claim.
- I have instructions to negotiate with the Syndicate and the Monitor to resolve this matter without the need for further litigation. Should negotiations fail, I have instructions to resume CSI's application to the CCAA Court seeking payment or, in the alternative, leave to continue the BC Claim for a declaration of trust.
- CSI has instructed me to discontinue the BC Claim against Sanjel's former directors and officers for breach of trust, and I am in the process of doing so. The facts set out in the Monitor's report indicate that there has been no breach of trust, and in my opinion the claims against the former directors and officers are not sustainable. The directors and officers have threatened costs claims if they are not released.
- I notified the Alberta Lawyers' Insurance Association, our primary insurer, of this potential claim on August 17, 2017. They have opened file number 20180092 DLA in respect of the matter.

**MEMORANDUM**

DATE: March 8, 2018  
TO: Sandra Mouland  
RE: ERRORS & OMISSIONS - REPORTING OF CLAIMS

---

I am not aware of any such claim(s) ☐

I am aware of facts which might result  
in a claim(s) and which has/have not previously  
been reported as described in the attached  
memorandum ☐

I am aware of a previously reported claim(s) or potential  
claim (see attached status report for updating, if applicable)  
the status of which is indicated in the attached memorandum  
- Please see memo appended to J. Hulecki's report ☒

Name D. Bob

Signature [Signature]



**MEMORANDUM**

DATE: March 8, 2018  
TO: Sandra Mouland  
RE: ERRORS & OMISSIONS - REPORTING OF CLAIMS

---

I am not aware of any such claim(s) [ ]

I am aware of facts which might result  
in a claim(s) and which has/have not previously  
been reported as described in the attached  
memorandum [ ]

I am aware of a previously reported claim(s) or potential  
claim (see attached status report for updating, if applicable)  
the status of which is indicated in the attached memorandum [4]

Name Clarke Barnes  
Signature [Signature] March 14/18

Per attached LSA email,  
LSA has closed its file.  
(9)

**Clarke Barnes**

---

**From:** Anna Lerch <Anna.Lerch@lawsociety.ab.ca>  
**Sent:** August-17-17 9:17 AM  
**To:** Clarke Barnes  
**Subject:** RE: Barnes / Parker Hannifin et al; ALIA File 20160360ALE

Hi Clarke,

Thank you for your email. I will go ahead and close the file. Please let me know if you do hear anything further about this matter.

Thanks again,

Anna

**ANNA LERCH**  
Claims Examiner  
403.930.7200

---

**From:** Clarke Barnes [mailto:clbarnes@fasken.com]  
**Sent:** August-16-17 6:13 PM  
**To:** Anna Lerch <Anna.Lerch@lawsociety.ab.ca>  
**Subject:** RE: Barnes / Parker Hannifin et al; ALIA File 20160360ALE

Hi Anna I've heard nothing. I would close it

Clarke D. Barnes

On Aug 16, 2017 4:36 PM, Anna Lerch <[Anna.Lerch@lawsociety.ab.ca](mailto:Anna.Lerch@lawsociety.ab.ca)> wrote:

Hi Clarke,

I am just following up on this matter. Have you heard anything about this potential claim? If not, I think we can go ahead and close the file. We can always reopen it if a claim ever does develop. Please let me know.

Thank you,

Anna

**ANNA LERCH**

Claims Examiner

403.930.7200

---

**From:** Anna Lerch

**Sent:** April-04-17 2:59 PM

**To:** Clarke Barnes <[clbarnes@fasken.com](mailto:clbarnes@fasken.com)>

**Subject:** RE: Barnes / Parker Hannifin et al; ALIA File 20160360ALE

Hi Clarke,

Thank you for your email. I think no news is good news. I will continue to keep the file open pending the development of a claim. Please let me know if you do hear anything about this matter.

Thanks again,

Anna

**ANNA LERCH**

Claims Examiner

403.930.7200

---

**From:** Clarke Barnes [<mailto:clbarnes@fasken.com>]

**Sent:** April-04-17 10:35 AM

**To:** Anna Lerch

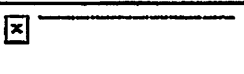
**Subject:** RE: Barnes / Parker Hannifin et al; ALIA File 20160360ALE

Rob advises he also has heard nothing.

Clarke Barnes | Co-Managing Partner - Alberta

T. +1 403 261 5374 | M. +1 403 471 7314 | F. +1 403 261 5351  
[clbarnes@fasken.com](mailto:clbarnes@fasken.com) | <http://www.fasken.com/en/clarke-barnes>

Fasken Martineau DuMoulin LLP  
3400, 350 - 7th Avenue SW, Calgary, Alberta T2P 3N9



VANCOUVER    CALGARY    TORONTO    OTTAWA    MONTRÉAL    QUÉBEC CITY    LONDON    JOHANNESBURG

---

**From:** Anna Lerch [<mailto:Anna.Lerch@lawsociety.ab.ca>]  
**Sent:** April-04-17 9:52 AM  
**To:** Clarke Barnes  
**Subject:** RE: Barnes / Parker Hannifin et al; ALIA File 20160360ALE

Thanks Clarke.

**ANNA LERCH**

Claims Examiner

403.930.7200

---

**From:** Clarke Barnes [<mailto:clbarnes@fasken.com>]  
**Sent:** April-04-17 9:43 AM  
**To:** Anna Lerch  
**Subject:** RE: Barnes / Parker Hannifin et al; ALIA File 20160360ALE

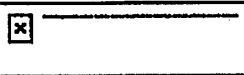
Hi Anna, I have not heard anything on it since the motion to have our lawyer examined was dismissed, I will ask Rob Maxwell if he is aware of anything.

—

Clarke Barnes | Co-Managing Partner - Alberta

T. +1 403 261 5374 | M. +1 403 471 7314 | F. +1 403 261 5351  
[clbarnes@fasken.com](mailto:clbarnes@fasken.com) | <http://www.fasken.com/en/clarke-barnes>

Fasken Martineau DuMoulin LLP  
3400, 350 - 7th Avenue SW, Calgary, Alberta T2P 3N9



VANCOUVER    CALGARY    TORONTO    OTTAWA    MONTRÉAL    QUÉBEC CITY    LONDON    JOHANNESBURG

---

**From:** Anna Lerch [<mailto:Anna.Lerch@lawsociety.ab.ca>]  
**Sent:** March-30-17 5:23 PM  
**To:** Clarke Barnes  
**Subject:** RE: Barnes / Parker Hannifin et al; ALIA File 20160360ALE

Clarke,

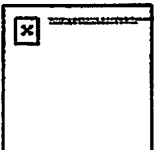
I am just wondering if you have heard anything further regarding this potential claim. Please let me know.

Thank you,

Anna

**ANNA LERCH**

Claims Examiner



500, 919 – 11<sup>th</sup> Avenue SW Calgary, Alberta T2R 1P3

Direct: 403.930.7200 | Main: 403.229.4716

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**MEMORANDUM**

DATE: February 27, 2017  
TO: Sandra Mouland  
RE: ERRORS & OMISSIONS - REPORTING OF CLAIMS

---

I am not aware of any such claim(s) ☐

I am aware of facts which might result  
in a claim(s) and which has/have not previously  
been reported as described in the attached  
memorandum ☐

I am aware of a previously reported claim(s) or potential  
claim (see attached status report for updating, if applicable)  
the status of which is indicated in the attached memorandum ☒

Name Clarke Barnes

Signature [Signature]

## MEMORANDUM

To: Sandra Mouland

Date: March 6, 2017

From: Clarke Barnes

File/Matter No.: 309555.00328


Client: Parker Hannifin Canada

Re: Errors & Omissions Reporting

I attach, in support of my claims report, a copy of correspondence sent to ALIA regarding a potential claim of Phoenix Precision Ltd. regarding our representation of Parker Hannifin Canada and Parker Hannifin Corporation.

I was not personally involved in the matter - Katherine Rubin was the primary lawyer involved (with assistance from Stuart Blyth). Katherine has left our firm, I filed the ALIA report following the report filed by Rob Maxwell in regard to Janice Javier (ALIA requires a report to be in connection with an Alberta lawyer - Janice is an Ontario solicitor).

Counsel for Phoenix brought an application to have Fasken lawyers attend a questioning, which application was dismissed in June of 2016. To my knowledge no further steps involving Fasken have occurred. We believe the risk of liability is low.





Clarke Barnes  
Direct 403 261 5374  
clbarnes@fasken.com

June 7, 2016  
File No.: 285287.00001/18419

**Via Courier**

Alberta Lawyer's Insurance Association  
500, 919 - 11<sup>th</sup> Avenue SW  
Calgary, AB T2R 1P3

Attention: Anna Lerch, B.A., J.D.  
Claims Examiner

Dear Sirs:

**Re: Phoenix Precision Ltd. and 840501 Alberta Limited v. Parker Hannifin  
Canada and Parker Hannifin Corporation  
Court of Queen's Bench File No. 1501-03205**

Please find enclosed the following documents with respect to the above noted matter:

1. ALIA New Claim Report; —
2. Application filed on April 21, 2016; and
3. Copy of correspondence to Paula Haney from Robert D. Maxwell dated January 22, 2016. —

I trust the enclosed to be in order. Should you need any further information, please do not hesitate to contact me.

Yours truly,

**FASKEN MARTINEAU DuMOULIN LLP**

Clarke Barnes

CDB/nd  
Enclosure

**TO: The Alberta Lawyers Insurance Association (ALIA)**

c/o The Law Society of Alberta  
500, 919 - 11th Avenue S.W.  
Calgary, Alberta T2R 1P3.  
Telephone: (403) 229-4716, 1-800-661-1694  
Fax: (403) 244-3072, E-mail: [ALIA@lawsociety.ab.ca](mailto:ALIA@lawsociety.ab.ca)

***To ensure a timely investigation of this potential claim, please return this form along with relevant documentation within three (3) weeks to our CALGARY Office.***

The personal information collected from you will be used by the Alberta Lawyers Insurance Association (ALIA) for one or more purposes contemplated by the Legal Profession Act, the Rules of the Law Society, the Code of Conduct, or a resolution of the Benchers and will be accessible to all departments of the Law Society. The information may be used or disclosed by ALIA, now or in the future, for regulatory purposes, including Law Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact ALIA @ (403) 229-4716.

**CONFIDENTIAL****New Claim Report****ALIA File:** 20160360ALE**LAWYER INFORMATION**

Lawyer: Clarke Barnes	Roll No.: 9235	Year Called to Bar: 1992	File No.: 209555 .00328
Law Firm Name: Fasken Martineau DuMoulin LLP			
Current Occupation: Lawyer			
What firm were you with at the time the alleged error occurred? Fasken Martineau DuMoulin LLP			

**BUSINESS INFORMATION**

Business Address: 3400, 350 - 7th Avenue SW Calgary, AB T2P 3N9	
Business Phone: 403.261.5374	Business Fax: 403.261.5351
Business E-Mail: <a href="mailto:clbarnes@fasken.com">clbarnes@fasken.com</a>	

**PERSONAL INFORMATION**

Home Address: 211 Springmere Close Chestermere, AB T1X 1K1	
Home Phone: 403.263.8060	Cell Phone: 403.471.7314

### CLAIMANT INFORMATION

Name of Claimant:	Phoenix Precision Ltd. and 840501 Alberta Ltd.
Address:	900, 517 - 10th Avenue SW
Telephone:	
Claimant's Lawyer:	Terry Czechowskyj
Firm:	Miles Davison LLP
Telephone:	403.298.0326

Name of Client, if different from Claimant:	Parker Hannifin Canada and Parker Hannifin Corporation
Length of time you have acted for the Client:	Many Years
Is/was there a solicitor/client relationship between you/the firm and the claimant? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "No", explain: FMD acted for Parker Hannifin as a vendor of certain assets	
Is claimant aware of the potential problem? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Are you continuing to represent the claimant? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "No", where is the file?	
Have your fees been paid? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	

### CLAIM INFORMATION

When did the alleged error occur? Day: <u>7<sup>th</sup></u> Month: <u>January</u> Year: <u>2014</u>
Is this a Real Estate 'Protocol' claim? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <i>(A surcharge may not result if this claim involved a Real Estate protocol closing)</i>
How were you made aware of the potential claim? Correspondence from Plaintiffs' counsel.
When were you made aware of the potential claim against you? Letter of January 19, 2016
When were you first put on notice (written and/or oral) of a claim? <i>If you received written notice or statement of claim, please attach a copy.</i> January 19, 2016
Aside from a solicitor-client relationship, do you have an ownership, financial, or business interest in the client or claimant? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", please elaborate
Does this claim arise out of the claimant/client doing business with an entity in which you have an ownership, financial or business interest? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", please elaborate
Is there any proceeding (such as a foreclosure, repossession, application or defence) requiring urgent attention? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", by when?
Estimate the amount of the claim that may be presented against you: \$ Unknown
In your opinion, the likelihood of liability is: Unlikely <input checked="" type="checkbox"/> Possible <input type="checkbox"/> Probable <input type="checkbox"/> Definite <input type="checkbox"/>
Please identify other parties who may be involved in the dispute, e.g. real estate agent, bank, appraisers, tortfeasors, insurers: Not applicable.
List all staff members directly involved in the matter out of which the alleged error arose, indicating position (partner, associate, articling Student, legal assistant, secretary): Stuart Blyth, Partner, Clarke Barnes, Partner, Janice Javier, Partner and Katherine Rubin, Associate

### OTHER

What percentage of your practice was devoted to this area of law at that time?	20 %
How long had you been practicing in this area of law at the time?	27 Years
Does your Firm carry Excess of the \$1,000,000 Mandatory Coverage: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Insurer _____ Policy # _____	

**\*\*\* Please specify the Area of Law, Error / Omission, Cause of Loss  
and Client number in the area provided \*\*\***

<u>Area of Law</u>	
<b>1 Real Estate Conveyancing</b>	<b>6 Estate Planning and Administration</b>
1.1 Unspecified	6.1 Unspecified
1.2 Residential	6.2 Wills
1.3 Condominium	6.3 Estate Planning
1.4 Commercial	6.4 Estate Administration
1.5 Farm	6.5 Trusts
1.6 Other	6.6 Power of Attorney
	6.7 Committeeships / Dependent Adult Act
	6.8 Other
<b>2 Civil Litigation</b>	<b>7 Aboriginal</b>
2.1 Unspecified	<b>8 Administrative/Boards/Tribunals</b>
2.2 Personal Injury, automobile	8.1 Unspecified
2.3 Personal Injury, other	8.2 Health Law
2.4 Medical Malpractice	8.3 Human Rights
2.5 Professional Malpractice, other	8.4 Workers Compensation
2.6 Wrongful Dismissal	8.5 Law Society
2.7 Liens	8.5.1. Law Society - Custodian
2.8 Creditor Remedies	8.5.2. Law Society - Mentor
2.9 Insurance Contract	8.6 Other
2.10 Commercial	<b>9 Admiralty</b>
2.11 Landlord & Tenant	<b>10 Arbitration</b>
2.12 Charter	<b>11 Aviation</b>
2.13 Foreclosure	<b>12 Bankruptcy/Insolvency/Receivership</b>
2.14 Other	<b>13 Criminal</b>
<b>3 Commercial</b>	13.1 Unspecified
3.1 Unspecified	13.2 Driving & Traffic Offenses
3.2 Construction Contracts	13.3 Young Offenders
3.3 International Business	13.4 Other
3.4 Lease	<b>14 Employment/Labour</b>
3.5 Loans, Financing	<b>15 Entertainment</b>
3.6 Natural Resources (Oil & Gas, Mining, etc.)	<b>16 Environmental</b>
3.7 Purchase/Sale of Business	<b>17 Immigration</b>
3.8 Other	<b>18 Intellectual Property (Includes Patent, Trademark, Copyright, Software Licensing)</b>
<b>4 Corporate</b>	<b>19 Mediation</b>
4.1 Unspecified	<b>20 Tax</b>
4.2 Corporate Governance	20.1 Unspecified
4.3 Incorporation	20.2 Corporate
4.4 Reorganization/Amalgamation	20.3 Personal/Family
4.5 Securities	20.4 Estate/Trust
4.6 Shareholder Agreement	20.5 Other
4.7 Other	<b>21 Other</b>
<b>5 Matrimonial and Family</b>	
5.1 Unspecified	
5.2 Divorce	
5.2.1. Property Settlement	
5.2.2. Custody	
5.2.3. Maintenance	
5.2.4. Pension Issues	
5.3 Separation	
5.4 Adoption	
5.5 Other	

## Error / Omission

- |   |   |
|---|---|
| <b>1 Limitation/Deadlines</b> <ul style="list-style-type: none"><li>1.1 Statutory</li><li>1.2 Contractual</li><li>1.3 Procedural</li><li>1.4 Other</li></ul> <b>2 A) Procedural</b> <ul style="list-style-type: none"><li>2.1 Defective documentation</li><li>2.2 Search</li><li>2.3 Failure to advance litigation</li><li>2.4 Failure to proceed expeditiously</li><li>2.5 Failure to appear on behalf of client</li><li>2.6 Registering/filing</li><li>2.7 Inadequate investigation</li><li>2.8 Error in choice of procedures</li></ul> <b>B) Real Estate only</b> <ul style="list-style-type: none"><li>2.9 Survey/R.P.R./other professional certificate/opinion</li><li>2.10 *Registry Office Search</li><li>2.11 *Searches other than Registry Office</li><li>2.12 Failure to sub-search</li><li>2.13 Lack of independent verification, reliance on others</li><li>2.14 Protocol</li></ul> | <b>3 Advice &amp; Protection</b> <ul style="list-style-type: none"><li>3.1 Title problems/dispute</li><li>3.2 Prior Encumbrances or charges</li><li>3.3 Outstanding interests</li><li>3.4 Deficient Security</li><li>3.5 Advice incorrect</li><li>3.6 Advice inadequate</li><li>3.7 Failure to protect client's interest</li><li>3.8 Failure to protect non-client's interest</li><li>3.9 Failure to refer for independent advice</li><li>3.10 Inadequate independent advice</li><li>3.11 Conduct of Trial/Hearing</li></ul> <b>4 Trust Conditions or undertaking</b> <ul style="list-style-type: none"><li>4.1 Non compliance by insured</li><li>4.2 Non compliance by other lawyer</li><li>4.3 In dispute</li><li>4.4 Unclear/inappropriate trust conditions</li><li>4.5 Failure to impose trust conditions</li></ul> <b>5 Malicious Prosecution</b><br><b>6 Defamation</b><br><b>7 Other</b> <input type="text"/><br><b>8 No Fault of the Lawyer</b> <ul style="list-style-type: none"><li>8.1 No Fault of the Lawyer</li></ul> <b>9 Theft by Insured</b> <ul style="list-style-type: none"><li>9.1 Theft by Insured</li></ul> |
|---|---|

## Cause of Loss

- |   |   |
|---|---|
| <b>1 Systems/Procedures/Administrative</b> <ul style="list-style-type: none"><li>1.1 Failure to follow up</li><li>1.2 Diary system error</li><li>1.3 Inadequate office systems</li><li>1.4 Failure to memo file</li><li>1.5 Procrastination</li><li>1.6 Clerical/mathematical error</li><li>1.7 Overwork</li><li>1.8 Inadequate review/preparation</li></ul> <b>2 Communication</b> <ul style="list-style-type: none"><li>2.1 Failure to follow client's instructions</li><li>2.2 Disputed instructions</li><li>2.3 Disputed retainer</li><li>2.4 Perceived limited retainer</li><li>2.5 Poor communication with clients</li><li>2.6 Poor communication with others</li><li>2.7 Failure to confirm instructions/advice</li><li>2.8 Failure to obtain client's consent</li><li>2.9 Unable to contact client</li><li>2.10 Client remorse after settlement</li></ul> <b>3 Delegation/Supervision</b> <ul style="list-style-type: none"><li>3.1 Delegated to lawyer/student</li><li>3.2 Delegated to non lawyer employee</li><li>3.3 Delegated to outsider</li><li>3.4 Delegation - Poor communication/poor supervision</li></ul> | <b>4 Law</b> <ul style="list-style-type: none"><li>4.1 Failure to know the law</li><li>4.2 Failure to know the limitation/deadline</li><li>4.3 Failure to properly apply the law</li><li>4.4 Failure to address tax implications</li></ul> <b>5 Conflict</b> <ul style="list-style-type: none"><li>5.1 Representing two or more parties</li><li>5.2 Unrepresented party</li><li>5.3 Personal/Financial interest in transaction</li><li>5.4 Other</li><li>5.5 Claim by opposing party</li></ul> <b>6 Fee Dispute</b><br><b>7 Fraud by client/other party</b> <ul style="list-style-type: none"><li>7.1 Mortgage Fraud</li><li>7.2 Investment Schemes</li></ul> <b>8 Other</b> <input type="text"/><br><b>9 Misappropriation</b><br><b>10 Wrongful Conversion</b> |
|---|---|

**Client**

- |                               |                                    |
|-------------------------------|------------------------------------|
| 1 Purchaser                   | 21 Landlord                        |
| 2 Vendor                      | 22 Tenant                          |
| 3 Builder/Developer           | 23 Employee                        |
| 4 Contractor/Sub Contractor   | 24 Employer                        |
| 5 Lender/Creditor             | 25 Testator                        |
| 6 Borrower/Debtor             | 26 Beneficiary                     |
| 7 Guarantor                   | 27 Settlor                         |
| 8 Receiver/Bankruptcy/Trustee | 28 Executor                        |
| 9 Plaintiff                   | 29 Estate                          |
| 10 Defendant                  | 30 Trustee                         |
| 11 Third Party                | 31 Committee/Attorney              |
| 12 Applicant                  | 32 Accused                         |
| 13 Insurer                    | 33 Charity/Non-Profit organization |
| 14 Husband/Father             | 34 Band                            |
| 15 Wife/Mother                | 35 Taxpayer                        |
| 16 Corporation                | 97 Interested Party                |
| 17 Limited Partnership        | 98 Government/Municipality         |
| 18 Partnership                | 99 Other                           |
| 19 Shareholder                |                                    |
| 20 Investor                   |                                    |

**Please Elaborate:**

### BRIEF PRECIS OF CLAIM

Please relate all relevant facts pertaining to this claim in chronological order. (Attach a separate sheet if necessary.)

See Robert Maxwell's letter to Paula Haney dated January 22, 2016.

How could this claim/potential claim have been prevented?

### DOCUMENTS / SIGNATURE

The following documents are enclosed:

Correspondence ☐ Statement of Claim ☐ Other ☒ Application

**THIS REPORT IS MADE IN CONTEMPLATION OF LITIGATION.**

Signature of Lawyer: \_\_\_\_\_ Date: \_\_\_\_\_

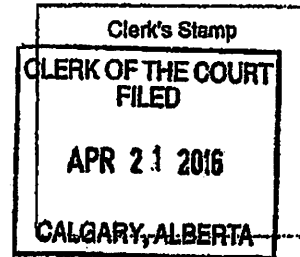
Name of Managing Partner (where applicable): \_\_\_\_\_

**NOTE:** Be sure to keep a complete copy of your entire file.

In addition, we are obliged to advise you that the Benchers have resolved that with respect to professional liability insurance claims, claim files may be made available to any department of the Law Society of Alberta.



COURT FILE NUMBER	1501-03205
COURT	COURT OF QUEEN'S BENCH OF ALBERTA
JUDICIAL CENTRE	CALGARY
PLAINTIFFS (Applicants)	PHOENIX PRECISION LTD. AND 840501 ALBERTA LIMITED
DEFENDANTS (Respondents)	PARKER HANNIFIN CANADA and PARKER HANNIFIN CORPORATION
DOCUMENT	APPLICATION BY PLAINTIFFS FOR ORDER COMPELLING OFFICERS, EMPLOYEES, AND COUNSEL FROM FASKEN MARTINEAU DUMOULIN LLP, AS FORMER COUNSEL FOR DEFENDANTS, TO ATTEND AT QUESTIONING
PARTY FILING THIS DOCUMENT	PHOENIX PRECISION LTD. AND 840501 ALBERTA LIMITED
ADDRESS FOR SERVICE AND CONTACT INFORMATION OF PARTY FILING THIS DOCUMENT	<b>MILES DAVISON LLP</b> Centre 10, Suite 900 517- 10th Avenue S.W. Calgary, Alberta T2R 0A8  <b>Attention: Terry Czechowskyj</b> Phone: (403) 298-0326 Fax: (403) 263-8840 Email: tczech@milesdavison.com File No. 40071



**NOTICE TO RESPONDENTS:**

**Parker Hannifin Canada and Parker Hannifin Corporation and Fasken Martineau DuMoulin LLP**

This application is made against you. You are the respondents.

You have the right to state your side of this matter before the judge.

To do so, you must be in Court when the application is heard as shown below:

Date	Monday June 13, 2016
Time	10:00 A.M.
Where	Calgary Courts Centre, 601-5 Street S.W., Calgary, Alberta
Before Whom	The Honourable Madam Justice S.L. Martin

Go to the end of this document to see what else you can do and when you must do it.

**Remedy claimed or sought:**

1. An Order compelling the Respondents to produce for questioning in the within action the following officers, management, employees of and counsel for the Respondents: Thomas Williams, John Greco, Dan Hartnett, Adam Kratzert, Kristen Gest, Katherine Rubin, Janice Javier, Clarke Barnes, Jon Martin, Dave Gordon, Craig Beckwith, Lynn Moline and Austin Major;
2. Such further and other relief as the Court sees fit to grant in this matter; and
3. Costs of the Application.

**Grounds for making this application:**

4. The officers, management, employees and counsel for the Respondents who are proposed to be questioned have relevant and material evidence relating to the issues raised in the within action.
5. Former counsel for the Respondents dealt directly with officers of the Applicants in connection with the drafting and execution of the agreements at issue in the within action and have relevant and material evidence relating to the issues raised in the action.

**Material or evidence to be relied on:**

6. Affidavit sworn by Cristen Cline on April 14, 2016;
7. The pleadings filed in this action.

**Applicable rules:**

*Alberta Rules of Court*, Rule 5.17 (1)(d), Rule 5.29 and Rule 4.14 (1)(c)(f)

**Applicable Acts and regulations:**

*Judicature Act section 8, RSA 2000 and amendments thereto*

**Any irregularity complained of or objection relied on:**

N/A

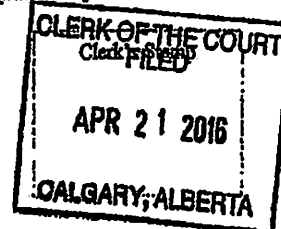
**How the application is proposed to be heard or considered:**

In person.

**WARNING**

If you do not come to Court either in person or by your lawyer, the Court may give the applicant(s) what they want in your absence. You will be bound by any order that the Court makes. If you want to take part in this application, you or your lawyer must attend in Court on the date and at the time shown at the beginning of the form. If you intend to rely on an affidavit or other evidence when the application is heard or considered, you must reply by giving reasonable notice of the material to the applicant.

Form 10  
[Rule 3.25]



COURT FILE NUMBER 1501- 03205  
COURT COURT OF QUEEN'S BENCH OF ALBERTA  
JUDICIAL CENTRE CALGARY  
PLAINTIFF PHOENIX PRECISION LTD. and  
840501 ALBERTA LIMITED  
DEFENDANT PARKER HANNIFIN CANADA and  
PARKER HANNIFIN CORPORATION  
DOCUMENT AFFIDAVIT RESPECTING QUESTIONING  
ADDRESS FOR MILES DAVISON LLP  
SERVICE AND 900, 517 10 Avenue SW  
CONTACT Calgary, Alberta T2R 0A8  
INFORMATION OF Attn: Terry Czechowskji  
PARTY FILING THIS Ph: 403-298-0326  
DOCUMENT Fax: 403-263-6840  
File: 40071

*April 14,*

Affidavit of Cristen Cline – Sworn on February 2, 2016.

I, Cristen Cline, swear and say that:

1. I am a representative of the Plaintiff and have been authorized to swear this affidavit. As such I have personal knowledge of the facts and matters hereinafter deposed to, save where stated to be based upon information and belief. And where so stated, I believe the same to be true.
2. Peter Hutton and I conducted all meetings, negotiations, drafting of agreements, correspondence, e-mail communication and telephone communication with the representatives of the Defendant that are described herein.
3. Defendant utilized a "team approach" in negotiating, documenting and consummating the transaction at issue, as well as in addressing and not addressing issues afterwards. Varying individuals had responsibility for specific areas of the negotiations with Thomas L. "Tom" Williams being the ultimate authority for Defendant on this transaction. Mr. Williams had oversight on, and responsibility for, the entirety of the transaction from Defendant's evaluation of Plaintiff as an acquisition target, to its expressions of interest to Plaintiff, its negotiation of the purchase agreements, its closing

of the purchase agreements and to its failure after closing to honor in-place agreements and act in good faith and deal fairly with Plaintiff. Attached as Exhibit "A" hereto is a letter from Tom Williams to Plaintiff dated October 17, 2014 wherein Mr. Williams refers the issues raised by Plaintiff back to his "team."

4. The designation of only two (2) witnesses as conditionally offered by Defendant (in addition to the compulsory corporate representative) would not be productive towards establishing the evidence required to satisfy the allegations pled against Defendant. Attached as Exhibit "B" hereto is correspondence from Counsel for Defendant to Counsel for Plaintiff of November 18, 2015 conditionally offering potential witnesses for questioning in this matter.
5. Each witness for questioning listed hereinafter has material and relevant evidence as is set forth herein that relates to the issues pled in the statement of claim. Plaintiff requires to examine each of these witnesses.
6. I have been informed by Peter Hutton as to the contact he has had with the following witnesses. Peter Hutton and I, on behalf of the Plaintiff, dealt directly with the following witnesses by e-mail, phone, and attendance at meetings:

- a. **Thomas L. "Tom" Williams** – Executive Vice President and Chief Operating Officer of Parker Hannifin Corporation at the time of the transaction

Mr. Williams has material and relevant evidence in this matter. He was the ultimate operational authority for the Defendant on this transaction with oversight on, and responsibility for, the entirety of the transaction.

Mr. Williams met with Defendant's Board of Directors to obtain its approval of the transaction and had multiple discussions and meetings with Mr. Greco and other members of the Instrumentation Group, including Reggie Rasata, the Global Business Development Manager, to evaluate, discuss and approve the transaction. Mr. Williams met personally with me and Peter Hutton on November 19 to 22, 2013 in Cleveland, Ohio, at which time Mr. Williams discussed with us the transaction, and specifically Mr. Hutton's employment with Defendant.

Mr. Williams was the principal decision maker respecting Defendant's failure to act in good faith and deal fairly with Plaintiff after closing, including Defendant's refusal to pay the full purchase price for the transaction (including the asset election cost, balance sheet and foreign exchange adjustments), the non-compete payments, or the employment payments or to release the funds from escrow. Exhibit "C" attached hereto are the letters from Plaintiff to Mr. Williams in this regard.

Defendant's affidavit of records demonstrates Mr. Williams' material and relevant knowledge on the issues pled in this matter, including multiple presentations made to Mr. Williams for approval of the transaction and Mr. Williams' approval of the transaction as shown in the Defendant's affidavit of production items 105, 157, 158, 160, 166, 177, 179, 404, 405, 464, and 1061, which represent a sampling of Mr. Williams' knowledge on issues pled and are attached hereto as Exhibit "D."

Mr. Williams has direct knowledge with respect to the following issues pled:

- (1) Defendant's good faith negotiation of the purchase agreements (as hereinafter defined);
- (2) Defendant's authority to enter into, and its ability to fulfill its obligations under, the purchase agreements, specifically including its authority and ability to pay the purchase price agreed;
- (3) Defendant's intent to fulfill its obligations under the purchase agreements;
- (4) Defendant's failure and/or refusal to act in good faith and deal fairly with Plaintiff;
- (5) Defendant's misrepresentations and breach of the purchase agreements, with specific and actual knowledge as to Defendant's failure and/or refusal to:
  - (a) pay the full purchase price for the assets of Plaintiff, including the closing and post-closing adjustments to the purchase price;
  - (b) release the escrowed funds to Plaintiff;
  - (c) make payments due for transition services and non-compete obligations;
  - (d) make whole and indemnify Plaintiff against liabilities arising from Defendant's election to treat a share purchase transaction with Plaintiff as an asset purchase transaction for Defendant's convenience or modify the structure of the transaction from a share purchase to an asset purchase;
  - (e) produce co-planar manifolds at Plaintiff's Alberta facility;

(f) honor the Employment Agreement between Peter Hutton and Defendant; and

(g) employ me post closing.

(6) Defendant's failure to act in accordance with its widely published commitment to ethical business practices, including the duty to act in good faith and honestly with third parties, in respect to Plaintiff.

b. John Greco – Vice President of Parker Hannifin Corporation and President, Instrumentation Group

Mr. Greco has material and relevant evidence in this matter. Mr. Greco reports directly to Tom Williams. Mr. Greco was directly involved in the identification and evaluation of Plaintiff's business as an acquisition target and acted as a primary contact for Plaintiff with respect to the transaction. He managed every aspect of the transaction including the negotiation, structuring, documentation, execution and delivery of the expressions of interest, the Asset Purchase Agreement, and the January 7, 2014 agreements (collectively the "purchase agreements"); the closing of the transaction; and the Defendant's failure after closing to honor the purchase agreements and to act in good faith and deal fairly with Plaintiff.

Mr. Greco met with me and Peter Hutton to discuss and negotiate the transaction in Kalispell, Montana from October 8 to 9, 2012; in Tulsa, Oklahoma from November 7 to 9, 2012; in Houston, Texas on November 24 to 29, 2012 and March 4 to 6, 2014; in Calgary, Alberta from August 5 to 7, 2013; in Cleveland, Ohio on May 1 to 4, 2013, June 16 to 19, 2013, September 11 to 13, 2013, October 19 to 20, 2013, October 23 to 25, 2013, and November 19 to 22, 2013, and in Lake Havasu City, Arizona from February 17 to 19, 2014, and had numerous and various telephone calls and email communications with me and Peter Hutton.

Mr. Greco had discussions, communications and meetings with Tom Williams and members of Defendant's corporate global mergers and acquisitions staff, including Shawn Horner and Robin Davenport, and inside legal staff, to evaluate, discuss, and structure the transaction and to obtain the requisite approvals for the transaction, including approvals by Defendant's Board of Directors, Chief Executive officer and Chief Financial Officer.

Mr. Greco had discussions, communications, and meetings with members of the Instrumentation Group, including, Dan Hartnett, Dave Gordon, Reggie Rasata, Nate Jackson, Adam Kratzert, Tom Dudley, and Roger Palmer, and with Defendant's inside legal counsel, including Todd Berger and Kristen Gest, to evaluate and discuss the structure, documentation and closing of the transaction.

Mr. Greco had discussions, communications, and meetings with Tom Williams and members of the Instrumentation Group, including, Dan Hartnett, Adam Kratzert, Craig Beckwith, Nate Jackson, and Rachel Morales, and inside legal counsel, including, Joseph Leonti and Angela Simmons, in regards to Defendant's refusal and/or failure to honor the terms of the purchase agreements or to act in good faith and deal fairly with Plaintiff after closing, including Defendant's refusal to pay the full purchase price for the transaction (including the asset election cost, balance sheet and foreign exchange adjustments), the non-compete payments, or the employment payments or to release the funds from escrow

Defendant's current affidavit of records demonstrates Mr. Greco's close involvement in the matter and in the decision-making process for the transaction both before and after the closing as set out in the Defendant's affidavit of production items 78, 79, 129, 131, 157, 159, 198, 200-202, 231, 346-348, 404, 405, 415, 423, 424, 453, 460, 464, 513-521, 524, 614, 757, 759, 987, and 1088, which represent a sampling of Mr. Greco's knowledge with respect to the issues pled in this matter and are attached hereto as Exhibit "E."

Mr. Greco has direct knowledge with respect to the following:

- (1) Defendant's good faith in negotiating the purchase agreements;
- (2) Defendant's authority to enter into, and the ability to fulfill its obligations under, the purchase agreements;
- (3) Defendant's intention to fulfill its obligations in the purchase agreements;
- (4) Defendant's failure and/or refusal to act in good faith and deal fairly with Plaintiff before and after closing;
- (5) Defendant's failure to act in accordance with its widely published commitment to ethical business practices, including the duty to act in good faith and honestly with third parties, in respect to Plaintiff;



- (6) Defendant's misrepresentations and breach of the purchase agreements, with specific and actual knowledge as to Defendant's failure and/or refusal to:
  - (a) pay the full purchase price for the assets of Plaintiff, including the closing and post-closing adjustments to the purchase price;
  - (b) release the escrowed funds to Plaintiff;
  - (c) make payments due for transition services and non-compete and employment obligations;
  - (d) make whole and indemnify Plaintiff against liabilities arising from Defendant's election to treat a share purchase transaction with Plaintiff as an asset purchase transaction for Defendant's convenience or modify the structure of the transaction from a share purchase to an asset purchase;
  - (e) produce co-planar manifolds at Plaintiff's Alberta facility;
  - (f) honor the Employment Agreement between Peter Hutton and Defendant; and
  - (g) employ me post closing.
- (7) Defendant's structuring of the transaction as between subsidiary and affiliate companies and as between purchase of assets or shares and as between the operating assets and the real property assets of Plaintiff; and
- (8) Defendant's representation that the funds deposited by Defendant at escrow agent would be held in Canadian dollars and the investment options available from escrow agent for funds deposited in escrow.

**c. Dan Hartnett – Vice President Sales and Marketing Parker Hannifin Corporation, Instrumentation Group**

Mr. Hartnett has material and relevant evidence in this matter. Mr. Hartnett reports directly to Mr. Greco and was closely involved the negotiation, structuring, documentation, execution and delivery of all of purchase agreements; the closing of the transaction; and Defendant's failure to honor the purchase agreements and to act in good faith and deal fairly with Plaintiff after closing.

Mr. Hartnett met with me and Peter Hutton and had monthly, and sometimes daily, telephone and email communication with Plaintiff prior to the transaction. He was the principal negotiator for Defendant with respect to the transaction and during the transition period following the closing of the transaction. Mr. Hartnett met with me and Peter Hutton in Kalispell, Montana on October 8 to 9, 2012; in Houston, Texas on November 24 to 29, 2012 and March 4 to 6, 2014; in Calgary, Alberta on March 6 to 8, 2013, August 5 to 7, 2013 and April 23 to 25, 2014; in Cleveland, Ohio on May 1 to 4, 2013, June 16 to 19, 2013, September 11 to 13, 2013, October 19 to 20, 2013, October 23 to 25, 2013, and November 19 to 22, 2013, and March 19 to 21, 2014, and Lake Havasu City, Arizona from February 17 to 19, 2014.

Mr. Hartnett has direct knowledge with respect to the following:

- (1) Defendant's good faith negotiation of the purchase agreements;
- (2) Defendant's authority to enter into, or the ability to fulfill its obligations under, the purchase agreements;
- (3) Defendant's intentions to fulfill its obligations in the purchase agreements;
- (4) Defendant's failure and/or refusal to act in good faith and deal fairly with Plaintiff after closing;
- (5) Defendant's misrepresentations and breach of provisions of the purchase agreements, with specific and actual knowledge as to Defendant's failure and/or refusal to:
  - (a) pay the full purchase price for the assets of Plaintiff, including the closing and post-closing adjustments to the purchase price;
  - (b) release the escrowed funds to Plaintiff;
  - (c) make payments due for transition services and non-compete obligations;
  - (d) make whole and indemnify Plaintiff against liabilities arising from Defendant's election to treat a share purchase transaction with Plaintiff as an asset purchase transaction for Defendant's convenience or modify the structure of the transaction from a share purchase to an asset purchase;

- (e) produce co-planar manifolds at Plaintiff's Alberta facility;
  - (f) honor the Employment Agreement between Peter Hutton and Parker Hannifin Corporation; and
  - (g) employ me post closing.
- (6) Defendant's failure to act in accordance with its widely published commitment to ethical business practices, including the duty to act in good faith and honestly with third parties, in respect to Plaintiff;
  - (7) Defendant's structuring of the transaction as between subsidiary and affiliate companies and as between purchase of assets or shares and as between the operating assets and the real property assets of Plaintiff; and
  - (8) Defendant's representation that the funds deposited by Defendant at escrow agent would be held in Canadian dollars and the investment options available from escrow agent for funds deposited in escrow.
- d. Adam Kratzert -- current Vice President and Group Controller of Parker Hannifin Corporation, Instrumentation Group

Mr. Kratzert has material and relevant evidence in this matter. Mr. Kratzert reports directly to Mr. Greco with respect to Instrumentation Group operations and to Mr. Jon Martens, Executive Vice President Finance and Administration and Chief Financial Officer of Parker Hannifin Corporation (see Paragraph 2a below), with respect to the financial performance of and corporate accounting for the Instrumentation Group.

Mr. Kratzert had discussions, communications, and meetings with me and Peter Hutton, including meetings in Cleveland, Ohio on, October 19 to 20, 2013, October 23 to 24, 2013 and November 19 to 22, 2013, and in Houston, Texas on January 20 and 21, 2014. He was involved in the final negotiation, structuring, documentation of the purchase agreements, and the closing of the transaction, including specifically overseeing the funding of and corporate accounting for the transaction, and has been the primary purveyor of Defendant's decisions and actions since the closing.

Mr. Kratzert had discussions, communications, and meetings with members of Defendant's global mergers and acquisitions staff, including Shawn Horner, and inside legal staff, including Kristen Gest, and members of the Instrumentation

Group, including John Greco, Dan Hartnett, Tom Dudley and Roger Palmer in respect to the final structuring and documentation of, and the closing of, the purchase agreements, including specifically the indemnification of Plaintiff with respect to shortfalls in payment of the initial purchase price resulting from foreign exchange requirements, Defendant's restructuring the transaction as between operating assets and real property assets and as between a stock sale and an asset sale, and the holding back of the initial purchase price in escrow and the escrow terms.

Mr. Kratzert had discussions, communications, and meetings with Defendant's corporate officers, including Jon Marten, members of global mergers and acquisitions staff, including Shawn Horner, corporate accounting staff, and inside legal staff, including Kristen Gest and Angela Simmons, as well as members of the Instrumentation Group, including John Greco, Dan Hartnett, Craig Beckwith and Rachel Morales, and was a active decision maker with respect to the Defendant's failure to act in good faith and deal fairly with Plaintiff after closing, including Defendant's refusal to pay the full purchase price for the transaction (including the asset election cost, balance sheet and foreign exchange adjustments), the non-compete payments, or the employment payments or to release the funds from escrow.

Defendant's affidavit of records demonstrates that Mr. Kratzert made presentations Mr. Martens and the Parker Hannifin corporate accounting staff after the closing for, among other approvals, his final approval of the final purchase price and purchase price adjustments for the transaction as set out in the Defendant's affidavit of production items 47, 135, 185, 198, and 199, which represent a sampling of Mr. Kratzert's knowledge on these issues pled, as well as his direct involvement in Defendant's failure to honor the purchase agreements and failure to act in good faith and deal fairly with Plaintiff after closing, including deficient presentations to Mr. Martens and Defendant's corporate accounting staff in respect of the transaction as set out in the Defendant's affidavit of production items 15, 19, 21, 54, 79, 147, 176, 187, 193 and 194, which represent a sampling of Mr. Kratzert's knowledge on these issues pled. Defendant's production items are attached hereto as Exhibit "F."

Mr. Kratzert has material and relevant evidence in respect to the following issues pled:

- (1) Defendant's failure to act in good faith and deal fairly dealing with Plaintiff;
- (2) Defendant's breach of the purchase agreements, including specific and actual knowledge of:

e. Kristin Gest – former Senior Counsel, and current Assistant General Counsel, of Parker Hannifin Corporation

- (a) Defendant's failure to pay the full purchase price, including the closing and post-closing adjustments to the purchase price;
  - (b) Defendant's failure to release the escrowed funds;
  - (c) Defendant's failure to make payments for transition services and non-compete and employment obligations; and
  - (d) Defendant's failure to honor the Employment Agreement between Peter Hutton and Defendant.
- (3) Defendant's misrepresentations to Plaintiff, with specific and actual as to Defendant's:
- (a) good faith, authority, and ability to enter into the purchase agreements and its intention to fulfill its obligations thereunder;
  - (b) general corporate commitment to ethical business practices, including its duty to act in good faith and honesty with Plaintiff;
  - (c) reasons for structuring of the transaction as between subsidiary and affiliate companies and as between purchase of assets or shares and as between the operating assets and the real property assets of Plaintiff;
  - (d) make whole and indemnify Plaintiff against liabilities arising from Defendant's election to treat a share purchase transaction with Plaintiff as an asset purchase transaction for Defendant's convenience or modify the structure of the transaction from a share purchase to an asset purchase; and
  - (e) representations that funds deposited by Defendant at escrow agent would be held in Canadian dollars and the investment options for the funds available from escrow agent.

Ms. Gest was involved every aspect of the negotiation, structuring, and documentation of the transaction agreements, including specifically the negotiating and structuring of the business terms of the transaction, the closing of the transaction, and Defendant's actions after the closing. Ms. Gest reported directly to Mr. Greco with respect to this transaction.

Ms. Gest had discussions, communications, and meetings with me and Peter Hutton including meetings in Cleveland, Ohio, including meetings on May 1 to 4, 2013, June 16 to 19, 2013, September 11 to 13, 2013, October 19 to 20, 2013, October 23 to 25, 2013, and November 19 to 22, 2013, and had a great deal of telephone and email correspondence with Plaintiff.

Ms. Gest had discussions, communications, and meetings with members of the Instrumentation Group, and Defendant's inside legal department with respect to negotiating, executing, delivering and consummating the purchase agreements, Defendant's breach of the purchase agreements, and Defendant's failure to act in good faith and deal fairly with Plaintiff.

Ms. Gest has material and relevant evidence in respect to the following issues pled:

- (1) Defendant's failure to act in good faith and deal fairly with Plaintiff;
- (2) Defendant failure to negotiate the purchase agreements in good faith;
- (3) Defendant's lack of the authority to enter into the purchase agreements and lack of ability to fulfill its obligations under the purchase agreements;
- (4) Defendant's lack of intention to fulfill provisions of the purchase agreements;
- (5) Defendant's breach of the purchase agreements, with specific and actual knowledge with respect the following:
  - (a) Defendant's failure to pay the full purchase price, including the closing and post-closing adjustments to the purchase price;
  - (b) Defendant's failure to fulfill all terms of the Real Estate Purchase Agreement;
  - (c) Defendant's failure to release the escrowed funds to Plaintiff;

- (d) Defendant's failure to make payments for transition services and non-compete and employment payments;
  - (e) Defendant's failure to honor the Employment Agreement between Peter Hutton and Defendant; and
  - (f) Defendant's failure to employ me post closing.
- (6) Defendant's misrepresentations to Plaintiff, with specific and actual knowledge of the following:
- (a) Defendant's authority to enter into, and ability to fulfill its obligations under, the purchase agreements;
  - (b) Defendant's corporate commitment to ethical business practices, including the duty to act in good faith and honestly with Plaintiff;
  - (c) Defendant's structuring of the transaction as between subsidiary and affiliate companies and as between purchase of assets or shares and as between the operating assets and the real property assets of Plaintiff;
  - (d) Defendant's authority and ability to make whole and indemnify Plaintiff against liabilities arising from Defendant's election to treat a share purchase transaction with Plaintiff as an asset purchase transaction for Defendant's convenience or modify the structure of the transaction from a share purchase to an asset purchase;
  - (e) Defendant would employ me and Peter Hutton post-closing; and
  - (f) The funds deposited by Defendant at escrow agent would be held in Canadian dollars and only two (2) investment options were available from escrow agent for such funds.

Defendant's affidavit of records demonstrates Ms. Gest's material and relevant knowledge on the issues pled in this matter as set out in the Defendant's affidavit of production items 15, 32-35, 37-39, 41, 44-46, 57-59, 165, 182, 203, 222, 244, 317-320, 333, 339, 340, 528, 529, 593, 657, which represent a sampling of Ms. Gest's knowledge on issues pled and are attached hereto as Exhibit "G."

- f. **Solicitors at Fasken Martineau DuMoulin LLP**, including without limitation, Katherine Rubin, Janice J. Javier, and Clarke Barnes

Solicitors at Fasken Martineau DuMoulin LLP, including what we believe to be senior partners, has material and relevant evidence in this matter as set out in Plaintiff's affidavit I swore on January 8, 2016 in support of the conflict application.

Solicitors at Fasken Martineau DuMoulin LLP worked with me and Peter Hutton directly pre and post closing to structure and finalize the terms of the purchase agreements and oversaw and disciplined every aspect of the closing of the transaction and post-closing requirements, including statements to me and Peter Hutton that we were executing and delivering valid and binding agreements.

Solicitors at Fasken Martineau DuMoulin LLP have material and relevant information in respect to the following issues pled:

- (1) The terms of, and the validity and binding nature of, the purchase agreements executed and delivered at closing,
  - (2) The circumstances surrounding the delay in the closing and the resulting shortfalls in monies tendered by Defendant at closing, and
  - (3) The circumstances surrounding the terms, execution, and delivery of the Hutton Employment Agreement at closing, and
  - (4) Misrepresentation by Defendant, including:
    - (a) Defendant's authority, ability and intentions with respect to the transaction and transaction agreements, and
    - (b) Defendant's employment of me and Peter Hutton post-closing.
7. The following witnesses were directly involved in the evaluation, structuring, documentation and closing of the transaction and have material and relevant evidence regarding (w) Defendant's authority, ability and intentions with respect to the transaction and transaction agreements, (x) Defendant's structuring of the transaction as between subsidiary and affiliate companies and as between purchase of assets or shares, (y) Defendant's historical and typical business practices in respect to Defendant's indemnification of business sellers resulting from Defendant's structuring transactions for its own convenience, and/or (z) Defendant's actions after the closing.



- a. **Jon Marten** – Executive Vice President Finance and Administration and Chief Financial Officer of Parker Hannifin Corporation

Mr. Marten has material and relevant evidence in this matter. Mr. Marten specifically has material evidence as to Defendant's failure to obtain the requisite approvals for the transaction and Defendant's failure to honor in-place agreements and act in good faith and deal fairly with Plaintiff after closing.

Mr. Marten was the ultimate financial authority for Defendant on the transaction. All funding for the transaction had to be approved by Mr. Marten. Mr. Marten had financial oversight on and responsibility to the Board of Directors and the Chief Executive Officer of Defendant for the transaction and accounting for the transaction. Mr. Marten met with members of the Instrumentation Group, including Adam Kratzert, to evaluate, review and approve the transaction, fund the transaction and perform the corporate financial accounting for the transaction.

Mr. Marten has material and relevant evidence in respect to the following issues pled:

- (1) Defendant's lack of authority to enter into, and ability to fulfill its obligations under, the purchase agreements;
- (2) Defendant's lack of intention to fulfill provisions of the purchase agreements;
- (3) Defendant's failure to pay the full purchase price for the assets of Plaintiff, including the closing and post-closing adjustments to the purchase price;
- (4) Defendant's failure to make payment for transition services and for non-compete and employment obligations;
- (5) Defendant's failure to honor the Employment Agreement between Peter Hutton and Defendant;
- (6) Defendant's structuring of the transaction as between subsidiary and affiliate companies and as between purchase of assets or shares and as between the operating assets and the real property assets of Plaintiff; and
- (7) Defendant's corporate commitment to ethical business practices, including the duty to act in good faith and honestly with Plaintiff;

Defendant's affidavit of records demonstrates that meetings were held with, and presentations were made to Mr. Martens by, members of the Instrumentation Group and the Parker Hannifin corporate accounting staff after the closing for, among other approvals, his final approval of the final purchase price and purchase price adjustments for the transaction as set out in the Defendant's affidavit of production items 47, 135, 185, 198, and 199, which represent a sampling of Mr. Marten's knowledge on issues pled and are attached hereto as Exhibit "H."

**b. Dave Gordon – former Vice President and Group Controller of Parker Hannifin Corporation, Instrumentation Group**

Mr. Gordon reported directly to Mr. Greco and indirectly to Mr. Marten. Mr. Gordon oversaw every financial aspect of the transaction including the identification of Plaintiff's business as a acquisition target, the evaluation of Plaintiff's business as a acquisition target, the negotiation, structuring, and documentation of all expressions of interest and transaction agreements until the late fall of 2013 when he was replaced by Mr. Kratzert, specifically, Mr. Gordon was copied on the Expression of Interest dated May 8, 2013, which was transmitted to Plaintiff by Defendant, a copy of which is attached as Exhibit "I" hereto.

Mr. Gordon has relevant evidence in respect to the following issues pled:

- (1) Misrepresentation by Defendant, including specifically those regarding:
  - (a) Defendant's authority, ability and intentions with respect to the transaction and transaction agreements,
  - (b) Defendant's structuring of the transaction as between subsidiary and affiliate companies and as between purchase of assets or shares,
  - (c) Defendant's corporate commitment to ethical business practices,
  - (d) Defendant's employment of me and Peter Hutton post-closing,
  - (e) Defendant's agreement to indemnify Plaintiff and hold Plaintiff harmless with respect to structuring or treating the transaction as an asset purchase as opposed to a share purchase, and

- (f) Defendant's historical and typical business practices, in respect to Defendant's agreements to indemnify, and Defendant's indemnification of, business sellers as a result of Defendant's structuring transactions for its own convenience.
- (2) Defendant's negotiation of the purchase agreements with the intention of not fulfilling certain provisions of the agreements.
- c. **Craig Beckwith** – former General Manager of IPD Division, and current Business Unit Manager, Parker Hannifin Corporation, Instrumentation Group

Mr. Beckwith has material and relevant evidence with respect to the matters pled in this matter. Mr. Beckwith reports directly to Mr. Greco.

Mr. Beckwith had discussions, communications, and meetings with me and Peter Hutton, including meetings in Calgary during the initial evaluation of Plaintiff's business and on January 6 and 7, 2014, and in Houston, Texas in November and December 2015.

Mr. Beckwith had discussions, communications, and meetings with members of the Instrumentation Group, including, John Greco, Dan Hartnett, Adam Kratzert, Tom Dudley, and Roger Palmer, and Defendant's inside legal counsel, including Kristen Gest, to evaluate and discuss the structure of the transaction as between a share purchase or an asset purchase.

Mr. Beckwith has been directly involved in Defendant's internal accounting for the transaction after closing, and has direct knowledge of Defendant's failure to obtain the requisite approvals for the transaction and Defendant's failure to honor in-place agreements and act in good faith and deal fairly with Plaintiff after closing, including discussions, communications, and meetings with members of the Instrumentation Group, including, John Greco, Dan Hartnett, Adam Kratzert, and Rachel Morales, and Defendant's inside legal counsel, including, Kristen Gest and Angela Simmons, in regards to Defendant's refusal and/or failure to honor the terms of the purchase agreements and employment agreements and to act in good faith and deal fairly with Plaintiff after closing.

Defendant's affidavit of records demonstrates Mr. Beckwith's material and relevant knowledge on the issues pled in this matter, including Mr. Beckwith's direct involvement in Defendant's failure to honor the purchase agreements and failure to act in good faith and deal fairly with Plaintiff after closing as set out in the Defendant's affidavit of production items 136-138, 225, 240, 396, 415-418, 423-425, 429-430, 443, 451-453, 457-460, 465-466, 470, 477-478, 489-490, 497-506, 512-

514, and 521-522, which represent a sampling of Mr. Beckwith's knowledge with respect to the issues pled in this matter and are attached hereto as Exhibit "J."

Mr. Beckwith has material evidence in respect to the following issues pled:

- (1) Defendant's failure to act in good faith and deal fairly with Plaintiff;
- (2) Defendant's breach of agreements, including:
  - (a) Defendant's failure to pay the full purchase price for the assets of Plaintiff, including the closing and post-closing adjustments, to the purchase price;
  - (b) Defendant's failure to release the escrowed funds;
  - (c) Defendant's failure to make payments for transition services and non-compete and employment payments; and
  - (d) Defendant's failure to honor the Employment Agreement between Peter Hutton and Defendant.
- (3) Misrepresentation by Defendant, including:
  - (a) Defendant's authority, or lack thereof, to enter into the purchase agreements with the ability to fulfill its obligations therein,
  - (b) Defendant's corporate commitment to ethical business practices,
  - (c) Defendant would employ me and Peter Hutton post-closing, and
  - (d) Defendant's structuring of the transaction as purchase of assets or shares.
- (4) Defendant's negotiation of the purchase agreements with the intention of not fulfilling the provisions of the agreements.

d. Lynn Moline – Financial Services Manager of Parker Hannifin Canada

Ms. Moline executed all of the transaction agreements on behalf of Parker Hannifin Canada and oversaw the post-closing transition of Plaintiff's business to Defendant.

Ms. Moline has material evidence in respect to the following issues pled:

- (1) Defendant's failure to act in good faith and deal fairly with Plaintiff;
- (2) Defendant's breach of agreements, including:
  - (a) Defendant's failure to pay the full purchase price for the assets of Plaintiff, including the closing and post-closing adjustments, to the purchase price;
  - (b) Defendant's failure to release the escrowed funds to Plaintiff;
  - (c) Defendant's failure to make payments for transition services and non-compete payments; and
  - (d) Defendant's failure to honor the Employment Agreement between Peter Hutton and Defendant.
- (3) Misrepresentation by Defendant, including:
  - (a) Defendant's authority, ability and intentions with respect to the transaction and transaction agreements,
  - (b) Defendant's corporate commitment to ethical business practices,
  - (c) Defendant's employment of me and Peter Hutton,
  - (d) Defendant's structuring of the transaction as between subsidiary and affiliate companies, as between operating and real estate assets, and as between purchase of assets or shares, and
  - (e) Defendant's agreements to indemnify Plaintiff, and historical and typical business practices, in respect to Defendant's' agreements to indemnify and Defendant's' indemnification of business sellers resulting from Defendant's' structuring transactions for its own convenience.
- (4) Defendant's negotiation of the purchase agreements with the intention of not fulfilling the provisions of the agreements.

Defendant's affidavit of records demonstrates Mr. Moline's material and relevant knowledge on the issues pled in this matter as set out in the Defendant's affidavit of production items 2, 10, 23-25, 26, 27, 47, 51, 148, 163, 193, 196-197, 221, 231, 265, 267, 269, 271, 277, 280-281, 283, 344, 608, 628, and 629, which represent a sampling of Mr. Moline's knowledge on issues pled and are attached hereto as Exhibit "K."

- e. Austin Major – former General Manager of Parker Hannifin Corporation, PGI Division; current Vice President of Customer Support Operations at Parker Hannifin Corporation, Aerospace Group

Mr. Austin oversaw the post-closing transition and integration of Plaintiff's business into the PGI Division of Parker Hannifin Corporation's Instrumentation Group.

Mr. Austin has material relevant evidence in respect to the following issues pled:

- (1) Defendant's failure to pay the full purchase price, including closing and post closing adjustments, to pay for transition services and to pay non-compete and employment obligations;
- (2) Misrepresentation by Defendant in respect of:
  - (a) Defendant's authority, ability and intentions with respect to the transaction and transaction agreements, and
  - (b) Defendant's employment of me and Peter Hutton post-closing.
- (3) Defendant's breach of its duty of good faith and fair dealing to Plaintiff.

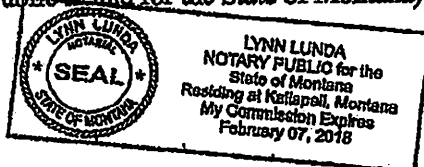
Defendant's affidavit of records demonstrates Mr. Austin's involvement post-closing as set out in the Defendant's affidavit of production items 28, 87, 91, 93, 108, 112, 121, 270, 370, 450, and 457, which represent a sampling of Mr. Major's knowledge on issues pled and are attached hereto as Exhibit "L."

SWORN BEFORE ME at Kalispell  
Montana, this 14 day of February, 2016.

April

[Signature]  
(Notary Public in and for the State of Montana)

[Signature]  
Cristen Cline





## TO: The Alberta Lawyers Insurance Association (ALIA)

c/o The Law Society of Alberta  
500, 919 - 11th Avenue S.W.  
Calgary, Alberta T2R 1P3.

Telephone: (403) 229-4716, 1-800-861-1694  
Fax: (403) 244-3072, E-mail: [ALIA@lawsociety.ab.ca](mailto:ALIA@lawsociety.ab.ca)

**To ensure a timely investigation of this potential claim, please return this form along with relevant documentation within three (3) weeks to our CALGARY Office.**

The personal information collected from you will be used by the Alberta Lawyers Insurance Association (ALIA) for one or more purposes contemplated by the Legal Profession Act, the Rules of the Law Society, the Code of Conduct, or a resolution of the Benchers and will be accessible to all departments of the Law Society. The information may be used or disclosed by ALIA, now or in the future, for regulatory purposes, including Law Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact ALIA @ (403) 229-4716,

**CONFIDENTIAL**

**New Claim Report**

**ALIA File: 20160360ALE**

### LAWYER INFORMATION

Lawyer: Janice Javier	Roll No.: Ontario	Year Called to Bar: 1998	File No.: 209555 .00328
Law Firm Name: Fasken Martineau DuMoulin LLP			
Current Occupation: Lawyer			
What firm were you with at the time the alleged error occurred? Fasken Martineau DuMoulin LLP			

### BUSINESS INFORMATION

Business Address: 333 Bay Street, Suite 2400 Bay Adelaide Centre, Box 20 Toronto, ON M5H 2T6	
Business Phone: 1-416-865-5140	Business Fax: 1-416-364-7813
Business E-Mail: <a href="mailto:jjavier@fasken.com">jjavier@fasken.com</a>	

### PERSONAL INFORMATION

Home Address:	
Home Phone:	Cell Phone:

### CLAIMANT INFORMATION

Name of Claimant:	Phoenix Precision Ltd. and 840501 Alberta Ltd.
Address:	900, 517 - 10th Avenue SW
Telephone:	
Claimant's Lawyer:	Terry Czechowskyj
Firm:	Miles Davison LLP
Telephone:	403-298-0326

Name of Client, if different from Claimant:	Parker Hannifin Canada and Parker Hannifin Corporation
Length of time you have acted for the Client:	Many years
Is/was there a solicitor/client relationship between you/the firm and the claimant? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "No", explain:	
Is claimant aware of the potential problem? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Are you continuing to represent the claimant? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "No", where is the file?	
Have your fees been paid? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	



### CLAIM INFORMATION

When did the alleged error occur? Day: <u>7</u> Month: <u>January</u> Year: <u>2014</u>
Is this a Real Estate 'Protocol' claim? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <i>(A surcharge may not result if this claim involved a Real Estate protocol closing)</i>
How were you made aware of the potential claim? Correspondence from Plaintiffs' counsel.
When were you made aware of the potential claim against you? Letter of January 19, 2016
When were you first put on notice (written and/or oral) of a claim? <i>If you received written notice or statement of claim, please attach a copy.</i> January 19, 2016
Aside from a solicitor-client relationship, do you have an ownership, financial, or business interest in the client or claimant? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", please elaborate
Does this claim arise out of the claimant/client doing business with an entity in which you have an ownership, financial or business interest? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", please elaborate
Is there any proceeding (such as a foreclosure, repossession, application or defence) requiring urgent attention? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", by when?
Estimate the amount of the claim that may be presented against you: \$ Unknown
In your opinion, the likelihood of liability is: Unlikely <input checked="" type="checkbox"/> Possible <input type="checkbox"/> Probable <input type="checkbox"/> Definite <input type="checkbox"/>
Please identify other parties who may be involved in the dispute, e.g. real estate agent, bank, appraisers, tortfeasors, insurers: Not applicable.
List all staff members directly involved in the matter out of which the alleged error arose, indicating position (partner, associate, articling Student, legal assistant, secretary): Stuart Blyth, Partner, Clarke Barnes, Partner, Janice Javier, Partner and Katherine Rubin, Associate

### OTHER

What percentage of your practice was devoted to this area of law at that time?	%
How long had you been practicing in this area of law at the time?	Years
Does your Firm carry Excess of the \$1,000,000 Mandatory Coverage: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Insurer	Policy #

**\*\*\* Please specify the Area of Law, Error / Omission, Cause of Loss  
and Client number in the area provided \*\*\***

Area of Law	
<b>1 Real Estate Conveyancing</b>	<b>6 Estate Planning and Administration</b>
1.1 Unspecified	6.1 Unspecified
1.2 Residential	6.2 Wills
1.3 Condominium	6.3 Estate Planning
1.4 Commercial	6.4 Estate Administration
1.5 Farm	6.5 Trusts
1.6 Other	6.6 Power of Attorney
<b>2 Civil Litigation</b>	6.7 Committeeships / Dependent Adult Act
2.1 Unspecified	6.8 Other
2.2 Personal Injury, automobile	<b>7 Aboriginal</b>
2.3 Personal Injury, other	<b>8 Administrative/Boards/Tribunals</b>
2.4 Medical Malpractice	8.1 Unspecified
2.5 Professional Malpractice, other	8.2 Health Law
2.6 Wrongful Dismissal	8.3 Human Rights
2.7 Liens	8.4 Workers Compensation
2.8 Creditor Remedies	8.5 Law Society
2.9 Insurance Contract	8.5.1 Law Society - Custodian
2.10 Commercial	8.5.2 Law Society - Mentor
2.11 Landlord & Tenant	8.6 Other
2.12 Charter	<b>9 Admiralty</b>
2.13 Foreclosure	<b>10 Arbitration</b>
2.14 Other	<b>11 Aviation</b>
<b>3 Commercial</b>	<b>12 Bankruptcy/Insolvency/Receivership</b>
3.1 Unspecified	<b>13 Criminal</b>
3.2 Construction Contracts	13.1 Unspecified
3.3 International Business	13.2 Driving & Traffic Offenses
3.4 Lease	13.3 Young Offenders
3.5 Loans, Financing	13.4 Other
3.6 Natural Resources (Oil & Gas, Mining, etc.)	<b>14 Employment/Labour</b>
<b>3.7 Purchase/Sale of Business</b>	<b>15 Entertainment</b>
3.8 Other	<b>16 Environmental</b>
<b>4 Corporate</b>	<b>17 Immigration</b>
4.1 Unspecified	<b>18 Intellectual Property (Includes Patent, Trademark, Copyright, Software Licensing)</b>
4.2 Corporate Governance	<b>19 Mediation</b>
4.3 Incorporation	<b>20 Tax</b>
4.4 Reorganization/Amalgamation	20.1 Unspecified
4.5 Securities	20.2 Corporate
4.6 Shareholder Agreement	20.3 Personal/Family
4.7 Other	20.4 Estate/Trust
<b>5 Matrimonial and Family</b>	20.5 Other
5.1 Unspecified	<b>21 Other</b>
5.2 Divorce	
5.2.1 Property Settlement	
5.2.2 Custody	
5.2.3 Maintenance	
5.2.4 Pension Issues	
5.3 Separation	
5.4 Adoption	
5.5 Other	

### Error / Omission

- |  |  |
|--|--|
| <b>1 Limitation/Deadlines</b> <ul style="list-style-type: none"><li>1.1 Statutory</li><li>1.2 Contractual</li><li>1.3 Procedural</li><li>1.4 Other</li></ul>   | <b>3 Advice &amp; Protection</b> <ul style="list-style-type: none"><li>3.1 Title problems/dispute</li><li>3.2 Prior Encumbrances or charges</li><li>3.3 Outstanding Interests</li><li>3.4 Deficient Security</li><li>3.5 Advice incorrect</li><li>3.6 Advice inadequate</li><li>3.7 Failure to protect client's interest</li><li>3.8 Failure to protect non-client's interest</li><li>3.9 Failure to refer for independent advice</li><li>3.10 Inadequate independent advice</li><li>3.11 Conduct of Trial/Hearing</li></ul>   |
| <b>2 A) Procedural</b> <ul style="list-style-type: none"><li>2.1 Defective documentation</li><li>2.2 Search</li><li>2.3 Failure to advance litigation</li><li>2.4 Failure to proceed expeditiously</li><li>2.5 Failure to appear on behalf of client</li><li>2.6 Registering/filing</li><li>2.7 Inadequate investigation</li><li>2.8 Error in choice of procedures</li></ul> <b>B) Real Estate only</b> <ul style="list-style-type: none"><li>2.9 Survey/R.P.R./other professional certificate/opinion</li><li>2.10 *Registry Office Search</li><li>2.11 *Searches other than Registry Office</li><li>2.12 Failure to sub-search</li><li>2.13 Lack of independent verification, reliance on others</li><li>2.14 Protocol</li></ul> | <b>4 Trust Conditions or undertaking</b> <ul style="list-style-type: none"><li>4.1 Non compliance by insured</li><li>4.2 Non compliance by other lawyer</li><li>4.3 In dispute</li><li>4.4 Unclear/inappropriate trust conditions</li><li>4.5 Failure to impose trust conditions</li></ul> <b>5 Malicious Prosecution</b><br><b>6 Defamation</b><br><b>7 Other</b> <input type="text"/><br><b>8 No Fault of the Lawyer</b> <ul style="list-style-type: none"><li>8.1 No Fault of the Lawyer</li></ul> <b>9 Theft by Insured</b> <ul style="list-style-type: none"><li>9.1 Theft by Insured</li></ul> |

### Cause of Loss

- |  |  |
|--|--|
| <b>1 Systems/Procedures/Administrative</b> <ul style="list-style-type: none"><li>1.1 Failure to follow up</li><li>1.2 Diary system error</li><li>1.3 Inadequate office systems</li><li>1.4 Failure to memo file</li><li>1.5 Procrastination</li><li>1.6 Clerical/mathematical error</li><li>1.7 Overwork</li><li>1.8 Inadequate review/preparation</li></ul>   | <b>4 Law</b> <ul style="list-style-type: none"><li>4.1 Failure to know the law</li><li>4.2 Failure to know the limitation/deadline</li><li>4.3 Failure to properly apply the law</li><li>4.4 Failure to address tax implications</li></ul>   |
| <b>2 Communication</b> <ul style="list-style-type: none"><li>2.1 Failure to follow client's instructions</li><li>2.2 Disputed instructions</li><li>2.3 Disputed retainer</li><li>2.4 Perceived limited retainer</li><li>2.5 Poor communication with clients</li><li>2.6 Poor communication with others</li><li>2.7 Failure to confirm instructions/advice</li><li>2.8 Failure to obtain client's consent</li><li>2.9 Unable to contact client</li><li>2.10 Client remorse after settlement</li></ul> | <b>5 Conflict</b> <ul style="list-style-type: none"><li>5.1 Representing two or more parties</li><li>5.2 Unrepresented party</li><li>5.3 Personal/Financial interest in transaction</li><li>5.4 Other</li><li>5.5 Claim by opposing party</li></ul> <b>6 Fee Dispute</b><br><b>7 Fraud by client/other party</b> <ul style="list-style-type: none"><li>7.1 Mortgage Fraud</li><li>7.2 Investment Schemes</li></ul> <b>8 Other</b> <input type="text"/> |
| <b>3 Delegation/Supervision</b> <ul style="list-style-type: none"><li>3.1 Delegated to lawyer/student</li><li>3.2 Delegated to non lawyer employee</li><li>3.3 Delegated to outsider</li><li>3.4 Delegation -- Poor communication/poor supervision</li></ul>   | <b>9 Misappropriation</b><br><b>10 Wrongful Conversion</b>   |

Client

- |                               |                                    |
|-------------------------------|------------------------------------|
| 1 Purchaser                   | 21 Landlord                        |
| 2 Vendor                      | 22 Tenant                          |
| 3 Builder/Developer           | 23 Employee                        |
| 4 Contractor/Sub Contractor   | 24 Employer                        |
| 5 Lender/Creditor             | 25 Testator                        |
| 6 Borrower/Debtor             | 26 Beneficiary                     |
| 7 Guarantor                   | 27 Settlor                         |
| 8 Receiver/Bankruptcy/Trustee | 28 Executor                        |
| 9 Plaintiff                   | 29 Estate                          |
| 10 Defendant                  | 30 Trustee                         |
| 11 Third Party                | 31 Committee/Attorney              |
| 12 Applicant                  | 32 Accused                         |
| 13 Insurer                    | 33 Charity/Non-Profit organization |
| 14 Husband/Father             | 34 Band                            |
| 15 Wife/Mother                | 35 Taxpayer                        |
| 16 Corporation                | 97 Interested Party                |
| 17 Limited Partnership        | 98 Government/Municipality         |
| 18 Partnership                | 99 Other                           |
| 19 Shareholder                |                                    |
| 20 Investor                   |                                    |

**Please Elaborate:**

### BRIEF PRECIS OF CLAIM

Please relate all relevant facts pertaining to this claim in chronological order. (Attach a separate sheet if necessary.)

See Robert Maxwell's letter to Paula Haney dated January 22, 2016.

How could this claim/potential claim have been prevented?

### DOCUMENTS / SIGNATURE

The following documents are enclosed:

Correspondence ☐ Statement of Claim ☐ Other ☒ Application

THIS REPORT IS MADE IN CONTEMPLATION OF LITIGATION.

Signature of Lawyer: \_\_\_\_\_

Date: \_\_\_\_\_

April 28 / 16

Name of Managing Partner (where applicable): \_\_\_\_\_

[Signature]

**NOTE:** Be sure to keep a complete copy of your entire file.

In addition, we are obliged to advise you that the Benchers have resolved that with respect to professional liability insurance claims, claim files may be made available to any department of the Law Society of Alberta.

Fasken Martineau DuMoulin LLP  
Barristers and Solicitors  
Patent and Trade-mark Agents

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Calgary, Alberta T2P 3N9  
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fasken.com



Robert D. Maxwell  
Direct +1 403 261 5503  
rmaxwell@fasken.com

April 26, 2016  
File No.: 209555.00328/18104

Via Email: [anna.lerch@lawsociety.ab.ca](mailto:anna.lerch@lawsociety.ab.ca)

Alberta Lawyer's Insurance Association  
500, 919 - 11th Avenue SW  
Calgary, Alberta T2R 1P3

Attention: Anna Lerch

Dear Ms. Lerch:

Re: Phoenix Precision Ltd. and 840501 Alberta Limited v. Parker Hannifin  
Canada and Parker Hannifin Corporation  
Court of Queen's Bench File No. 1501-03205

I am belatedly attaching our New Claim Report.

Please note that the responsible lawyer is Janice Javier (in our Toronto office), not me.

Yours truly,

FASKEN MARTINEAU DuMOULIN LLP

A handwritten signature in dark ink, appearing to read 'R. Maxwell', written over the printed name.

Robert D. Maxwell

RM/kd  
Enclosures

209555.00328/93237465.1

VANCOUVER

CALGARY

TORONTO

OTTAWA

MONTREAL

QUEBEC CITY

LONDON

JOHANNESBURG

Terry Czechowskyj  
Writer's Direct Line: 298-0326  
Writer's E-mail Address: tczech@milesdavisson.com

**With Prejudice**

**January 18, 2016**

**Fasken Martineau DuMoulin LLP**  
3400, 350 - 7<sup>th</sup> Avenue SW  
Calgary, AB T2P 3N9

**Attention: Mr. Robert D. Maxwell**

**Re: Phoenix Precision v. Parker Hannifin**

---

This letter is to confirm the Case Management judge's direction that the application on the issue of Fasken's conflict will not proceed on January 26, 2016 but will be heard at one of the case management judge's ½ day openings.

It is clear that the Fasken solicitors who acted for Parker Hannifin Corporation and directed the closing of the transaction have relevant and material evidence in this matter. The background leading to the filing of the conflict application is as follows.

My client first put you on notice at our July 30, 2015 meeting that if the closing agreements were challenged on the basis of being "alleged agreements" or agreements that were non-binding on the parties, Fasken would be in a conflict and could not act in this litigation.

At our case management meeting on September 9, 2015, I advised the Court of the conflict. I enclose a copy of the transcript of that meeting for your review.

After you filed amended defences on November 7, 2015, I advised you by letter on November 12 that your firm was in a conflict and asked that you confirm your withdrawal from the record. You did not respond.

On November 25, 2015, I advised you that I would seek a time from the case management judge to proceed with the conflict application in December 2015.

My clients were unrepresented at the closing in Calgary after Parker Hannifin Corporation unilaterally rescheduled the closing of the transaction in late December 2013 from Cleveland to Calgary. At the closing, Fasken solicitors represented to, and cautioned, my clients that the closing agreements they were executing were binding and enforceable agreements, and Fasken solicitors ensured that my clients acknowledge the same. My clients, relying on those representations by Fasken, were induced to proceed with the closing. The binding nature of these agreements is now being contested by Parker.

Fasken's efforts to obfuscate its role, as exemplified in your letter of January 18, 2016, both in the closing and the release of funds, has delayed a resolution to the issues between the parties and has caused additional damages and expense to my clients. Fasken solicitors will be called as witnesses, and if they fail to attend or their answers contradict their actions at closing, Fasken will be added as a defendant to these proceedings.

Please govern yourself accordingly.

Yours, truly,

MILES DAVISON LLP

  
TERRY CZECHOWSKYJ



**MEMORANDUM**

DATE: March 8, 2018  
TO: Sandra Mouland  
RE: ERRORS & OMISSIONS - REPORTING OF CLAIMS

---

I am not aware of any such claim(s)

☐ ☐

I am aware of facts which might result  
in a claim(s) and which has/have not previously  
been reported as described in the attached  
memorandum

☐ ☐

I am aware of a previously reported claim(s) or potential  
claim (see attached status report for updating, if applicable)  
the status of which is indicated in the attached memorandum

☒ ☐

Name

Signature

*See attached  
memo dated March 1, 2016*

## **MEMORANDUM**

**To: Sandra Mouland**

**Date: March 1, 2016**

**From: Theodore Fong**

**File/Matter No.: 261990.17929**

**Client: Atikwa Resources Inc.**

**Re: Total Oilfield Rentals LP**

---

Further to my memorandum dated March 17, 2014, Atikwa's Receiver, Alvarez and Marsal Canada Inc., was discharged by Court Order dated July 2, 2015.

Atikwa is no longer an active corporation in Alberta. One entity with Atikwa's name was cancelled in 2009 and another was struck in 2010. Further, it appears that the 2 year Saskatchewan limitation period for Atikwa to bring a claim against Fasken Martineau has expired, based on the Default Judgment of Total Oilfield Rentals LP being filed on August 23, 2013 and based on email correspondences showing that Atikwa was aware of the error as early as September, 2013.

**MEMORANDUM**

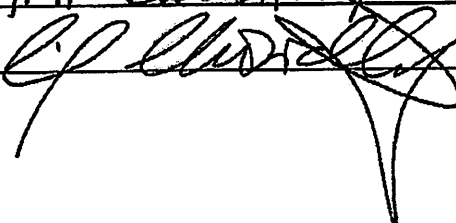
DATE: March 8, 2018  
TO: Sandra Mouland  
RE: ERRORS & OMISSIONS - REPORTING OF CLAIMS

---

I am not aware of any such claim(s) ☐

I am aware of facts which might result  
in a claim(s) and which has/have not previously  
been reported as described in the attached  
memorandum ☒

I am aware of a previously reported claim(s) or potential  
claim (see attached status report for updating, if applicable)  
the status of which is indicated in the attached memorandum ☐

Name ARIF CHOWDHURY  
Signature 

There is a potential claim of up to \$148,800 arising from the following:

- March 18, 2008 Bridgeland Law filed Statement of Claim in Calgary Action No. 0801 - 03078 (the "Action")
- September 13, 2013 After intervening steps the Defendant provided answers to undertakings. This is the last significant step taken to advance the Action
- March 24, 2014 Bridgeland Law withdraws from matter
- June 23, 2014 I was introduced by email to the client by Michelle Pockey, a partner in our Vancouver office. with a view to transferring the client's file from Bridgeland Law to Fasken Martineau. Purpose of the transfer was to attempt to settle Alberta matter along with a British Columbia matter concerning the same parties. Michelle would undertake settlement discussions.
- July 7, 2014 Request is made to Bridgeland Law to transfer file to Fasken Martineau
- July 10, 2014 Client's file is transferred to Fasken Martineau from Bridgeland Law
- July 16, 2014 Email to Michelle Pockey confirming receipt of client file, providing overview of the client's claim, expressing concern that the matter may already have dropped dead, and inquiring as to timeline for Michelle to engage defendant in settlement discussions
- July 17, 2014 Client emails and instructs to take no steps as an offer is pending from the defendant in the British Columbia matter
- July 22, 2014 (approximate date) Call to Michelle to confirm that Action has not already dropped dead and will wait to hear from Michelle regarding settlement discussions
- No contact with Michelle or client during intervening time
- June 17, 2016 Michelle passes away
- September 14, 2016 Three years pass without any steps being taken in the Action. Last steps was service of Defendant's undertakings.
- April 2017 Dan Byma, associate from Vancouver office, calls to inquire as to status of Action

This potential claim has been reported to ALIA.

## **MISSING INFORMATION ON :**

Still have not received the Form from one of our lawyers (Curtis Stewart) and believe he will have a claim to report.

**JOBURG**

## **APPENDIX F**

**Sylvie Devine**

---

**From:** John Bethell  
**Sent:** March-29-18 5:58 AM  
**To:** Sylvie Devine  
**Subject:** RE: 2 missing documents : CLLAS Insurance

Hi Sylvie

No incidents have been reported. I am not clear on what else is outstanding.

Regards

John Bethell  
Consultant  
T. +27 11 586 6204 | M. +27 83 653 4658 | F. +27 11 586 6304 -----Original Message-----  
**From:** Sylvie Devine  
**Sent:** 28 March 2018 03:59 PM  
**To:** John Bethell  
**Subject:** 2 missing documents : CLLAS Insurance  
**Importance:** High

Hello John,

Thank you very much for your fast reply. I am very sorry to bother you again but I am missing two pieces which I have attached to ease your work.

1- For Appendix F - you can simply write your response through an email, I will type it on the Appendix F for your

2- For Appendix E - I need the first two pages of Appendix E to be completed. Again you can write directly on it and send it back to me.

Again, thank you very much for your help in this matter.

Greatly appreciated.

Sylvie

Sylvie Devine  
Adjointe de direction de Marc Ducharme / Executive Assistant to Marc Ducharme

FASKEN

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800, rue du Square-Victoria, bureau 3700, Montréal, Québec H4Z 1E9

-----Original Message-----  
**From:** John Bethell